

ESF 13

Emergency Operations Plan – Emergency Support Function 13
Public Safety and Security

Section 1: Introduction

1.1 Lead Agencies

- Department of Public Safety - Maine State Police (MSP)
- Department of Inland Fisheries and Wildlife - Maine Warden Service (MWS)
- Department of Marine Resources - Marine Patrol (MP)

1.2 Supporting Agencies

- Department of Agriculture, Conservation, and Forestry – Maine Forest Service
- Department of Public Safety - Maine Information and Analysis Center (MIAC)
- Department of Corrections (DOC)
- Department of Defense, Veterans, and Emergency Management - Maine National Guard (MENG)

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Section 2: Purpose, Scope, Situation, and Assumptions

2.1 Purpose

Emergency Support Function 13 (ESF-13), Public Safety and Security, provides a framework for the coordination of state and local law enforcement personnel and equipment to support impacted areas in the event of an emergency or disaster. This annex also establishes guidance for ESF-13 to support other state and local emergency response agencies, and other ESFs prior to, during, and following an emergency or disaster.

2.2 Scope

- This annex is applicable to the agencies and departments in the state of Maine and affiliated response partners involved in law enforcement-related activities in response to an emergency or disaster.
- For purposes of ESF-13, “public safety and security” includes the coordination of personnel, resources, and equipment, as well as the ability to disseminate information to appropriate agencies in support of the overall law enforcement mission.
- Local Incident Commanders will have primary responsibility for situation assessment and determination of resource needs and will have tactical control of on-scene resources.
- State assistance through ESF-13 will only be provided once a city or town has fully committed all law enforcement resources available to it, including resources available through existing mutual aid agreements.
- Priorities for emergency response will be coordinated from the local emergency operations center.

2.3 Situation

Extensive property damage may occur following an emergency or major disaster impacting the state of Maine. Many private homes, businesses, major industries, and commercial enterprises may be damaged or destroyed. Much of the transportation and utility infrastructures may be severely affected. Emergency response personnel may be hampered in their response efforts due to transportation impasses, lack of electrical power, and damaged, destroyed, or inaccessible local structures and other facilities. Looting and violence may occur in the affected areas. Such circumstances may require a higher degree of law enforcement support to facilitate response activities. Temporary hospitals, pharmacies, food and water drops, and shelters may require law enforcement security forces. Emergency support teams may also require security escorts. State agencies may need to immediately deploy state law enforcement resources from unaffected areas of the state.

2.4 Assumptions

- Most incidents that require law enforcement or security assets are local in scope and can be addressed by local or state agencies as part of their normal day-to-day operations, using resources that are readily available to them.
- Secondary events or disasters may threaten lives and property as well as deployed law enforcement personnel.
- Demand on local resources in anticipation of or in response to a major threat may overwhelm local law enforcement capabilities for personnel, equipment, and fuel.

- In a “no-notice” event, local resources may be damaged and potentially unavailable to support law enforcement activities, requiring immediate state assistance.
- Access to impacted areas may be severely limited and only reachable via air, water, or off-road vehicles.
- Maintaining state-level communications capabilities for coordination and situational awareness across agencies with responsibilities detailed in this annex will be critical to maintain situational awareness regarding law enforcement, security, and resource activities and needs.

Section 3: Concept of Operations

3.1 General

This annex will be activated at the direction of Maine Emergency Management Agency (MEMA) when there is the potential for a disaster or an existing disaster requiring statewide coordination of resources. The lead agencies will provide direction and work in conjunction with the support agencies to coordinate the activities of ESF-13.

Each responsible agency/organization supporting this annex shall designate a minimum of three trained persons to serve as a representative for their respective agency/organization at the State Emergency Operations Center (SEOC) to support ESF-13 activities.

ESF-13 reports directly to the SEOC Operations Section, with an indirect report to the SEOC Planning Section.

The objectives of ESF-13 are to:

- Establish a framework for state level law enforcement prevention, preparedness, and response, recovery, and mitigation activities.
- Provide situational awareness on law enforcement and security emergency response activities in Maine and neighboring states.
- Provide subject matter expertise on law enforcement protocols and activities.
- ESF-13 will coordinate statewide resources upon request (or the determination of need by the SEOC), potentially to include:
 - Providing a system for the receipt and dissemination of information, data, and directives pertaining to activities among law enforcement agencies.
 - Inventorying available law enforcement personnel, facilities, and equipment in the state.
 - Coordinating and tracking the distribution and allocation of law enforcement resources in support of the overall response mission.

3.2 Activities

Responsible agencies for ESF-13 should conduct the following activities:

a. Prevention

- Communicate and share information across agencies and organizations with law enforcement and security responsibilities.
- Collaborate and coordinate on law enforcement and security initiatives.
- Conduct public education and outreach to the general public on law enforcement, public safety and security issues.

b. Preparedness

- Notify MEMA of any changes that may impact the operation of this ESF.
- Participate in meetings of ESF stakeholders if coordinated by MEMA to review and update the ESF annex.

- Develop and maintain internal agency operational plans and procedures to support ESF-13 activities.
- Maintain and update necessary and appropriate contacts with support agencies and organizations.
- Maintain current inventories of agency facilities, equipment, materials and supplies.
- Complete appropriate training.
- Ensure that support agencies have pre-designated staff available to support SEOC operations.
- Participate in exercises and training in order to test, validate, and provide working experience for ESF liaisons on this annex and related procedures.

c. Response

i. Pre-Impact

- Provide at least two representative(s) to the SEOC to support ESF-13. A total of three personnel must be trained to support the lead agencies.
- Maintain communications with the SEOC, obtain status reports, and keep the SEOC informed of progress of assigned tasks.
- Notify the appropriate points of contact at each respective agency and organization to pre-position resources and response personnel, as needed.
- Review existing plans and procedures.
- Ensure respective agency decision makers are kept informed of the situation.
- Test communications systems.
- Verify inventories of available resources and provide a summary listing to the SEOC Operations Section Chief.
- Mobilize and pre-position resources when it is apparent that state resources will be required.

ii. Initial Response

- Provide at least two representative(s) to the SEOC to support ESF-13. A total of three personnel must be trained to support the lead agencies.
- Designate appropriate staff to support response efforts.
- Gain and maintain situational awareness in order to plan effective response actions and make recommendations.
- Verify inventories of available statewide law enforcement and security resources, including personnel, as needed, and provide a summary listing to the SEOC Operations and Planning Sections.
- Establish communications with appropriate agency field personnel to coordinate response efforts.
- Implement predetermined cost accounting measures for tracking overall ESF-13 personnel, equipment, materials, and other costs incurred during emergency response actions.
- Pre-position response resources when it appears that state law enforcement and security resources will be required.
- Use existing law enforcement mutual aid structures to coordinate with other state and local agencies and other ESF-13s in the commitment or law enforcement/security resources from outside the affected area(s).

iii. Coordination with other ESFs

- ESF-9, Search and Rescue, on search and rescue efforts.

- ESF-15, External Affairs, on messaging to the general public regarding law enforcement, security, and public safety.

iv. Ongoing Response

- On an ongoing basis, re-assess priorities and strategies according to the most critical law enforcement/security needs.
- Track committed law enforcement/security resources and provide regular updates to the SEOC Operations Section on the status of all missions assigned to ESF-13.
- Provide information to the SEOC Planning Section, as needed, to update Situation Reports and Incident Action Plans.
- Draft recommendations for after-action reports and other reports, as needed.

d. Recovery Actions

- Participate in after-action reviews.

e. Mitigation Actions

- Identify potential law enforcement and security issues and collaborate to develop or recommend plans, protocols, procedures, and policies to prevent or mitigate their effects.
- Conduct assessments of ESF-13 capabilities to identify potential resource shortfalls.
- Development plans to mitigate identified shortfalls of resources.

Section 4: Responsibilities

4.1 Lead Agencies

a. Department of Public Safety - Maine State Police

- Staff the ESF-13 workstation at the SEOC.
- Identify and coordinate ESF-13 staffing requirements at the SEOC.
- Provide leadership in directing, coordinating, and integrating the overall state efforts to provide law enforcement and security assistance to affected regions of the state.
- Request and obtain assistance from support agencies, as well as other state and local support organizations.
- Invoke, as needed, mutual aid agreements with State Police agencies in adjoining states. (New England State Police Administrator Conference [NESPAC], Title 25, 1672).
- Continuously acquire and assess information about the disaster situation. Staff will identify the nature and extent of law enforcement and security problems, and establish appropriate monitoring and surveillance of the situation to obtain valid, ongoing information.
- Provide situational awareness on status of Maine State Police facilities, assets, and operations.
- Maintain routine law enforcement functions, including protection of life and property, enforcement of laws, conducting criminal investigations, and related tasks throughout the duration of the emergency.
- Report the following information to the SEOC Planning Section as required:
 - personnel and resource needs
 - damage assessments
 - exposure reports
 - casualty reports
 - evacuation status and traffic control reports
- As requested, coordinate resources to support closing or restricting access to areas impacted by a disaster.
- Coordinate resources to assist with traffic control as requested.
- Coordinate the provision of air assets for damage assessment or personnel transport, as requested.
- Coordinate convoys or escorts for emergency materials, commodities, or vehicles.
- Coordinate resources to support search and rescue operations, as needed.

b. Department of Inland Fisheries and Wildlife - Maine Warden Service

- Provide situational awareness on status of Maine facilities, assets, and operations.
- Maintain routine law enforcement functions, including protection of life and property, enforcement of laws, conducting criminal investigations, and related tasks throughout the duration of the emergency.
- Coordinate resources to support traffic control, evacuation/relocation assistance, and the movement of essential supplies.
- Support convoys or escorts for emergency materials, commodities, or vehicles.
- Coordinate resources to support warning and notification efforts.

- Report the following information to the SEOC Planning Section, as required:
 - personnel and resource needs
 - damage assessments
 - exposure reports
 - casualty reports
 - evacuation status and traffic control reports
- Coordinate resources to support search and rescue operations.

c. Department of Marine Resources - Marine Patrol

- Provide situational awareness on status of Maine facilities, assets, and operations.
- Maintain routine law enforcement functions, including protection of life and property, enforcement of laws, conducting criminal investigations, and related tasks throughout the duration of the emergency.
- Coordinate resources to support traffic control, evacuation/relocation assistance, and the movement of essential supplies.
- Support convoys or escorts for emergency materials, commodities, or vehicles.
- Coordinate resources to support warning and notification efforts.
- Report the following information to the SEOC Planning Section, as required:
 - personnel and resource needs
 - damage assessments
 - exposure reports
 - casualty reports
 - evacuation status and traffic control reports
- Coordinate resources to support search and rescue operations.

4.2 Supporting Agencies

a. Department of Public Safety - Maine Information and Analysis Center

- In partnership with local, state, and federal public safety agencies, provide information to assist in situational awareness and development of a common operating picture.
- Coordinate the implementation of a secure, comprehensive mechanism for the timely exchange of information.
- Provide accurate and timely intelligence products such as bulletins, intelligence and informational briefings, and strategic assessments.
- Provide direct analytical support for investigations involving precursor criminal activity.
- Promote SEOC awareness of priority intelligence requirements and of indicators of threats to the state of Maine.

b. Department of Corrections

Provide situational awareness on status of DOC facilities, assets, and operations.

c. Maine National Guard

Provide personnel to support security missions (e.g., securing facilities and other humanitarian missions) if requested.

Section 5: Supplementary and Procedural Documents

- Maine Terrorism Response Plan
- Cyber Security Plan
- HAZMAT Plans
- WMD Plans
- Communications Plan Flowchart
- MIAC 001 Threat Receipt
- MIAC 002 Analytical Plan
- MIAC 003 Dissemination Plan
- MIAC 004 Collection Plan
- MIAC SIR Flow Process
- MIAC Workflow Matrix

Section 6: References

- Maine Law Enforcement Directory
- Maine Traffic Management Manual for Seabrook Station
- MRS Title 25, Chapter 198: Maine State Police Compact
- MOU Between ATF, SFM, and MSP
- New England State Police Administrators Compact