

Agenda

Item #4

**Wayne, Jonathan**

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**From:** Katherine D. Pelletreau [meahp@maine.rr.com]  
**Sent:** Monday, March 03, 2008 1:34 PM  
**To:** Wayne, Jonathan  
**Subject:** Response to request for information

Dear Mr. Wayne:

I have requested a waiver of the \$200 penalty for late filing of an annual report of my lobbying activities. I am writing to respond to your request for more information about my situation.

My husband's initial heart attack occurred on October 10<sup>th</sup>, 2007 at home. He had two subsequent heart attacks in the hospital during the following week. Throughout the fall from November 5<sup>th</sup> thru December 14<sup>th</sup>, we were engaged in an intensive rehabilitation program through Turning Point at MMC campus in Scarborough. I was on temporary leave from work through the end of the year.

For the past 8 years, I have been the only employee of the Maine Association of Health Plans.

I apologize for the oversight. It occurred because I was not engaged in the details of work as I attempted to help and support my husband through the fall. Once I returned, I realized that the deadline had been missed and filed immediately on January 2<sup>nd</sup>, 2008.

Sincerely,

Katherine D. Pelletreau

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Katherine D. Pelletreau, MPH  
Executive Director  
Maine Association of Health Plans  
250 Greely Road  
Cumberland, Maine 04021  
Phone: 207-829-5696  
Fax: 207-829-9204



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

To: Katherine Pelletreau, Lobbyist for:  
Maine Association of Health Plans

From: Gavin O'Brien, Registrar

Date: January 7, 2007

Each registered lobbyist was required to file an annual report with the Commission on Governmental Ethics and Election Practices no later than 5:00 p.m. on December 31, 2007. Any person who fails to file a timely report may be assessed a penalty of \$200 for every month the report is late. You filed your annual report on 1/2/08. The penalty is \$200.

If you agree with this preliminary penalty determination, you may use the attached billing statement to pay that amount within 30 days of the date of this notice. Please mail your remittance to the Commission on Governmental Ethics and Election Practices, 135 State House Station, Augusta, Maine 04333.

However, if you have a valid reason for filing late, you may request the Commission to make a final penalty determination. The Commission will notify you of the disposition of your case within 10 days after its determination.

Any person who fails to file a report or pay a fee may be suspended from further lobbying by written notice of the Commission until such failure is corrected.

Please direct any questions you may have about this matter to the Commission at (207) 287-4709.

Cut Along Dotted Line

To: Commission on Governmental Ethics and Election Practices  
135 State House Station  
Augusta, Maine 04333

From: Katherine Pelletreau, Lobbyist

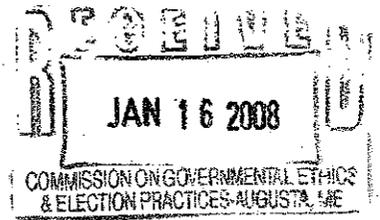
Re: Penalty for late filing of the annual lobbyist disclosure report (\$200)

Amount Enclosed: \$ \_\_\_\_\_

Check/M.O. No.: # \_\_\_\_\_

Please make Check or Money Order Payable to Treasurer, State of Maine

MAINE ASSOCIATION  
OF  
HEALTH PLANS



January 15, 2008

Mr. Gavin O'Brien  
Registrar  
State of Maine  
Commission on Governmental Ethics  
And Election Practices  
135 State House Station  
Augusta, ME 04333-0135

Dear Mr. O'Brien:

Unfortunately my family experienced a medical emergency in the late fall – my husband had a heart attack. I have been on leave from work through December 31, 2007 and filed the annual report as soon as I returned from this leave. Because of this situation, I would ask that the commission waive the \$200 fine.

Please contact me at 829-5696 if I can answer any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Katherine D. Pelletreau". The signature is fluid and cursive.

Katherine D. Pelletreau  
Executive Director

Enc.



Welcome to the Campaign Finance Electronic Filing System Site

Menu Home Help Logout Change Password Admin Page

1/28/2008

Home Page for Maine Association of Health Plans

LOBBYIST YEAR 2007 Refresh

REPORT TYPE	REPORTING PERIOD	DUE DATE	DATE FILED	STATUS	LAST MODIFIED
Lobbyist/Employer Registration	12/1/2006 to 11/30/2007		1/31/2007 4:43:05 PM	Filed	
→ Annual Report	12/1/2006 to 11/30/2007	12/31/2007	1/2/2008 10:23:23 AM	Filed	
Non-Session Waiver	7/1/2007 to 11/30/2007		8/7/2007	Filed	
Notification of Termination	12/1/2006 to 11/30/2007				

Monthly Report - Short Form	N/A	MULTIPLE
Monthly Report - Long Form	N/A	MULTIPLE

Last Name	First Name	Employer Name	
Allen ✓	David	Central Maine Power	done
Alusic ✓	Lorin	Grocery Manufacturers/Food Products Association	Called & emailed
Beliveau ✓	Severin	Schaller Anderson Incorporated	631 5565-1
Beliveau ✓	Michael	Tides Center / Environmental Health Strategy Center	Called & emailed
Bickford ✓	Julie-Marie	Maine Dairy Industry Association	Called will get it in - done
Butts ✓	John	Associated General Contractors of Maine	Called & emailed
Buxton ✓	Anthony	Industrial Energy Consumer Group	done
Collings ✓	Benjamin	Penobscot Nation	Called & emailed
Cook ✓	Kimberly	Wild Blueberry Commission of Maine	
Cook ✓	Kimberly	Martin's Point Health Care	
Cook ✓	Kimberly	Drive Insurance from Progressive	done
Dickstein ✓	Carla	Coastal Enterprises, Inc.	
Diehl ✓	Leann	American Chemistry Council	
Diehl ✓	Leann	Central Maine Power Company	333 8040
Diehl ✓	Leann	Central Boilers, Inc.	
Diehl ✓	Leann	Multi-State Associates o/b/o National Electrical Manufacturers Association	
Diehl ✓	Leann	Consumer Electronic Association	Terminated - Called & emailed
Diehl ✓	Leann	MultiState Associates o/b/o Coin Laundry Association	
Doyle Jr. ✓	John	Consumer Council System of Maine	done
Gorham ✓	Edward	Maine AFL-CIO	Called & emailed 446 4228
Hudson ✓	Steven	Fairpoint New England	ph. mailbox full - emailed
Mackey ✓	Joseph	Maine Youth Camping Association	Called & emailed
Mackey ✓	Joseph	Maine Public Broadcasting Corporation	Called & emailed
Mackey ✓	Joseph	City of Portland	
Mackey ✓	Joseph	EDS Corporation	Called & emailed
Mackey ✓	Joseph	Town of Kennebunkport	
Mackey ✓	Joseph	City of Auburn	
Matthews ✓	Zack	AFSCME Council 93	Called & emailed
Micoleau ✓	Charles	UST Public Affairs, Inc.	done
Nadeau ✓	Robert	St. Andre Home, Inc.	done
Pachios ✓	Harold	National Council on Compensation Insurance	
Pachios ✓	Harold	Maine State Society for the Protection of Animals	done
Pachios ✓	Harold	Brookefield Asset Management, Inc.	
Pears ✓	Kathryn	Maine Alzheimer's Association	done
Pelletreau ✓	Katherine	Maine Association of Health Plans	Called & emailed
Public Affairs Group ✓		L.L. Bean, Inc.	
Public Affairs Group ✓		CIGNA Healthcare	done
Public Affairs Group ✓		Maine Affordable Rental Housing Coalition	
Public Affairs Group ✓		TracFone Wireless, Inc.	
Public Affairs Group ✓		Mortgage Bankers Association of Maine	emailed
Public Affairs Group ✓		Stateside Associates o/b/o American Legal Finance Association	done
Public Affairs Group ✓		Maine Business Aviation Association	done
Purgavie ✓	Vicki	Home Care & Hospice Alliance of Maine	Called & emailed
Py ✓	Jamie	Maine Oil Dealers Association	done
Quint ✓	Christopher	Planned Parenthood of Northern New England	done
Robinson ✓	Ann	American Express Travel Related Services	
Robinson ✓	Ann	Motorcycle Industry Council, Inc.	
Robinson ✓	Ann	Medco Health Solutions, Inc.	
Robinson ✓	Ann	Pharmaceutical Research and Manufacturers of America	done
Robinson ✓	Ann	Maine Biomedical Research Coalition	
Robinson ✓	Ann	Municipal Review Committee, Inc.	
Robinson ✓	Ann	Securities Industry and Financial Markets Association	
Robinson ✓	Ann	Merck and Company, Inc.	
Robinson ✓	Ann	Spectrum Medical Group	
Smith ✓	George	Sportsmans Alliance of Maine	done
Sweet ✓	Elizabeth	Maine Coalition to End Domestic Violence	will do now - done
Walton ✓	Tim	Cianbro Corporation	done

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STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

To: Lobbyists Who Have Not Filed Annual Report

From: Gavin O'Brien, Temporary Lobbyist Registrar

Date: December 18, 2007

Re: Annual Report Due December 31 at 5:00 p.m.

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All lobbyists registered for the 2007 session are required to file an annual report with the Commission, including those who have filed a non-session waiver or a notice of Termination. Since the December 30<sup>th</sup> deadline falls on a weekend, this year's report will be due on Monday, December 31 by 5:00 p.m. The annual report must be filed electronically from your homepage in the Commission's e-filing system.

The penalty for filing your annual report late is \$200 per month after the deadline.

**Our records indicate that you have not yet filed the report for one or more of your employers. To avoid the assessment of a penalty, please make sure you file it on or before Monday, December 31<sup>st</sup> at 5:00 p.m.**

The Commission office will be open next week on December 26-28. The staff will be available to assist you in that period, but please be aware that I will be out of the office and we will have only one or two employees in the office during the week. The Commission's Assistant, Cyndi Phillips, and I will be back in the office on Monday, December 31 (the deadline).

If you have any questions, please telephone us at 287-4179. Thank you.

December 18 Reminder Letter

Pears	Ralph Buchanan	2 Round Cove Lane	Phippsburg	ME	04562
Pelletreau	Katherine	250 Greely Rd.	Cumberland	ME	04021
Perkins	Logan	P.O. Box 151	Thorndike	ME	04986
Pietroski	Joseph	P.O. Box 735	Augusta	ME	04330
Pineau	Edward	P.O. Box 297	Manchester	ME	04351
Pinkham	Christopher	489 Congress St., Ste. 300	Portland	ME	04101
Public Affairs Group		185 State St.	Augusta	ME	04330
Purgavie	Vicki	20 Middle St.	Augusta	ME	04330
Py	Jamie	P.O. Box 249	Brunswick	ME	04011
Quint	Christopher	51 U.S. Rte 1, Ste. C	Scarborough	ME	04074
Rathband	Jed	P.O. Box 17851	Portland	ME	04112
Robinson	Ann	P.O. Box 1058	Augusta	ME	04332-1058
Romano	Jeffrey	1 Main St., Ste. 201	Topsham	ME	04086
Rosario	Stephen	99 Washington Ave., Ste. 701	Albany	NY	12240
Sanborn	Benjamin	P.O. Box 5347	Augusta	ME	04332
Saxl	Michael	P.O. Box 5307	Augusta	ME	04332
Schulberger	Sharon	60 Gray Rd., Unit 8	Falmouth	ME	04105
Shoos	John	P.O. Box 15200	Portland	ME	04112-5200
Smith	George	205 Church Hill Rd.	Augusta	ME	04330
Smith	Rebecca	11 Parkwood Drive	Augusta	ME	04330
Soltan	Charles	P.O. Box 188	Augusta	ME	04332-5307
Spence	Ruth Anne	45 Memorial Cir., Ste. 103	Augusta	ME	04330
Spencer	Richard	P.O. Box 9781	Portland	ME	04104
Stoddard	Michael	101 Brentwood Street	Portland	ME	04103
Sweet	Elizabeth	P.O. Box 71	Hallowell	ME	04347
Tardy	Robert	P.O. Box 336	Newport	ME	04953
Thompson	Richard	9 White Birch Dr.	Readfield	ME	04355
Timberlake	Cheryl	P.O. Box 615	Augusta	ME	04332-0615
Trahey	Richard	77 Water St.	Hallowell	ME	04347
Trask	Norman	41 N. Shore Ln.	E. Winthrop	ME	04343
Turowski	Mary Anne	P.O. Box 1072	Augusta	ME	04332
Viger	Celeste	50 Moody St.	Saco	ME	04072
Walker	Daniel	P.O. Box 1058	Augusta	ME	04332
Walton	Tim	P.O. Box 1000	Pittsfield	ME	04967
Whitley	Dennise	51 US Route 1, Ste. M	Scarborough	ME	04074
Williams	John	P.O. Box 5670	Augusta	ME	04330
Wood	Gary	City Hall, 389 Congress St.	Portland	ME	04101
Worsowicz	Paul	P.O. Box 1415	Concord	NH	03302

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Prev: Chapter 15 §318  
 Next: Chapter 15 §319-A

**Title 3: LEGISLATURE****Chapter 15: LOBBYIST DISCLOSURE PROCEDURES (HEADING: PL 1975, c.****576 (rpr); 1975, c. 621, §2 (rp); c. 724, (ren))**

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Download Section 319  
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**§319. Penalty**

**1. Failure to file registration or report.** Any person who fails to file a registration or report as required by this chapter may be assessed a fine of \$100 for each person listed or who should have been listed on the lobbyist registration for every month the person fails to register or is delinquent in filing a report pursuant to section 317. The commission may waive the penalty in whole or in part if the commission determines the failure to register or report was due to mitigating circumstances. [1993, c. 691, §22 (rpr).]

[Revisor's Office](#)

**1-A. Notice of suspension.** Any person who fails to file a report or pay a fee as required by this chapter may be suspended from further lobbying by written notice of the commission until such failure is corrected. [1993, c. 446, Pt. B, §12 (amd).]

[Maine Legislature](#)

2. [1979, c. 632, §3 (rp).]

**3. Exemption.** Notwithstanding section 317, subsection 1, a registered lobbyist is exempt from the penalty imposed under this section if, while the Legislature is convened in special session, the lobbyist failed to file a report with the commission pursuant to section 317 if no lobbying has been performed during that special session. [1993, c. 446, Pt. B, §13 (amd).]

## Section History:

PL 1975, Ch. 576, § (NEW).  
 PL 1975, Ch. 621, §2 (RP).  
 PL 1975, Ch. 724, § (REN).  
 PL 1977, Ch. 696, §17 (AMD).  
 PL 1979, Ch. 632, §3 (RPR).  
 PL 1989, Ch. 114, § (AMD).  
 PL 1991, Ch. 465, §2 (AMD).  
 PL 1993, Ch. 446, §A15, B11-13 (AMD).  
 PL 1993, Ch. 691, §22 (AMD).

**The Revisor's Office cannot provide legal advice or interpretation of Maine law to the public. If you need legal advice, please consult a qualified attorney.**

Office of the Revisor of Statutes  
 7 State House Station  
 State House Room 108  
 Augusta, Maine 04333-0007

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