

TITLE COMPANIES

COMPANY NAME: _____ NAIC Company Code: _____

Contact: _____ Telephone: _____

REQUIRED FILINGS IN THE STATE OF: Maine Filings Made During the Year 2017

(1) Checklist	(2) Line #	(3) REQUIRED FILING FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE**	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC	State			
I. NAIC FINANCIAL STATEMENTS								
	1	Annual Statement (8 1/2" x 14")	xxx	EO	xxx	3/1	NAIC	I
	1.1	Printed Investment Schedule detail (Pages E01-E27)	xxx	EO	xxx	3/1	NAIC	I
	2	Quarterly Financial Statement (8 1/2" x 14")	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	I
II. NAIC SUPPLEMENTS								
	11	Actuarial Opinion	xxx	EO	xxx	3/1	Company	
	12	Investment Risk Interrogatories	xxx	EO	xxx	4/1	NAIC	
	13	Management Discussion & Analysis	xxx	EO	xxx	4/1	Company	
	14	Schedule SIS	xxx	N/A	N/A	3/1	NAIC	
	15	Supplemental Compensation Exhibit	xxx	N/A	N/A	3/1	NAIC	
	16	Supplemental Schedule of Business Written By Agency	xxx	EO	xxx	4/1	NAIC	
III. ELECTRONIC FILING REQUIREMENTS								
	61	Annual Statement Electronic Filing	xxx	EO	xxx	3/1	NAIC	
	62	March .PDF Filing	xxx	EO	xxx	3/1	NAIC	
	63	Supplemental Electronic Filing	xxx	EO	xxx	4/1	NAIC	
	64	Supplemental .PDF Filing	xxx	EO	xxx	4/1	NAIC	
	65	Quarterly Statement Electronic Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	
	66	Quarterly .PDF Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	
	67	June .PDF Filing	xxx	EO	xxx	6/1	NAIC	
IV. AUDIT/INTERNAL CONTROL RELATED REPORTS								
	81	Accountants Letter of Qualifications	xxx	EO	N/A	6/1	Company	
	82	Audited Financial Reports	xxx	EO	N/A	6/1	Company	
	83	Audited Financial Reports Exemption Affidavit	xxx	N/A	N/A		Company	
	84	Communication of Internal Control Related Matters Noted in Audit	xxx	EO	N/A	8/1	Company	
	85	Independent CPA (change)	xxx	N/A	N/A		Company	
	86	Management's Report of Internal Control Over Financial Reporting	xxx	N/A	N/A	8/1	Company	
	87	Notification of Adverse Financial Condition	xxx	N/A	N/A		Company	
	88	Request for Exemption to File	xxx	N/A	N/A		Company	
	89	Relief from the five-year rotation requirement for lead audit partner	xxx	EO	N/A	3/1	Company	
	90	Relief from the one-year cooling off period for independent CPA	xxx	EO	N/A	3/1	Company	
	91	Relief from the Requirements for Audit Committees	xxx	EO	N/A	3/1	Company	
V. STATE REQUIRED FILINGS***								
	101	Filings Checklist (with Column 1 completed)	xxx	0	xxx	3/1	State	
	102	State Filing Fees	xxx	0	1	8/10	State	C,P
	103	Form B-Holding Company Registration Statement	xxx	0	xxx	5/1	Company	
	104	Form F-Enterprise Risk Report ***	xxx	0	xxx	5/1	Company	
	105	ORSA ****	xxx	0	xxx		Company	
	106	Signed Jurat	xxx	0	xxx	3/1,5/15,8/15, 11/15	NAIC	
	107	Maine Fraud and Abuse Annual Report	xxx	0	1	3/1	State	P
	108	Premium Tax	xxx	0	1	3/15	State	E

*If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state. EO (electronic only filing).

**If Form Source is NAIC, the form should be obtained from the appropriate vendor.

*****For those states that have adopted the NAIC updated Holding Company Model Act, a Form F Filing is required annually by holding company groups. Consistent with the Form B filing requirements, the Form F is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm**

******For those states that have adopted the NAIC updated Risk Management and Own Risk and Solvency Assessment Model Act, a summary report is required annually by insurers and insurance groups above a specified premium threshold. Consistent with the Form B filing requirements, the ORSA Summary Report is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm**