

**School Administrative Unit Application for
Funding
under the
State Fiscal Stabilization Fund Program
Phase 1**

CFDA Numbers: 84.394 (Education Stabilization Fund)

DUE: May 20, 2009



APPLICATION INSTRUCTIONS

GENERAL INSTRUCTIONS

To receive the School Administrative Unit (SAU)'s allocation under the State Fiscal Stabilization Fund (Stabilization) program, a Superintendent must submit to the Department an application that provides the following information:

- A completed application cover sheet. (*Part 1 of the Application*)
- Education Reform Assurances (*Part 2 of the Application*)
- A description of how the SAU intends to use the funds allocated under the Education Stabilization Fund – CFDA No. 84.394 (*Part 3*)
- Accountability, transparency, and reporting assurances. (*Part 4 of the Application*)
- Other assurances and certifications. (*Part 5 of the Application*)

Due to the Department of Education by May 20, 2009

SCHOOL ADMINISTRATIVE UNIT FISCAL STABILIZATION FUND APPLICATION

**PART 1: APPLICATION COVER SHEET
(CFDA Nos. 84.394 and 84.397)**

Legal Name of Applicant	Applicant's Mailing Address:	
SAU Contact for the Education Stabilization Fund (CFDA No. 84.394) Name: Position and Office: Contact's Mailing Address: DUNS # Telephone: Fax: E-mail address:		
To the best of my knowledge and belief, all of the information and data in this application are true and correct.		
Superintendent (Printed Name):		Telephone:
Signature of Superintendent:		Date:

PART 2: EDUCATION REFORM ASSURANCES

The Superintendent or his/her authorized representative assures the following:

- (1) The school administrative unit [SAU] will take actions to improve teacher effectiveness and comply with section 1111(b)(8)(C) of the Elementary and Secondary Education Act of 1965, as amended (ESEA) (20 U.S.C. 6311(b)(8)(C)) in order to address inequities in the distribution of highly qualified teachers between high- and low-poverty schools, and to ensure that low-income and minority children are not taught at higher rates than other children by inexperienced, unqualified, or out-of-field teachers. (*Achieving Equity in Teacher Distribution Assurance*)
- (2) The SAU will maintain a data system that includes the elements described in section 6401(e)(2)(D) of the America COMPETES Act (20 U.S.C. 9871(e)(2)(D)). (*Improving Collection and Use of Data Assurance*)
- (3) The SAU will –
 - (3.1) Enhance the quality of the academic assessments it administers pursuant to section 1111(b)(3) of the ESEA (20 U.S.C. 6311(b)(3)) through activities such as those described in section 6112(a) of the ESEA (20 U.S.C. 7301a(a)); (*Improving Assessments Assurance*)
 - (3.2) Comply with the requirements of paragraphs (3)(C)(ix) and (6) of section 1111(b) of the ESEA (20 U.S.C. 6311(b)) and section 612(a)(16) of the Individuals with Disabilities Education Act (IDEA) (20 U.S.C. 1412(a)(16)) related to the inclusion of children with disabilities and limited English proficient students in State assessments, the development of valid and reliable assessments for those students, and the provision of accommodations that enable their participation in State assessments; (*Inclusion Assurance*) and
 - (3.3) Take steps to improve academic content standards and student academic achievement standards consistent with section 6401(e)(1)(A)(ii) of the America COMPETES Act. (*Improving Standards Assurance*)
- (4) The SAU will ensure compliance with the requirements of section 1116(b)(7)(C)(iv) and section 1116(b)(8)(B) of the ESEA with respect to schools identified under these sections. (*Supporting Struggling Schools Assurance*)

Superintendent (Printed Name):	
Signature:	Date:

- **Part 3: A Description of How the SAU Intends to Use the Funds Allocated Under The Education Stabilization Fund – CFDA No. 84.394**

Check next to whichever of the federal programs you intend to use the FY 2009 funds under:

ESEA

IDEA

Adult and Family Literacy

Carl Perkins

Carryover

Spending will be verified by MEDMS Year end Financials

PART 4: ACCOUNTABILITY, TRANSPARENCY, AND REPORTING ASSURANCES

The Superintendent or his/her authorized representative assures that the SAU will comply with all of the accountability, transparency, and reporting requirements that apply to the Stabilization program, including the following:

- For each year of the program, the SAU will submit a report to the Commissioner at such time and in such manner as the Commissioner may require, that describes:
 - the uses of funds within the SAU;
 - how the SAU distributed the funds it received;
 - the number of jobs that the SAU estimates were saved or created with the funds;
 - tax increases that the Superintendent estimates were averted because of the funds;
 - the SAU's progress in reducing inequities in the distribution of highly qualified teachers, implementing a data system, and developing and implementing valid and reliable assessments for limited English proficient students and children with disabilities;
 - a description of each modernization, renovation or repair project funded, including the amounts awarded and project costs. (ARRA Division A, Section 14008)
- The SAU will cooperate with any evaluation of the uses of funds and the impact of funding on the progress made toward closing achievement gaps. (ARRA Division A, Section 14009)
 - The SAU will submit reports, by the first day of the next quarter that contain the information required under section 1512(c) of the ARRA in accordance with any guidance issued by Office of Management and Budget or the Department. (ARRA Division A, Section 1512(c)) Required reports include the following:
 - MEDMS Financial** – Actual financial data for each quarter is required to be uploaded to the MEDMS Financial System by the first day of the next quarter. The files that are required to be submitted are actual revenue, actual expenditure and actual balance sheet, containing all transactions. These actual files should contain transactions for the following fund series: 020, 021, 022, 030, 031, 032, 050, 051, 052, 061, 065, 066, 100, 200, 300, 400 and 600 including all actual transactions for general fund, special revenue funds, and school nutrition funds must be uploaded. Actual files must be successfully uploaded, approved and certified. If any of these actual files are not submitted, stabilization funds will be withheld.
 - Cash Management** – A separate page has been added to the EFU-415 to report funds received through the American Recovery and Reinvestment Act of 2009. Stabilization funds must also be reported on this page. There is a potential that the Stabilization funds will be sent in June. If so, please report them on your EFU-415 ending June 30, 2009. **The ARRA funds are not transferable.**
 - AOS AND RSU's** – If you have consolidated, you will have to **transfer (use transfer column)** all of your cash balances to the new AOS or RSU. The receiving AOS or RSU will have to report the funds as a **transfer (use transfer column)** coming in. All districts that are consolidating must file a final report showing a zero balance. If the consolidating districts do not file a final report, all funds will be held for an AOS or RSU until all final reports have been received.

- The SAU will cooperate with any State Controller examination of records under the program. (ARRA Division A, Section 1515)
- The SAU will meet the reporting requirements in 20-A MRSA §15689-B, subsections 7 and 7-A.

Superintendent (Printed Name):	
Signature:	Date:

PART 5: OTHER ASSURANCES AND CERTIFICATIONS

The Superintendent or his/her authorized representative assures or certifies the following:

- The SAU will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood hazards; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations.
- With respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; the SAU will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 C.F.R. Part 82, Appendix B); and the SAY will require the full certification, as set forth in 34 C.F.R. Part 82, Appendix A, in the award documents for all subawards at all tiers.
- The SAU will comply with all of the operational and administrative provisions in Title XV and XIV of the ARRA, including Buy American Requirements (ARRA Division A, Section 1605), Wage Rate Requirements (ARRA Division A, Section 1606), and any applicable environmental impact requirements of the National Environmental Policy Act of 1970 (NEPA), as amended, (42 U.S.C. 4371 et seq.) (ARRA Division A, Section 1609). In using ARRA funds for infrastructure investment recipients will comply with the requirement regarding Preferences for Quick Start Activities (ARRA Division A, Section 1602).
- Any SAU receiving funding under this program will have on file with the State a set of assurances that meets the requirements of section 442 of the General Education Provisions Act (GEPA) (20 U.S.C. 1232e).
- To the extent applicable, an SAU will include in its local application a description of how the SAU will comply with the requirements of section 427 of GEPA (20 U.S.C. 1228a). The description must include information on the steps the SAU proposes to take to permit students, teachers, and other program beneficiaries to overcome barriers (including barriers based on gender, race, color, national origin, disability, and age) that impede access to, or participation in, the program.

- The SAU and other entities will comply with the following provisions of Education Department General Administrative Regulations (EDGAR), as applicable: 34 CFR Part 74 -- Administration of Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; 34 CFR Part 76 -- State-Administered Programs, including the construction requirements in section 75.600 through 75.617 that are incorporated by reference in section 76.600; 34 CFR Part 77 -- Definitions that Apply to Department Regulations; 34 CFR Part 80 -- Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, including the procurement provisions; 34 CFR Part 81 -- General Education Provisions Act—Enforcement; 34 CFR Part 82 -- New Restrictions on Lobbying; 34 CFR Part 85 -- Government-wide Debarment and Suspension (Non-procurement).

Superintendent (Printed Name):	
Signature:	Date: