

Agenda

Item #6

O'Brien, Gavin

From: John Hennessy [jihmaine@maine.rr.com]
Sent: Tuesday, February 12, 2008 3:37 AM
To: O'Brien, Gavin
Subject: LATE FILING WAIVER
Follow Up Flag: Follow up
Flag Status: Red

Gavin –

Please initiate a late filing waiver for Moose Ridge Associates for the following November 2007 client reports: American Cancer Society, Maine AIDS Alliance, Maine Child Care Directors Association, Maine Community Action Association and Maine Primary Care Association.

I mistakenly believed that the end of session reports due on December 31, 2007 would include the November filing requirement. We take our reporting to the Ethics Commission quite seriously and are usually 100% compliant. Please review the situation and a waiver of the \$500 fee would be most appreciated. I will read the instructions much better going forward.

Many thanks –

John Hennessy

Maine AIDS Alliance



- [Menu](#)
- [Home](#)
- [Help](#)
- [Logout](#)
- [Change Password](#)
- [✓ Popup Help](#)

Multiple Reports for Ms. Elizabeth Sweet

Monthly Report - Long Form

Report Description	Report Status	Report Date		
January -- 2007	Filed	2-15-2007	Delete	Print
February -- 2007	Filed	3-14-2007	Delete	Print
March -- 2007	Filed	4-16-2007	Delete	Print
April -- 2007	Filed	5-7-2007	Delete	Print
May -- 2007	Filed	6-4-2007	Delete	Print
June -- 2007	Filed	7-9-2007	Delete	Print
September -- 2007	Filed	10-15-2007	Delete	Print
October -- 2007	Filed	11-14-2007	Delete	Print
November -- 2007	Filed	12-20-2007	Delete	Print

-
- [Search](#)
- [Add](#)
- [Top](#)
- [Page Up](#)
- [Page Dn](#)
- [End](#)
- [Report Menu](#)

Instructions to:

Create new monthly report - click the Add button

****See Help Menu for Instructions****

Maine Primary Care Association



- Menu
- Home
- Help
- Logout
- Change Password
- ✓ Popup Help

Multiple Reports for Ms. Elizabeth Sweet

Monthly Report - Long Form

Report Description	Report Status	Report Date		
January -- 2007	Filed	2-15-2007	Delete	Print
February -- 2007	Filed	3-14-2007	Delete	Print
March -- 2007	Filed	4-16-2007	Delete	Print
April -- 2007	Filed	5-7-2007	Delete	Print
May -- 2007	Filed	6-4-2007	Delete	Print
June -- 2007	Filed	7-9-2007	Delete	Print
September -- 2007	Filed	10-15-2007	Delete	Print
October -- 2007	Filed	11-14-2007	Delete	Print
November -- 2007	Filed	12-20-2007	Delete	Print

- Search
- Add
- Top
- Page Up
- Page Dn
- End
- Report Menu

Instructions to:

Create new monthly report - click the Add button

****See Help Menu for Instructions****

Maine Community Action Association



- [Menu](#)
- [Home](#)
- [Help](#)
- [Logout](#)
- [Change Password](#)
- [✓ Popup Help](#)

Multiple Reports for Ms Elizabeth Sweet

Monthly Report - Short Form

Report Description	Report Status	Report Date		
December -- 2006	Filed	1-16-2007	Delete	Print
July -- 2007	Filed	8-15-2007	Delete	Print
August -- 2007	Filed	9-16-2007	Delete	Print
September -- 2007	Filed	10-15-2007	Delete	Print
October -- 2007	Filed	11-14-2007	Delete	Print
November -- 2007	Filed	12-20-2007	Delete	Print

-
- [Search](#)
- [Add](#)
- [Top](#)
- [Page Up](#)
- [Page Dn](#)
- [End](#)
- [Report Menu](#)

Instructions to:

Create new monthly report - click the Add button

****See Help Menu for Instructions****

Maine Child Care Directors Association



- Menu
- Home
- Help
- Logout
- Change Password
- ✓ Popup Help

Multiple Reports for Ms Elizabeth Sweet

Monthly Report - Long Form

Report Description	Report Status	Report Date		
January -- 2007	In-Progress	2-12-2007	Delete	Print
February -- 2007	Filed	3-14-2007	Delete	Print
March -- 2007	Filed	4-30-2007	Delete	Print
April -- 2007	Filed	5-7-2007	Delete	Print
May -- 2007	Filed	6-4-2007	Delete	Print
June -- 2007	Filed	7-9-2007	Delete	Print
July -- 2007	Filed	8-15-2007	Delete	Print
August -- 2007	Filed	9-16-2007	Delete	Print
September -- 2007	Filed	10-15-2007	Delete	Print
October -- 2007	Filed	11-14-2007	Delete	Print
November -- 2007	Filed	12-20-2007	Delete	Print

- Search
- Add
- Top
- Page Up
- Page Dn
- End
- Report Menu

Instructions to:

Create new monthly report - click the Add button

****See Help Menu for Instructions****

American Cancer Society



- Menu
- Home
- Help
- Logout
- Change Password
- ✓ Popup Help

Multiple Reports for Ms. Elizabeth Sweet

Monthly Report - Long Form

Report Description	Report Status	Report Date		
January -- 2007	Filed	2-15-2007	Delete	Print
February -- 2007	Filed	3-14-2007	Delete	Print
March -- 2007	Filed	4-16-2007	Delete	Print
April -- 2007	Filed	5-7-2007	Delete	Print
May -- 2007	Filed	6-4-2007	Delete	Print
June -- 2007	Filed	7-9-2007	Delete	Print
September -- 2007	Filed	10-15-2007	Delete	Print
October -- 2007	Filed	11-14-2007	Delete	Print
November -- 2007	Filed	12-20-2007	Delete	Print

- Search
- Add
- Top
- Page Up
- Page Dn
- End
- Report Menu

Instructions to:

Create new monthly report - click the Add button

****See Help Menu for Instructions****



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

January 22, 2008

FINAL NOTICE

Elizabeth Sweet
P.O. Box 71
Hallowell, ME 04347

Dear Ms. Sweet:

You have neither paid your penalty determination communicated to you on December 18, 2007 for the late filing of your November monthly reports, nor have you requested a commission determination of the penalty to be assessed. Any person who fails to file a report or pay a fee may be suspended from further lobbying by written notice of the Commission until such failure is corrected. Therefore, if your check for \$500.00 or a request for a waiver is not received in this office within 10 business days of the date of this letter, the Commission may refer this matter to the Attorney General's office for appropriate action.

If your records indicate that you have paid this penalty, please provide this office with a copy of the canceled check or other proof of payment.

In the meantime, if you have any questions, please call me at 287-4709.

Sincerely,

Gavin O'Brien
Registrar

Encl: Original Notice



STATE OF MAINE
 COMMISSION ON GOVERNMENTAL ETHICS
 AND ELECTION PRACTICES
 135 STATE HOUSE STATION
 AUGUSTA, MAINE
 04333-0135

COPY

To: Elizabeth Sweet, Lobbyist for:
 Maine Child Care Directors Association
 Maine Community Action Association
 Maine Primary Care Association
 American Cancer Society
 Maine AIDS Alliance

From: Gavin O'Brien, Temporary Lobbyist Registrar

Date: December 18, 2007

Our records show that your November monthly lobbyist disclosure report has not been filed to date for the above employers. The November report was due by 5:00 p.m. on December 17, 2007.

3 M.R.S.A. §319(1) states that any person who fails to file a timely report may be assessed a penalty of \$100 for every month the person is delinquent in filing the report; thus, to date your penalty is **\$500**. If you agree with this preliminary determination, you may use the attached billing statement to pay that penalty within 30 days of the date of this notice. Please mail your remittance to the Commission on Governmental Ethics and Election Practices, 135 State House Station, Augusta, Maine 04333.

However, if you have a valid reason for filing late, you may request a final penalty determination by the Commission. The Commission will notify you of the disposition of your case within 10 days after its determination.

To avoid further penalty, you should file the report as soon as possible. Pursuant to 3 M.R.S.A. § 319(1-A), any person who fails to file a report or pay a fee may be suspended from further lobbying by written notice of the Commission until such failure is corrected.

Please direct any questions you may have about this matter to me at (207) 287-4709.

Cut Along Dotted Line

To: Commission on Governmental Ethics and Election Practices
 135 State House Station
 Augusta, Maine 04333

For Office Use Only Account: CGEEP Fund: 014 Appr: 01
--

From: Elizabeth Sweet

Re: Penalty for late filing of the November 2007 monthly lobbyist disclosure report (\$500)

Amount Enclosed: \$ _____

Check/M.O. No.: # _____

Please Make Check or Money Order Payable to Treasurer, State of Maine

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
 WEBSITE: WWW.MAINE.GOV/ETHICS

O'Brien, Gavin

From: O'Brien, Gavin

Sent: Monday, December 17, 2007 10:56 AM

Subject: Lobbyist report due today

This is a reminder to file your November lobbyist report by 5:00 p.m. today. Please contact me if you have any questions.

--

Gavin O'Brien
Candidate Registrar
Maine Ethics Commission
(207) 287-4709

O'Brien, Gavin

From: O'Brien, Gavin

Sent: Monday, December 03, 2007 1:35 PM

Subject: November Lobbyist Report Due on December 17

Dear Lobbyist,

Please remember to file your November lobbyist report no later than 5:00 p.m. on Monday, December 17 (the 15th is a Saturday).

You must file one of the two reports listed below regardless of your activity during the month for all of your registered employers. Remember, once registered all lobbying activity is reportable. This means that if you're registered, and you lobbied at all during November, you must file the long form and report your activity.

Long Form	If you have either lobbied or received compensation.
Short Form	If you have neither lobbied nor received compensation.

To avoid the statutorily imposed \$100 penalty, please file your report on time. If you have any questions, please call me at the number below or reply to this e-mail. Thank you.

--

Gavin O'Brien
Candidate Registrar
Maine Ethics Commission
(207)287-4709