



**Substance Abuse
and Mental Health Services**
An Office of the
Department of Health and Human Services

Paul R. LePage, Governor

Mary C. Mayhew, Commissioner

Class Member Treatment Planning

For the 1 st Quarter of Fiscal Year 2014

(July, August, September, 2013)

Total Plans Reviewed		2013 Q2 55	2013 Q3 50	2013 Q4 49	2014 Q1 50
I Releases					
1A	Does the record document that the agency has planned with and educated the consumer regarding releases of information at intake/initial treatment planning process?	100.0% 18 of 18	100.0% 18 of 18	90.0% 9 of 10	100.0% 16 of 16
1B	Does the record document that the agency has planned with and educated the consumer regarding releases of information during each treatment plan review?	96.2% 51 of 53	85.4% 41 of 48	85.7% 42 of 49	80.4% 37 of 46
1C	Does the record document that the consumer has a primary care physician (PCP)?	88.7% 47 of 53	92.0% 46 of 50	91.8% 45 of 49	90.0% 45 of 50
1D	If 1C. is yes, has there been an attempt to obtain releases signed by the consumer for the sharing of information with the PCP?	85.1% 40 of 47	91.3% 42 of 46	80.0% 36 of 45	80.0% 36 of 45
II Treatment Plan					
2A	Does the record document that the domains of housing, financial, social, recreational, transportation, vocational, educational, general health, dental, emotional/psychological, and psychiatric were assessed with the consumer in treatment planning?	98.2% 54 of 55	98.0% 49 of 50	95.9% 47 of 49	92.0% 46 of 50
2B	Does the record document that the treatment plan goals reflect the strengths of the consumer receiving services?	96.4% 53 of 55	100.0% 50 of 50	100.0% 49 of 49	96.0% 48 of 50
2C	Does the record document that the treatment plan goals reflect the barriers of the consumer receiving services?	98.2% 54 of 55	98.0% 49 of 50	95.9% 47 of 49	94.0% 47 of 50
2D	Does the record document that the individual's potential need for crisis intervention and resolution services was considered with the consumer during treatment planning?	100.0% 55 of 55	96.0% 48 of 50	100.0% 49 of 49	98.0% 49 of 50
2E	Does the record document that the consumer has a crisis plan?	92.3% 48 of 52	62.5% 30 of 48	63.8% 30 of 47	67.3% 33 of 49
2F	If 2E. is no, is the reason documented?	100.0% 4 of 4	100.0% 18 of 18	100.0% 17 of 17	100.0% 16 of 16
2G	If 2E. is yes, has the crisis plan been reviewed as required every three months?	89.6% 43 of 48	73.3% 22 of 30	86.7% 26 of 30	84.8% 28 of 33
2H	If 2E. is yes, has the crisis plan been reviewed as required subsequent to a psychiatric crisis?	100.0% 4 of 4	50.0% 3 of 6	77.8% 7 of 9	100.0% 7 of 7
2I	Does the record document that the consumer has a mental health advance directive?	12.7% 7 of 55	4.1% 2 of 49	8.3% 4 of 48	4.1% 2 of 49
2J	If 2I. is yes, has the advance directive been reviewed at least annually by the CSW and consumer?	0.0% 0 of 7	0.0% 0 of 2	0.0% 0 of 4	0.0% 0 of 2

2K	If 2I. is no, is the reason why documented?	100.0% 48 of 48	100.0% 47 of 47	100.0% 44 of 44	100.0% 47 of 47
III Needed Resources					
3A	Does the record document that natural supports (family/friends) are being accessed as a resource?	N/A 0 of 0			
3B	If 3A. is no, has the worker discussed with the consumer the consideration of natural supports as a resource?	N/A 0 of 0			
3C	Does the record document that generic resources (those resources that anyone can access) are being accessed?	100.0% 2 of 2	66.7% 2 of 3	50.0% 1 of 2	75.0% 3 of 4
3D	If 3C. is no, has the worker discussed with the consumer the consideration of generic resources as a resource?	N/A 0 of 0	0.0% 0 of 1	0.0% 0 of 1	0.0% 0 of 1
3E	Does the record document a resource need that has not been provided according to/within the expected response time?	80.0% 8 of 10	50.0% 3 of 6	60.0% 3 of 5	54.5% 6 of 11
3F	Does the treatment plan reflect interim planning?	100.0% 8 of 8	100.0% 3 of 3	100.0% 3 of 3	100.0% 6 of 6
3G	Does the record document that the treatment team reconvened after the unmet need was identified?	0.0% 0 of 8	0.0% 0 of 3	0.0% 0 of 3	0.0% 0 of 6
IV Service Agreements					
4A	Does the record document that service agreements are required for this plan? (see paragraph 69 protocol for definitions)	34.5% 19 of 55	47.9% 23 of 48	53.1% 26 of 49	46.0% 23 of 50
4B	If 4A. is yes, have service agreements been acquired?	73.7% 14 of 19	73.9% 17 of 23	73.1% 19 of 26	56.5% 13 of 23
4C	If 4A. is yes, are the service agreements current?	73.7% 14 of 19	65.2% 15 of 23	57.7% 15 of 26	47.8% 11 of 23
V Vocational Services					
5A	Does the record document that the vocational domain is addressed with the consumer on their initial/annual assessments?	98.2% 54 of 55	98.0% 49 of 50	100.0% 48 of 48	95.8% 46 of 48
5B	Does the record document that the vocational domain is being addressed with the consumer at each 90 day treatment plan review?	98.2% 54 of 55	93.8% 45 of 48	85.4% 41 of 48	89.6% 43 of 48
VI Comments					
6A	Plan of correction requested?	27.3% 15 of 55	30.0% 15 of 50	53.1% 26 of 49	52.0% 26 of 50
6A.1.	Plan of correction for section 2A. (required when not all domains assessed) included?	0.0% 0 of 1	0.0% 0 of 1	0.0% 0 of 2	0.0% 0 of 4
6C	Plan of correction received?	66.7% 10 of 15	13.3% 2 of 15	34.6% 9 of 26	30.8% 8 of 26
6D	Were corrections made to the satisfaction of the CDC?	100.0% 10 of 10	100.0% 2 of 2	100.0% 9 of 9	100.0% 8 of 8

Report Run by: Brandi.Giguere Report Run on: Oct 8, 2013 at 9:00:51 AM