

Department of Health & Human Services, Office of Adult Mental Health Services
 Bates v. DHHS Consent Decree
 April, May, June 2012: 4th Quarter, SFY 2012
[CONSENT DECREE REPORT](#)

SUMMARY

The DHHS Office of Adult Mental Health Services is required to report to the Court quarterly regarding compliance and progress toward meeting specific standards as delineated in the Bates v. DHHS Consent Decree Settlement Agreement, the Consent Decree Plan of October 2006, and the Compliance Standards approved October 29, 2007. The following documents are submitted as the Quarterly Progress Report for the fourth quarter of state fiscal year 2012, covering the period from April – June 2012. Each document title is linked to the PDF version of the document on the [OAMHS website](#). Links to the Word (or Excel) versions are also listed.

DOCUMENT	DESCRIPTION
1 Cover Letter, Quarterly Report Section 1 & 1A August 1, 2012 Microsoft Word or Adobe PDF	Letter to Dan Wathen, Court Master, submitting the Quarterly Report pursuant to paragraph 280 of the Settlement Agreement for the quarter ending June 30, 2012.
2 Fourth Quarter Fiscal Year 2012 Report on Compliance Plan Standards: Community Section 2 August 1, 2012 Microsoft Word or Adobe PDF	Lists and updates the information pertaining to standards approved in October 2007 for evaluating and measuring DHHS compliance with the terms and principles of the Settlement Agreement.
3 Performance and Quality Improvement Standards Section 3 August 2012 Adobe PDF	Details the status of the Department's compliance with 34 specific performance and quality improvement standards (many are multi-part) required by the Consent Decree October 2006 Plan for this reporting quarter. Reporting includes the baseline, current level, performance standard, and compliance standard for each, including graphs.
4 Public Education – Standard 34.1 Section 4 April-June 2012 Excel Version or Adobe PDF	Amplifies Standard 34.1 of the Performance and Quality Improvement Standards above, detailing the mental health workshops, forums, and presentations made, including levels of participation
5 Performance Quality and Improvement Standards, Appendix: Adult Mental Health Data Sources Section 5 August 2012 Microsoft Word or Adobe PDF	Lists and describes all of the data sources used for measuring and reporting the Department's compliance on the Performance and Quality Improvement Standards.
6 Cover: Unmet Needs August 2012 Section 6	Provides a brief introduction to the unmet needs report as well as some definitions of the data, initial findings and next steps. Also includes needs data from other sources such as the APS Healthcare

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	Microsoft Word or Adobe PDF	Contact for Service Notification Process.
7	<p>Unmet Needs by CSN for FY12 Q3 (January,- March 2012) Section 7 & 7A</p> <p>Section 7 Adobe PDF</p> <p>Section 7a Adobe PDF</p>	Quarterly report drawn from the OAMHS Enterprise Information System (EIS) by CSN (based on client zip code), from resource need data entered by community support case managers (CI, ACT, CRS and ICM) concerning consumers (class members and non-class members) who indicate a need for a resource that is not immediately available. Providers are required to enter the information electronically upon enrollment of a client in Community Support Services and update the information from their clients' Individual Service Plans (ISPs) every 90 days via an RDS (Resource Data Summary) entered as a component of prior authorization and continuing stay requests made to APS Healthcare via their online system, CareConnections.
8	<p>BRAP Waitlist Monitoring Report, Quarter 4 FY2012 Section 8</p> <p>Microsoft Word or Adobe PDF</p>	Describes status of the DHHS Bridging Rental Assistance Program's (BRAP) waitlist, focusing on the numbers served over time by priority status.
9	<p>Class Member Treatment Planning Review for the 4th Quarter of Fiscal Year 2012 Section 9</p> <p>Adobe PDF</p>	Aggregate report of document reviews completed on a random sample of class member ISPs by Consent Decree Coordinators following a standardized protocol.
10	<p>Community Hospital Utilization Review for the 4th Quarter of Fiscal Year 2012: Class Members Section 10</p> <p>Adobe PDF</p>	Aggregate report of Utilization Review (UR) of all persons with MaineCare or without insurance coverage admitted into emergency involuntary, community hospital based beds. UR data is reported one quarter behind to allow sufficient time for reviews and data entry to be completed.
11	<p>Community Hospital Utilization Review Performance Standard 18-1, 2, 3 by Hospital: Class Members for the 3rd Quarter Fiscal Year 2012 Section 11</p> <p>Adobe PDF</p>	Report drawn from UR data that details, by hospital, the percentage of ISPs obtained, ISPs consistent with the hospital treatment and discharge plan, and case manager involvement in hospital treatment and discharge planning. UR data is reported one quarter behind to allow sufficient time for reviews and data entry to be completed.
12	<p>DHHS Integrated Child/Adult Quarterly Crisis Report: 3rd Quarter, Fiscal Year 2012 Section 12</p> <p>Adobe PDF</p>	Aggregate quarterly report of crisis data submitted by crisis providers to the Office of Quality Improvement on a monthly basis.

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<p>13 Riverview Psychiatric Center Performance Improvement Report Section 13 April- June 2012</p> <p>Microsoft Word or Adobe PDF</p>	<p>Reports on Riverview’s compliance with specific indicators re: performance and quality; recording findings, problem, status, and actions for the specified quarter.</p>
<p>14 Bates v. DHHS Consent Decree Paragraph 27 Bi-annual Report: Grievance Filings January 1, 2012 – June 30, 2012 Section 14</p> <p>Microsoft Word or Adobe PDF</p>	<p>Semi-annual report of all complaints and grievances appealed to the Superintendents of Riverview Psychiatric Center and Dorothea Dix Psychiatric Center, the Director of OAMHS and the Commissioner of DHHS. The report summarizes the issues raised, findings made and remedial actions taken.</p>
<p>15 APS Healthcare Reports:</p> <ul style="list-style-type: none"> A. Members on MaineCare Waitlist for CI January – March 2012 Adobe PDF B. Members on General Funds Waitlist for CI January-March 2012 Adobe PDF C. CFSN for MaineCare CI- Discharge Report January – March 2012 Microsoft Excel or Adobe PDF <p>Section 15</p>	<p>A & B: For members on the CI wait list who were authorized for the service, how long they waited. These reports count the number of days from the date the CFSN was opened to the date the service was authorized. The report are run 2 quarters ago so nearly everyone who was entered on the wait list will have started the service.</p> <p>C: This report gives the reasons that Class Members were discharged without receiving the service. This does not capture administrative discharges. A report on the number of administrative discharges will be available for the next quarterly report.</p>
<p>16 Training: Copy of agenda Microsoft Word or Adobe PDF</p> <p>Copy of invitation Microsoft Word or Adobe PDF</p> <p>Document showing the number of people trained by Quality Managers during the 4th quarter of FY12.. Microsoft Word or Adobe PDF</p> <p>Section 16</p>	<p>The quality management division of OAMHS has rekindled efforts in providing training to agency providers. The agenda documents the areas that were covered. The invitation is what was sent to all agencies that provide Community Integration Services. The third document shows the # of people who attended the 2 agency wide trainings, and the agencies that requested and received the training at their site.</p>