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State Performance Plan and Annual Performance Report

MADSEC Fall Conference
October 29, 2007



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Purpose

- Update on the State Performance Plan and our first Annual Performance Report
- Reminder on determinations
- Share district profile design



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IDEA Reauthorized 2004

- Required a 6 year performance plan
 - Prescribed format and content
 - December 2, 2005
- 34 Indicators
 - 14 for birth through age 2
 - 20 for school aged (3-20)
- Measurable and rigorous targets



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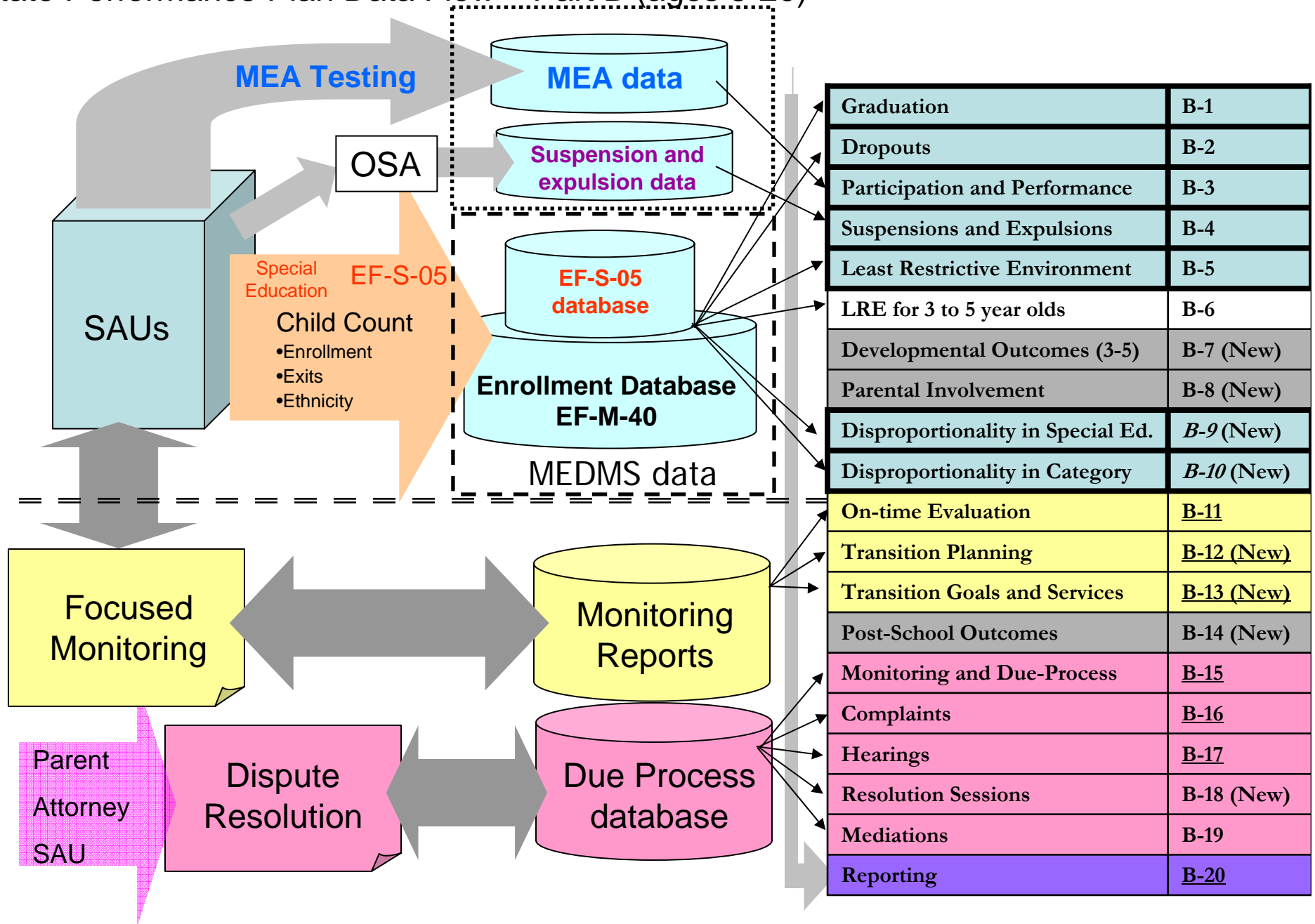
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SPP Reporting

- SPP requires States to report the status of each indicator each year in the Annual Performance Report (APR)
- SPP and subsequent APRs must be made available to the public
- SPP data will be made public by LEA, by indicator, each year

State Performance Plan Data Flow – Part B (ages 3-20)





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The Indicators

Indicator Target	Part C Indicator Number
On-time Service Delivery	C-1
Natural Settings (LRE 0-2)	C-2
Developmental Outcomes (0-2)	C-3 (New)
Parental Involvement	C-4 (New)
0-1 Eligibility	C-5
0-3 Eligibility	C-6
On-time Evaluation	<u>C-7</u>
Transition Planning	<u>C-8</u> (New)
Monitoring and Due-Process	<u>C-9</u>
Complaints	<u>C-10</u>
Hearings	<u>C-11</u>
Resolution Sessions	C-12 (New)
Mediations	C-13
Reporting	<u>C-14</u>

Indicator Target	Part B Indicator Number
Graduation	B-1
Dropouts	B-2
Participation and Performance	B-3
Suspensions and Expulsions	B-4
Classroom Placement (LRE 6-20)	B-5
Natural Settings (LRE 3-5)	B-6
Developmental Outcomes (3-5)	B-7 (New)
Parental Involvement	B-8 (New)
Disproportionality in Special Ed.	B-9 (New)
Disproportionality in Category	B-10 (New)
On-time Evaluation	<u>B-11</u>
Transition Planning	<u>B-12</u> (New)
Transition Goals and Services	<u>B-13</u> (New)
Post-School Outcomes	B-14 (New)
Monitoring and Due-Process	<u>B-15</u>
Complaints	<u>B-16</u>
Hearings	<u>B-17</u>
Resolution Sessions	B-18 (New)
Mediations	B-19
Reporting	<u>B-20</u>



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SPP and APR

<http://www.maine.gov/education/speced/spp/index.html>

- Original version of the State Performance Plan (SPP) dated December 2, 2005
- The initial Annual Performance Report (APR) dated February 1, 2007
- The update to the SPP dated February 1, 2007
- Performance profiles
- Previous presentations
- Technical assistance documentation



Summary Reports

Summary Report format for Part B

- Includes the indicator that must be included for consideration this year
 - Part B – 12

- Shows the student or system results indicators that may be considered
 - Part B – 1, 2, 3, 4a, 5, and 6

Please refer to the color handout →

Federal Fiscal Year 2005 Part B Annual Performance Report
Summary of Progress toward Maine's State Performance Plan

SPP Indicator	Baseline	FFY 2005 Target	FFY 2005 Performance
1. Graduation Rate	74%	76%	66.1%
2. Dropout Rate	4.6%	4.6%	8.6%
3. Assessment	AYP Reading 96.4%	AYP Reading 97%	AYP Reading 96.2%
	AYP Math 93.7%	AYP Math 98.8%	AYP Math 98.2%
	Participation Reading	Participation Reading	Participation Reading
	4 th 8 th 11 th	4 th 8 th 11 th	4 th 8 th 11 th
	99% 99% 98%	98% 98% 90%	98.9% 98.9% 87.9%
	Participation Math	Participation Math	Participation Math
	4 th 8 th 11 th	4 th 8 th 11 th	4 th 8 th 11 th
	99% 99% 98%	98% 98% 90%	97.9% 97.8% 87.9%
	Proficiency Reading	Proficiency Reading	Proficiency Reading
	4 th 8 th 11 th	4 th 8 th 11 th	4 th 8 th 11 th
24% 13% 11%	41% 42% 50%	32% 16% 11%	
Proficiency Math	Proficiency Math	Proficiency Math	
4 th 8 th 11 th	4 th 8 th 11 th	4 th 8 th 11 th	
21% 10% 6%	21% 22% 22%	34% 12% 10%	
4. Discipline	0.65%, New	Reset	0.65%, 0%
5. LRE (6-21)	Regular Class 56%	Regular Class 60%	Regular Class 57.1%
	Self-Contained 12%	Self-Contained 12%	Self-Contained 11.2%
	Separate 4%	Separate 4%	Separate 3.5%
6. LRE (3-5)	79%	81%	79%
7. Developmental Outcomes (3-5)	-	New indicator	Entry = 29%, 42%, 63%
8. Parental Involvement	-	New indicator	Baseline = 85%
9. Disproportionality in Special Education	-	New indicator - 0%	Baseline = 0.6%
10. Disproportionality in Disability	-	New indicator - 0%	Baseline = 0.6%
11. Timeliness	100%	New indicator - 100%	Baseline = 85%
12. Transition, age 3	96%	100%	97%
13. Transition, age 16	90%	New indicator - 100%	Baseline = 83%
14. Post-school outcomes	-	New indicator	Baseline not required this year, but 64.5% by current measure
15. Compliance Monitoring	Monitoring 100%	Monitoring 100%	Monitoring 100%
	Non-monitoring 100%	Non-monitoring 100%	Non-monitoring 100%
	Due Process 100%	Due Process 100%	Due Process 100%
16. Complaints	83%	100%	81.3%
17. Hearings	94%	100%	100%
18. Resolution Sessions	-	New indicator	Baseline = 57%
19. Mediations	66%	76%	83.3%
20. Reporting	100%	100%	100%

Met ■ Mostly met ■ Near miss ■ Far miss ■ Failed ■ New ■
P = Performance indicator C = Compliance indicator



Performance Indicators

SPP Indicator		Baseline			FFY 2005 Target			FFY 2005 Performance		
1. Graduation Rate	P	74%			76%			66.1%		
2. Dropout Rate	P	4.6%			4.6%			8.6%		
3. Assessment	P	AYP Reading		96.4%	AYP Reading		97%	AYP Reading		96.2%
	P	AYP Math		98.7%	AYP Math		98.8%	AYP Math		98.2%
	P	Participation Reading			Participation Reading			Participation Reading		
		4 th	8 th	11 th	4 th	8 th	11 th	4 th	8 th	11 th
		99%	99%	98%	98%	98%	90%	98.9%	98.9%	87.9%
	P	Participation Math			Participation Math			Participation Math		
		4 th	8 th	11 th	4 th	8 th	11 th	4 th	8 th	11 th
		99%	99%	98%	98%	98%	90%	97.9%	97.8%	87.9%
	P	Proficiency Reading			Proficiency Reading			Proficiency Reading		
		4 th	8 th	11 th	4 th	8 th	11 th	4 th	8 th	11 th
24%		13%	11%	41%	42%	50%	32%	16%	11%	
P	Proficiency Math			Proficiency Math			Proficiency Math			
	4 th	8 th	11 th	4 th	8 th	11 th	4 th	8 th	11 th	
	21%	10%	6%	21%	22%	22%	34%	12%	10%	
4. Discipline	P P	0.65%, New			Reset			0.65%, 0%		
5. LRE (6-21)	P	Regular Class		56%	Regular Class		60%	Regular Class		57.1%
		Self-Contained		12%	Self-Contained		12%	Self-Contained		11.2%
		Separate		4%	Separate		4%	Separate		3.5%
6. LRE (3-5)	P	79%			81%			79%		



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Compliance Indicators

- Indicator 12 - reported publicly this year

11. Timeliness	C	100%	New indicator – 100%	Baseline = 85%
12. Transition, age 3	C	96%	100%	97%
13. Transition, age 16	C	90%	New indicator – 100%	Baseline = 83%

- Freedom of information act requires the data to be accessible if requested.



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Determination

Assignment of levels to LEAs
based upon compliance and
performance to the SPP targets



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OSEP Requirements of States

- Pursuant to 616(a)(1)(C)(i) and 300.600(a) [for Part B]
 - States are required to make “Determinations” annually under 616(d) on the performance of LEAs/EIS programs.
- IDEA does not require States to report Determination decisions to the Department or to the public.
- States, of course, *must* inform each LEA or EIS Program of the State’s Determination.



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Determinations and Enforcement

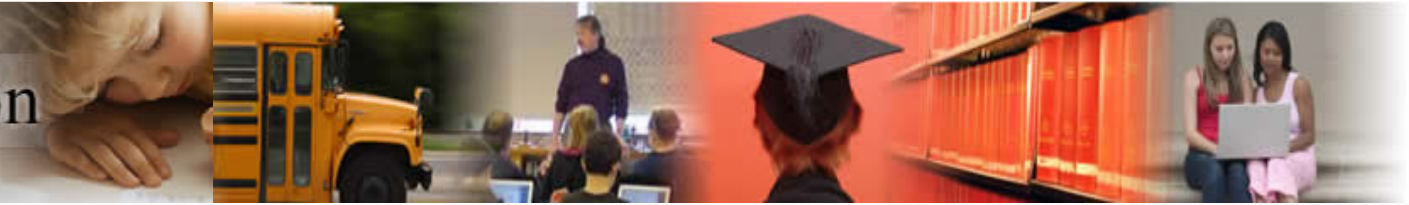
- States must use the same four categories as OSEP in making Determinations of the status of local programs. Categories and enforcement actions include:
 - Meets Requirements
 - Needs Assistance – 300.604
 - (a)(1): Advise programs of available sources of technical assistance to address areas on which the program needs assistance.
 - (a)(3): Identify programs as high risk grantee and imposing conditions on use of funds.



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Determinations and Enforcement (cont.)

– Needs Intervention – 300.604

- (b)(2)(i): Require the program to prepare or implement a corrective action plan to correct the identified area(s).
- (b)(2)(v): Withhold, in whole or in part, further payments to programs.

– Needs Substantial Intervention – 300.604

- (c)(2): Withhold, in whole or in part, any Part B funds.



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Determinations and Enforcement

- States are required to implement the timeline in section 616(e) for enforcement for local programs. For example,
 - After *two* consecutive years of a Determination of “needs assistance” the state must take enforcement action.
 - After *three* consecutive years of a Determination of “needs intervention” the state must take enforcement action.
 - If an LEA or EIS program is determined to be in the category “Needs substantial intervention” immediate enforcement action must be taken.



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Maine's Four Levels of Determination

- Level 1 – Meeting or Exceeding Target
 - May need technical assistance to sustain performance
- Level 2 – Above State Average, but below Target
 - Needs technical assistance to support improvement
- Level 3 – Below Target, but Above Lower Control Limit
 - Needs intervention to improve performance
- Level 4 – Below Lower Control Limit
 - Needs significant intervention to correct deficiency



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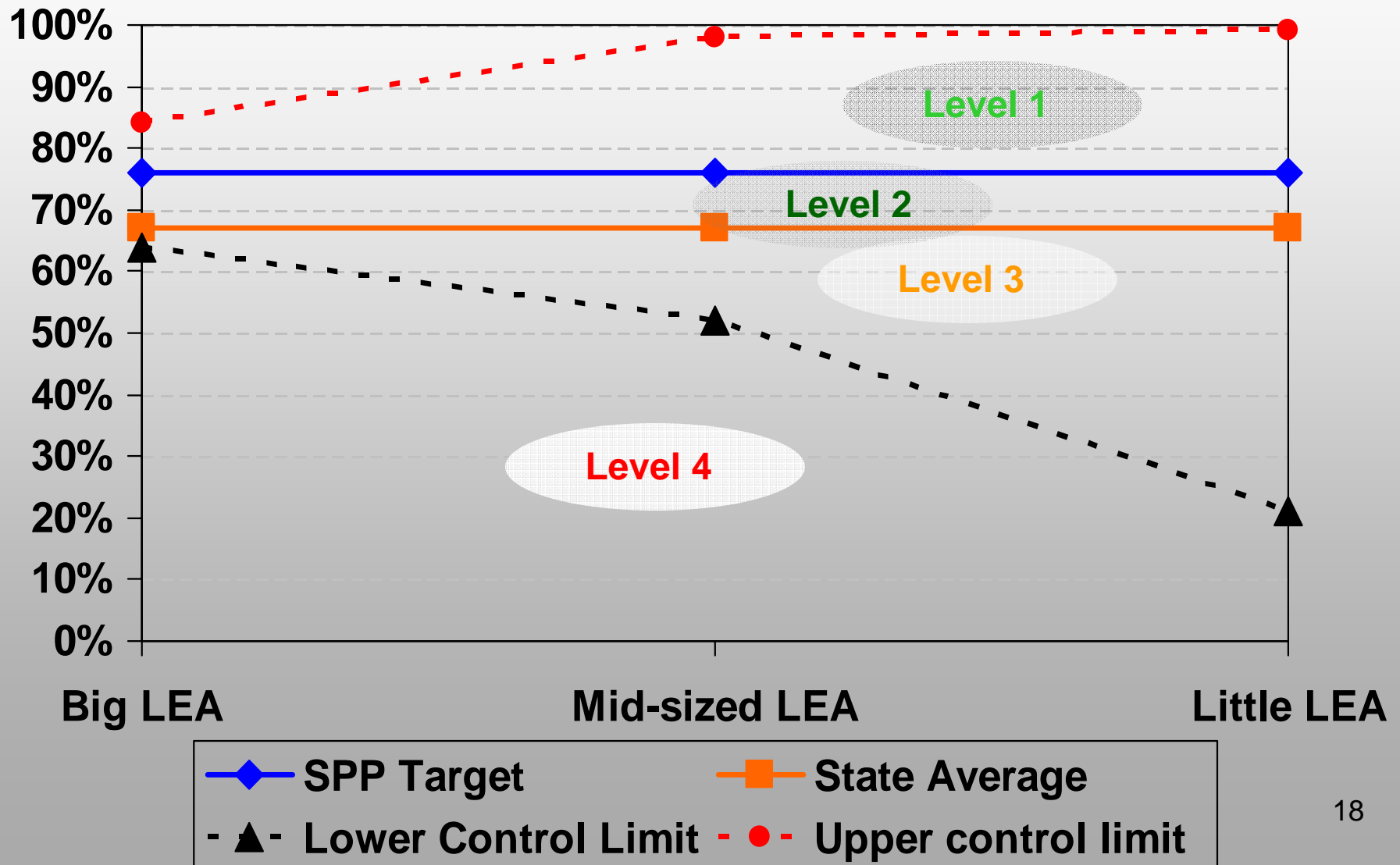


Analysis of Means (ANOM) Procedure

- The Analysis of Means test was invented by Ellis Ott at Rutgers University in the 1950's.
- ANOM is more conservative than other measures in that it minimizes the probability of saying an LEA is different when it is not.
- Does not assume a normal distribution. Instead a vertical band of common cause variation is calculated for each LEA and placed around the overall average.
- The width of the common cause band is determined by the "n" size of the district.

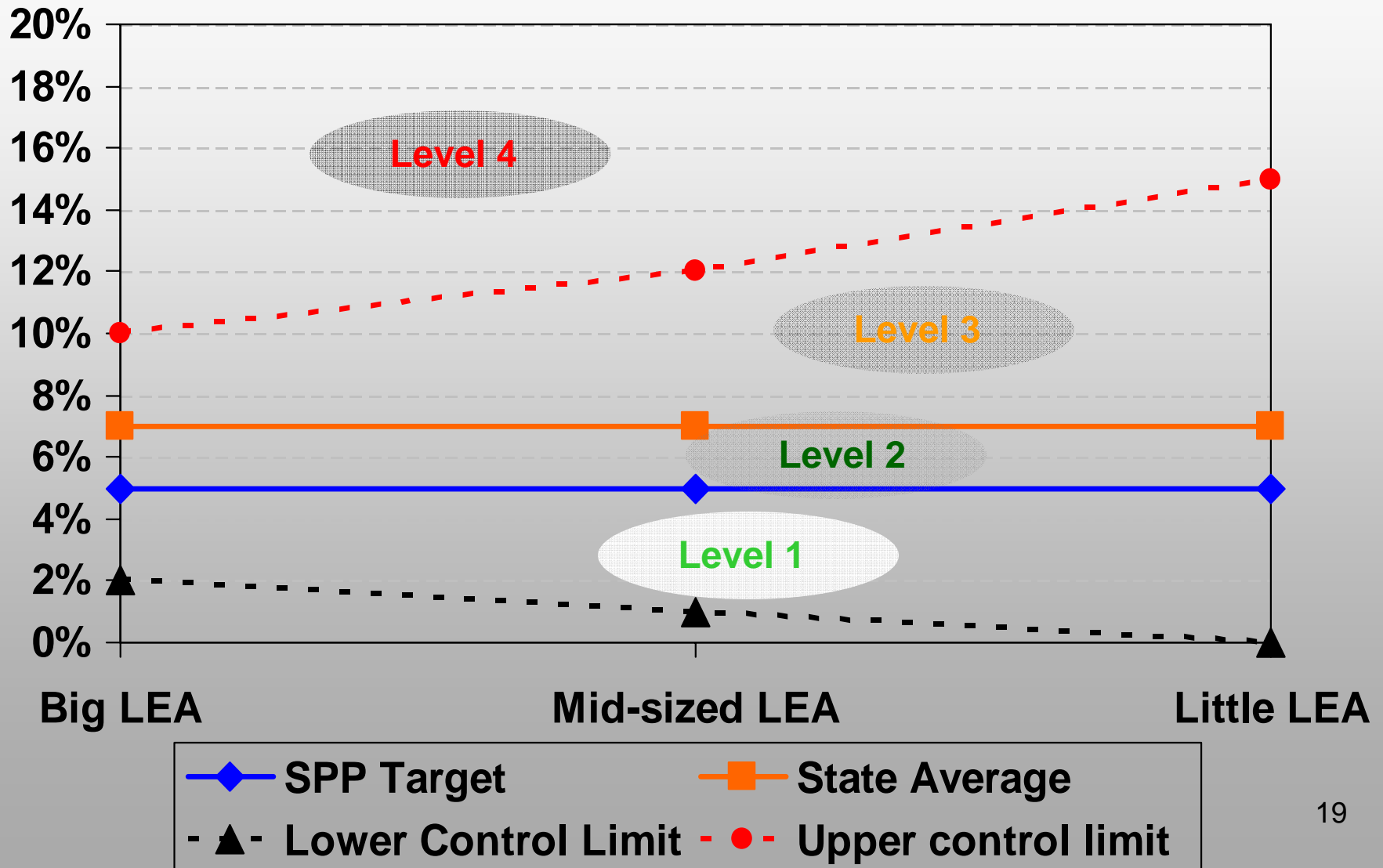


Ranking and Rating – Target to Increase





Ranking and Rating – Target to Decrease





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District Profiles (formerly Report Cards)

- Each LEA gets one
- They don't have to be public, but...
- They will be the basis for determination assignment
- Three important considerations
 - State average
 - SPP target
 - Three (or more) year performance average

SAU Name

Maine School District

Overall Determination Level ⁽⁵⁾

2HS

ATTENDING ENROLLMENT (a.)

	03-04	04-05	05-06	3 yr Avg.
Number of students	199003	195895	190218	195039

RESIDENT ENROLLMENT (b.)

	03-04	04-05	05-06	3 yr Avg.
Number Enrolled	205514	202753	200056	202774
Number Special Ed	34102	33747	33153	33667
Percent Special Ed	16.6%	16.6%	16.6%	16.6%

SPP Indicator 1. GRADUATION (c.)

	02-03	03-04	04-05	3 yr Avg.	Target	St. Avg.	DL
Number of Graduates	164	160	169	164			
Secondary Enrollment	215	205	197	206			
Graduation Rate	76.3%	78.0%	85.8%	79.9%	78.0%	87.4%	1

SPP Indicator 2. DROPOUTS and EXITS by DROPOUT

	03-04	04-05	05-06	SAU Avg	Target	St. Avg.	DL
Total Dropouts	2408	2579		2494			
Overall Dropout Rate (f.)	6.2%	3.0%		4.6%	4.0%	2.8%	2
Special Ed Exits (d.)	8238	8819	8982	8680			
Exit by Dropout Special Ed (d.)	502	559	547	530.5			
Exit by Dropout Special Ed Percent	6.1%	6.3%	6.1%	6.1%	4.0%	6.1%	2

SPP Indicator 4. SUSPENSION EXPULSION (e.)

	03-04	04-05	05-06	3 yr Avg.	Target	St. Avg.	DL
Total GenEd Suspended/Expelled	335	429	552	439		304	
Suspension/Expulsion Rate	0.17%	0.22%	0.29%	0.23%	0.29%	0.30%	
Total Special Ed Suspended/Expelled (g.)	199	218	304	240		606	
Special Ed Suspension/Expulsion Rate	0.10%	0.11%	0.16%	0.12%	0.09%	0.20%	2

SPP Indicator 5. CLASSROOM PLACEMENT (LRE)

	04-05	05-06	06-07	3 yr Avg	Target	St. Avg.	DL
# in Regular Classroom	19002	19256	18600	18953			
% in Regular Classroom	56.3%	58.1%	N/A	56.3%	61.0%	58.1%	2
# in Self Contained Classroom	3971	3699	3765	3812			
% in Self Contained Classroom	11.8%	11.2%	N/A	11.3%	11.0%	11.1%	2
# of Out-of-District Placements	1217	862	1137	1072			
% of Out-of-District Placements	3.6%	2.6%	N/A	3.2%	4.0%	2.7%	1

SPP Indicator 3a.

AYP - STUDENTS w/IEPs?

	Reading	Math	Science
Is SAU on AYP List?	No	No	N/A

a. EF-M-40 Fall Report - Number based on where students attend school

b. EF-M-11 October 1 Report - Number based on where students reside

c. Number of graduates / (Number of Graduates - #9, #10, #11, #12 dropouts)

d. EF-S-05 December 1 Childcount

e. Office of Substance Abuse (OSA) Incidence of Prohibitive Behavior

f. Dropout rate = secondary dropouts / secondary enrollment

g. Only public schools are counted. Academies, private and special purpose privates not included.

SPP Indicators 3b, 3c. PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATEWIDE ASSESSMENTS:

		PARTICIPATION							PERFORMANCE						
		3rd	4th	5th	6th	7th	8th	11th	3rd	4th	5th	6th	7th	8th	11th
2003-04	Reading		100%				100%	90%		11%				0%	0%
	Math		100%				100%	90%		0%				0%	0%
	Science														
2004-05	Reading		100%				100%	100%		0%				12%	0%
	Math		100%				100%	100%		0%				4%	0%
	Science														
2005-06	Reading	88%	100%	89%	88%	94%	100%	67%	36%	20%	6%	17%	13%	29%	8%
	Math	88%	100%	89%	88%	96%	100%	67%	29%	20%	6%	0%	3%	14%	8%
	Science/Writing		100%				100%	67%		40%				48%	8%
Reading	SAU 3 YR Avg.		100%				100%	86%		10%				13%	3%
	State Avg.	97%	99%	97%	97%	97%	98%	88%	32%	31%	22%	20%	19%	16%	11%
	Target	95%	95%	95%	95%	95%	95%	95%	41%					42%	50%
Math	SAU 3 YR Avg.		100%				100%	86%		7%				6%	3%
	State Avg.	97%	99%	97%	97%	97%	98%	88%	35%	35%	27%	17%	13%	12%	11%
	Target	95%	95%	95%	95%	95%	95%	95%	21%					22%	22%
Science Writing	SAU 3 YR Avg.														
	State Avg.		99%				97%	88%		36%				30%	9%
	Target	95%	95%	95%	95%	95%	95%	95%							

SPP Indicator 8: Facilitation of Parent Involvement

Teachers treat me as a team member

	Never	Rarely	Often	Always	Blank	Total	% Oft/Alw	DL
State #	204	594	1731	4312	118	6959	86.8%	
SAU #	204	594	1731	4312	118	6959	86.8%	
State %	3%	9%	25%	62%	2%	100%	86.8%	
SAU %	2.9%	8.5%	24.9%	62.0%	1.7%	100.0%	86.8%	1

Notes:

1. State Average figures based on most current year reported.
2. "Exit by Death (29)," "Maximum Age for Services (17)," "Transferred to Postsecondary (6)," "Completed - Other Cred (50)" not included in 05-06 Graduates
3. Empty cells indicate no data available.
4. DL - Determination Level - Based on 3 year average performance. 1 Meets requirements, 2. Needs Assistance, 3. Needs Intervention, 4. Needs substantial Intervention
5. For Overall Determination Level "HS" indicates district has a high school, "NHS" indicates no high school.



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Enrollment Detail

	ATTENDING ENROLLMENT (a.)			
	03-04	04-05	05-06	3 yr Avg.
Number of students	199003	195895	190218	195098

	RESIDENT ENROLLMENT (b.)			
	03-04	04-05	05-06	3 yr Avg.
Number Enrolled	205514	202753	200056	202774
Number Special Ed	34102	33747	33153	33867
Percent Special Ed	16.6%	16.6%	16.6%	16.6%

<http://www.maine.gov/education/enroll/enrlfacts.htm>



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Notes

- Data Notes:

- a. EF-M-40 Fall Report - Number based on where students attend school
- b. EF-M-11 October 1 Report - Number based on where students reside
- c. Number of graduates / (Number of Graduates - #9, #10, #11, #12 dropouts)
- d. EF-S-05 December 1 Childcount
- e. Office of Substance Abuse (OSA) Incidence of Prohibitive Behavior
- f. Dropout rate = secondary dropouts / secondary enrollment
- g. Only public schools are counted. Academies, private and special purpose privates not included.

Notes:

1. State Average figures based on most current year reported.
2. "Exit by Death (29)," "Maximum Age for Services (17)," "Transferred to Postsecondary (8)," "Completed - Other Cred (50)" not included in 05-06 Graduates
3. Empty cells indicate no data available.
4. DL - Determination Level - Based on 3 year average performance. 1 Meets requirements, 2. Needs Assistance, 3. Needs Intervention, 4. Needs substantial Intervention
5. For Overall Determination Level "HS" indicates district has a high school, "NHS" indicates no high school.



Graduation Rate

	SPP Indicator 1. GRADUATION (c.)						
	02-03	03-04	04-05	3 yr Avg.	Target	St. Avg.	DL
Number of Graduates	184	160	169	164			
Secondary Enrollment	215	205	197	208			
Graduation Rate	76.3%	78.0%	85.8%	79.9%	78.0%	87.4%	1

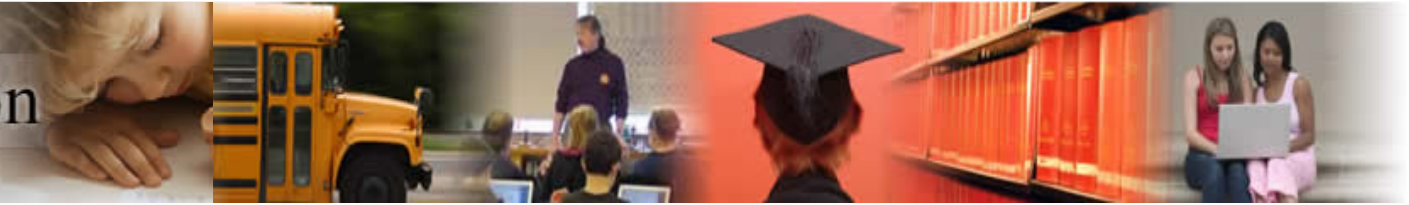
<http://www.maine.gov/education/enroll/grads/comprate/comprate.htm>



Dropout Rate

	SPP Indicator 2. DROPOUT \$ and EXIT \$ by DROPOUT						
	03-04	04-05	05-06	SAU Avg	Target	St. Avg.	DL
Total Dropouts	2408	2579		2494			
Overall Dropout Rate (f.)	6.2%	3.0%		4.6%	4.0%	2.8%	2
Special Ed Exits (d.)	8238	8819	8982	8680			
Exit by Dropout Special Ed (d.)	502	559	547	530.5			
Exit by Dropout Special Ed Percent	6.1%	6.3%	6.1%	6.1%	4.0%	6.1%	2

<http://www.maine.gov/education/enroll/dropouts/dropbyyear.htm>



Adequate Yearly Progress (AYP)

	SPP Indicator 3a. AYP - STUDENTS w/IEPs?		
	Reading	Math	Science
SAU on AYP List?	No	No	NA

<http://www.maine.gov/education/pressreleases/ayp/ayplistmenu.htm>



Suspensions and Expulsions

	SPP Indicator 4. SUSPENSION EXPULSION (e.)						
	03-04	04-05	05-06	3 yr Avg.	Target	St. Avg.	DL
Total GenEd Suspended/Expelled	335	429	552	439		304	
Suspension/Expulsion Rate	0.17%	0.22%	0.29%	0.23%	0.29%	0.30%	
Total Special Ed Suspended/Expelled (g.)	199	218	304	240		606	
Special Ed Suspension/Expulsion Rate	0.10%	0.11%	0.16%	0.12%	0.09%	0.20%	2

<http://www.mainesdfsca.org/information.html>



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Classroom Placement

	SPP Indicator 5. CLASSROOM PLACEMENT (LRE)						
	04-05	05-06	06-07	3 yr Avg	Target	St. Avg.	DL
# in Regular Classroom	19002	19256	18600	18953
% in Regular Classroom	58.3%	58.1%	N/A	58.3%	61.0%	58.1%	2
# in Self Contained Classroom	3971	3699	3765	3812
% in Self Contained Classroom	11.8%	11.2%	N/A	11.3%	11.0%	11.1%	2
# of Out-of-District Placements	1217	862	1137	1072
% of Out-of-District Placements	3.6%	2.6%	N/A	3.2%	4.0%	2.7%	1

http://portalx.bisoex.state.me.us/pls/doe/eddev.efs05_public_reports.select_unit?v_source=edplace



Assessment Participation

		SPP Indicators 3b, 3c. PARTICIPATION AND PERFORMANCE						
		PARTICIPATION						
		3rd	4th	5th	6th	7th	8th	11th
2003-04	Reading		99.3%				98.6%	98.9%
	Math		99.4%				98.3%	98.8%
	Science							
2004-05	Reading		99.3%				99.1%	98.6%
	Math		99.5%				99.1%	98.2%
	Science							
2005-06	Reading	97.7%	98.4%	93.5%	98.3%	100.0%	92.5%	91.9%
	Math	95.5%	98.4%	93.5%	98.3%	97.7%	91.0%	91.9%
	Science/Wrtng		98.4%				91.0%	91.9%
Reading	SAU 3 YR Avg.		99.0%				96.7%	96.5%
	State Avg.	96.9%	98.9%	97.3%	96.7%	96.8%	97.9%	87.9%
	Target	95.0%	95.0%	95.0%	95.0%	95.0%	95.0%	95.0%
Math	SAU 3 YR Avg.		99.1%				96.2%	96.3%
	State Avg.	96.9%	98.9%	97.3%	96.7%	96.7%	97.8%	87.9%
	Target	95.0%	95.0%	95.0%	95.0%	95.0%	95.0%	95.0%
Science Writing	SAU 3 YR Avg.							
	State Avg.		98.7%				97.4%	88.0%
	Target	95.0%	95.0%	95.0%	95.0%	95.0%	95.0%	95.0%



Assessment Performance

		SPP Indicators 3b, 3c. PARTICIPATION AND PERFORMANCE						
		PERFORMANCE						
		3rd	4th	5th	6th	7th	8th	11th
2003-04	Reading		16.4%				3.7%	8.1%
	Math		12.5%				2.3%	2.7%
	Science							
2004-05	Reading		18.2%				8.0%	7.4%
	Math		15.1%				6.1%	2.3%
	Science							
2005-06	Reading	31.6%	31.3%	21.6%	19.2%	19.6%	15.0%	11.5%
	Math	35.1%	33.5%	24.5%	15.4%	13.0%	12.4%	9.5%
	Science/Wrtng		30.0%				30.1%	8.0%
Reading	SAU 3 YR Avg.		22.0%				8.9%	9.0%
	State Avg.	31.8%	31.4%	22.3%	20.1%	18.8%	16.4%	10.6%
	Target		41.0%				42.0%	50.0%
Math	SAU 3 YR Avg.		20.4%				6.9%	4.8%
	State Avg.	35.4%	34.7%	27.3%	17.3%	13.4%	12.4%	10.6%
	Target		21.0%				22.0%	22.0%
Science Writing	SAU 3 YR Avg.							
	State Avg.		35.5%				30.0%	8.7%
	Target							



Parent Survey

		SPP Indicator 8: Facilitation of Parent Involvement							
<i>Teachers treat me as a team member</i>		Never	Rarely	Often	Always	Blank	Total	% Oft/Alw	DL
State #		204	594	1731	4312	118	6959	86.8%	
SAU #		204	594	1731	4312	118	6959	86.8%	
State %		3%	9%	25%	62%	2%	100%	86.8%	
SAU %		2.9%	8.5%	24.9%	62.0%	1.7%	100.0%	86.8%	1



DEPARTMENT OF

Education

STATE OF MAINE



Feedback

- Questions
- T/A discussions

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