

STATE OF MAINE
KENNEBEC, ss.

SUPERIOR COURT
CIVIL ACTION
DOCKET NO. CV-05-198

STATE OF MAINE and)
SECURITIES ADMINISTRATOR,)
)
Plaintiffs,)
)
v.)
)
JAMES L. PARIS,)
)
Defendant.)

CONSENT JUDGMENT

NOW COME the parties and stipulate that judgment shall enter for the Plaintiffs, State of Maine and Securities Administrator, against the Defendant, James L. Paris (“Paris”).

Paris, without admitting or denying the allegations in the Complaint, consents to judgment containing the following relief:

1. Paris shall not apply for any license in Maine as a broker-dealer, investment adviser, sales representative, agent, or investment adviser representative, nor shall he otherwise act as, or associate with, a securities issuer, broker-dealer, or investment adviser, or otherwise engage, participate, or assist others in the issuance, offer, sale, or purchase of securities in Maine. Further, Paris shall not apply for any license in Maine to sell real estate, insurance, or other investments, nor shall he otherwise act in Maine as a financial planner or as any other financial or investment professional.

2. Paris shall pay \$10,000 in restitution to the Securities Administrator for distribution to [REDACTED] and [REDACTED] based upon the amounts of their un-reimbursed losses. Paris shall pay this restitution according to the following schedule:

a. \$1000 on or before September 1, 2005; and

b. \$1000 on or before the first day of each succeeding month until the entire \$10,000 has been paid.

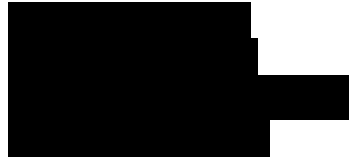
Dated: August 23, 2005

Respectfully submitted,

G. STEVEN ROWE
Attorney General

s/Michael J. Colleran
MICHAEL J. COLLERAN
Special Assistant Attorney General
6 State House Station
Augusta, ME 04333-0006
Tel. (207) 626-8800
Bar No. 9247
Attorney for Plaintiffs

s/James L. Paris
JAMES L. PARIS


Defendant, *Pro Se*

August 26, 2005

Judgment is entered for Plaintiffs in accordance with the terms of this Consent Judgment. This Court retains jurisdiction over this matter. This Order shall be incorporated into the docket by reference pursuant to Me.R.Civ.P. 79(a).

s/Justice Marden
Justice, Superior Court