

**LOCAL DETERMINATION LEVELS  
ASSISTANCE AND ENFORCEMENT ACTIONS**

All SAUs will receive and review on a yearly basis a letter with their determination status, this determination rubric, and the SAU profile.

**SAU=School Administrative Unit (includes CDS sites) CIMP=Continuous Improvement and Monitoring Program  
SPPIRIT=State Performance Plan Indicator Response and Improvement Team TA=Technical Assistance  
ICAP=Improvement/Corrective Action Plan**

	Monitoring Activities and Technical Assistance	Incentives or Sanctions	Promising Practices	Improvement/Corrective Action Plan
<b>Meets Requirements</b>	<p>CIMP</p> <ul style="list-style-type: none"> <li>-Use Self-Assessment to review indicators ranked 2 and lower and those with 1 for Promising Practices</li> <li>-Enter needs into ICAP</li> <li>• Site Visit (within 5- year cycle to confirm status and seek examples of best practice and procedures)</li> </ul>	<ul style="list-style-type: none"> <li>• SAUs will be commended in the annual Commissioner’s letter</li> <li>• SAUs may publish the results of their determination</li> </ul>	Identify in CIMP Self-Assessment	Develop an ICAP if needed

Adapted from the document of the same name from Alaska’s Department of Education.

**LOCAL DETERMINATION LEVELS  
ASSISTANCE AND ENFORCEMENT ACTIONS**

All SAUs will receive and review on a yearly basis a letter with their determination status, this determination rubric, and the SAU profile.

SAU=School Administrative Unit (includes CDS sites) CIMP=Continuous Improvement and Monitoring Program  
 SPPIRIT=State Performance Plan Indicator Response and Improvement Team TA=Technical Assistance  
 ICAP=Improvement/Corrective Action Plan

	Monitoring Activities and Technical Assistance	Incentives or Sanctions	Promising Practices	Improvement/Corrective Action Plan
<b>Needs Assistance</b>	<b>1st Yr –</b> <ul style="list-style-type: none"> <li>• CIMP               <ul style="list-style-type: none"> <li>- Self-Assessment to review indicators ranked 2 and lower</li> <li>- Enter needs in ICAP</li> </ul> </li> <li>• SPPIRIT will advise SAU of available resources and TA</li> </ul>	<ul style="list-style-type: none"> <li>• None</li> </ul>	Identify in CIMP Self-Assessment	If improvement is specified for an indicator(s), SPPIRIT will monitor the plan for one year or until the new profile is available
	<b>2nd Yr –</b> <ul style="list-style-type: none"> <li>• CIMP               <ul style="list-style-type: none"> <li>- Self-Assessment to review indicators 2 and lower</li> <li>- Enter needs in ICAP</li> </ul> </li> <li>• SPPIRIT and SAU discuss the available resources and TA and strategies are entered into ICAP</li> <li>• 1 day site review</li> </ul>	<ul style="list-style-type: none"> <li>• Identify as high risk and conditions may be imposed on the use of funds</li> </ul>	Identify in CIMP Self-Assessment  SPPIRIT discusses options with SAU CIMP Team and inserts chosen promising practices into ICAP	A reporting time table is determined by SAU CIMP Team and SPPIRIT  SPPIRIT oversight is provided until the annual profile is available

**LOCAL DETERMINATION LEVELS  
ASSISTANCE AND ENFORCEMENT ACTIONS**

All SAUs will receive and review on a yearly basis a letter with their determination status, this determination rubric, and the SAU profile.

**SAU=School Administrative Unit (includes CDS sites) CIMP=Continuous Improvement and Monitoring Program  
SPPIRIT=State Performance Plan Indicator Response and Improvement Team TA=Technical Assistance  
ICAP=Improvement/Corrective Action Plan**

	Monitoring Activities and Technical Assistance	Incentives or Sanctions	Promising Practices	Improvement/Corrective Action Plan
<b>Needs Intervention</b>	<b>1st yr –</b> <ul style="list-style-type: none"> <li>• CIMP -Self-Assessment to review indicators ranked 2 and lower.</li> <li>-Enter needs in ICAP</li> <li>• 1 day site review by SPPIRIT</li> </ul>	<ul style="list-style-type: none"> <li>• Identify as high risk grantee</li> <li>• Require revised use of funds</li> </ul>	Identify in CIMP Self-Assessment	SPPIRIT and SAU CIMP Team develop ICAP using resources and TA specified by SPPIRIT
	<b>2nd Yr –</b> <ul style="list-style-type: none"> <li>• CIMP - Self-Assessment to review indicators ranked 2 and lower</li> <li>- Enter needs in ICAP</li> <li>• Require use of identified resources and TA</li> <li>• Require proof of utilization of TA on desired outcomes</li> <li>• SPPIRIT calls facilitation meeting to develop ICAP</li> <li>• 2 day site review by SPPIRIT</li> </ul>	<ul style="list-style-type: none"> <li>• Identify as high risk grantee</li> <li>• Impose conditions on use of funds</li> </ul>	Identify in CIMP Self-Assessment	<ul style="list-style-type: none"> <li>• SPPIRIT develops ICAP with input from SAU CIMP Team</li> <li>• ICAP Report is required every three months</li> <li>• SPPIRIT and SAU revise ICAP as needed</li> <li>• SPPIRIT oversight continues until the next profile is received</li> </ul>
	<b>3<sup>rd</sup> Year-</b> Same as above except 3 day site review by SPPIRIT	<ul style="list-style-type: none"> <li>• Identify as high risk grantee</li> <li>• Determine partial or whole withholding of funds</li> </ul>	Same as Needs Intervention for 2 <sup>nd</sup> year	Same as Needs Intervention for 2 <sup>nd</sup> year

**LOCAL DETERMINATION LEVELS  
ASSISTANCE AND ENFORCEMENT ACTIONS**

All SAUs will receive and review on a yearly basis a letter with their determination status, this determination rubric, and the SAU profile.

**SAU=School Administrative Unit (includes CDS sites) CIMP=Continuous Improvement and Monitoring Program  
SPPIRIT=State Performance Plan Indicator Response and Improvement Team TA=Technical Assistance  
ICAP=Improvement/Corrective Action Plan**

	Monitoring Activities and Technical Assistance	Incentives or Sanctions	Promising Practices	Improvement/Corrective Action Plan
<b>Needs Substantial Intervention</b>	<ul style="list-style-type: none"> <li>• CIMP -Self-Assessment to review indicators ranked 2 and lower</li> <li>-Enter needs in ICAP</li> <li>• Revise ICAP</li> <li>• Require use of identified resources and TA with outcomes</li> <li>• Multiple day site reviews</li> </ul>	<ul style="list-style-type: none"> <li>• Identify as high risk grantee</li> <li>• Determine partial or whole withholding of funds</li> </ul>	Identify in CIMP Self-Assessment	<ul style="list-style-type: none"> <li>• SPPIRIT develops ICAP with input from SAU CIMP Team</li> <li>• ICAP Report is required every three months</li> <li>• SPPIRIT and SAU revise ICAP as needed.</li> <li>• SPPIRIT oversight continues until the next profile is received</li> </ul>