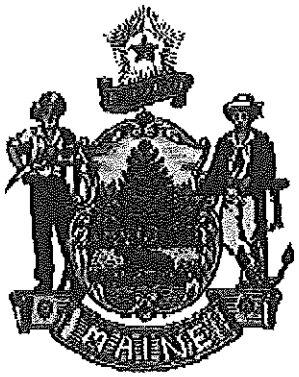
QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD



Second Quarter 2014 Report

April 1, 2014 – June 30, 2014

Office of Monitoring, Audit & Enforcement

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State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Second Quarter 2014

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Executive Summary

On October 14, 2014, the Maine Workers' Compensation Board of Directors approved the Second Quarter 2014 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

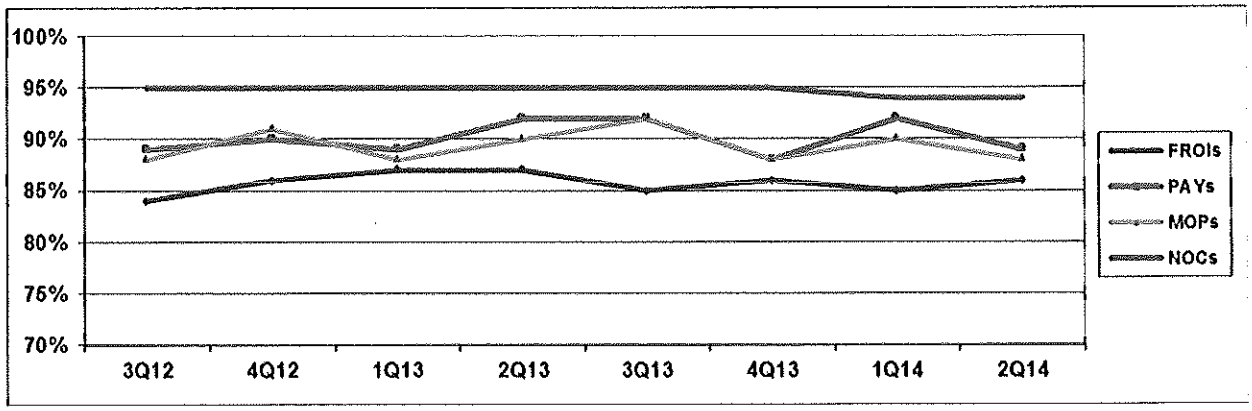
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 100 insurers on July 25, 2014; 69 responded, 22 were not required to respond and 9 did not respond.

The 2Q14 report represents results based upon data received by August 22, 2014. The results are:

	Number of Days	Benchmark	3Q12	4Q12	1Q13	2Q13	3Q13	4Q13	1Q14	2Q14
FROIs	7	85%	84%	86%	87%	87%	85%	86%	85%	86%
PAYs	14	87%	89%	90%	89%	92%	92%	88%	92%	89%
MOPs	17	85%	88%	91%	88%	90%	92%	88%	90%	88%
NOCs	14	90%	95%	95%	95%	95%	95%	95%	94%	94%

Compliance Benchmark Tracking (3Q12 – 2Q14)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 2Q14:

Auditee (alpha order)	Total Penalties
Berkshire Hathaway Insurance	**$4,950.00**
FutureComp	**$9,950.00**
Macy's Retail Holdings, Inc.	**$3,200.00**
MMTA Workers' Compensation Trust	**$3,000.00**
State of Maine	**$20,850.00**

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

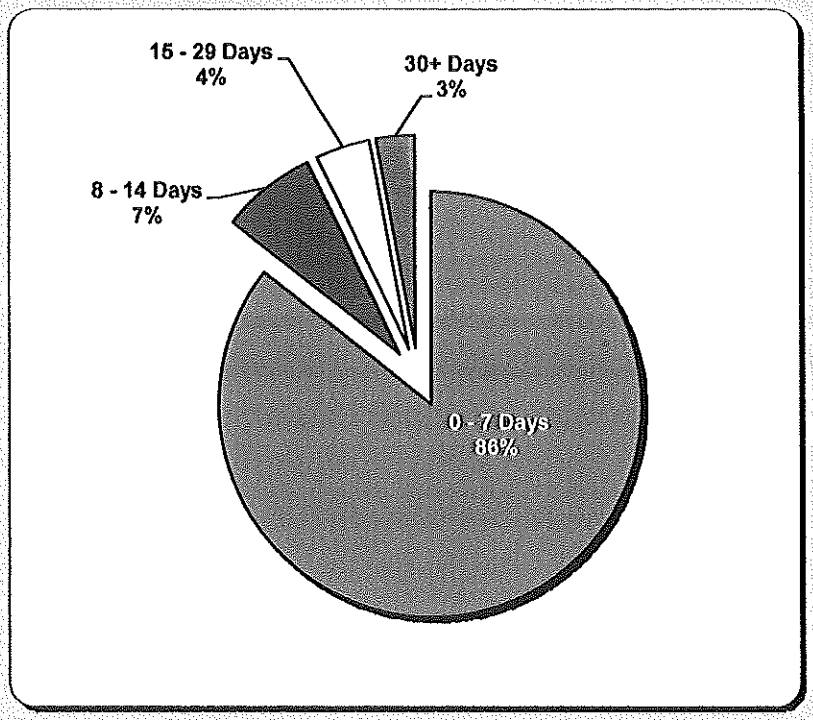


Table 1

Received Within:			
0 - 7	Days	2,776	86%
8 - 14	Days	235	7%
15 - 29	Days	133	4%
30+	Days	97	3%
Total		3,241	100%

Chart 2 Quarterly Compliance

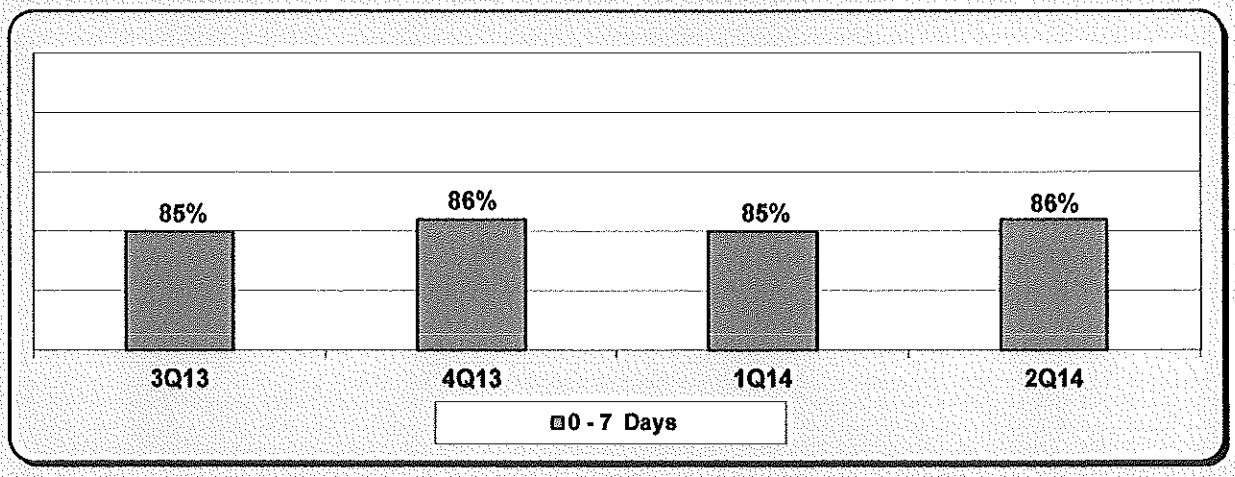
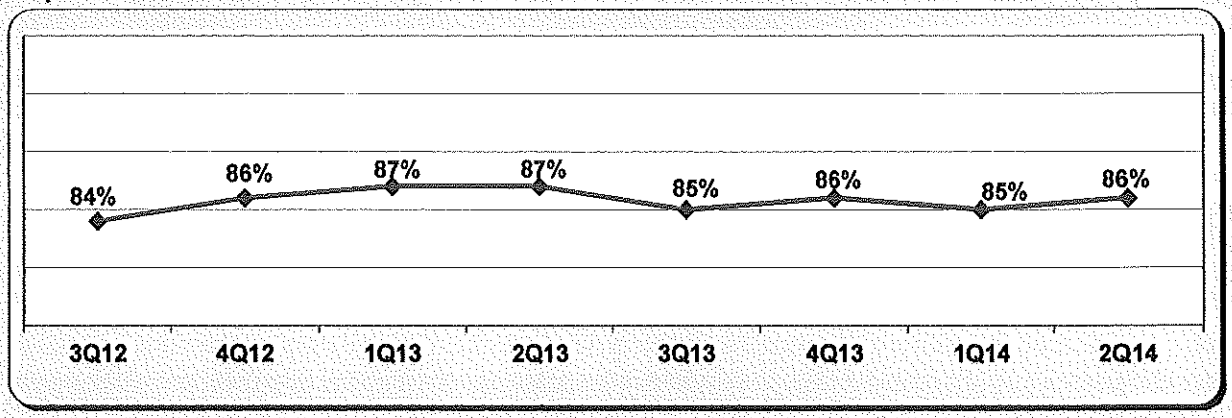


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

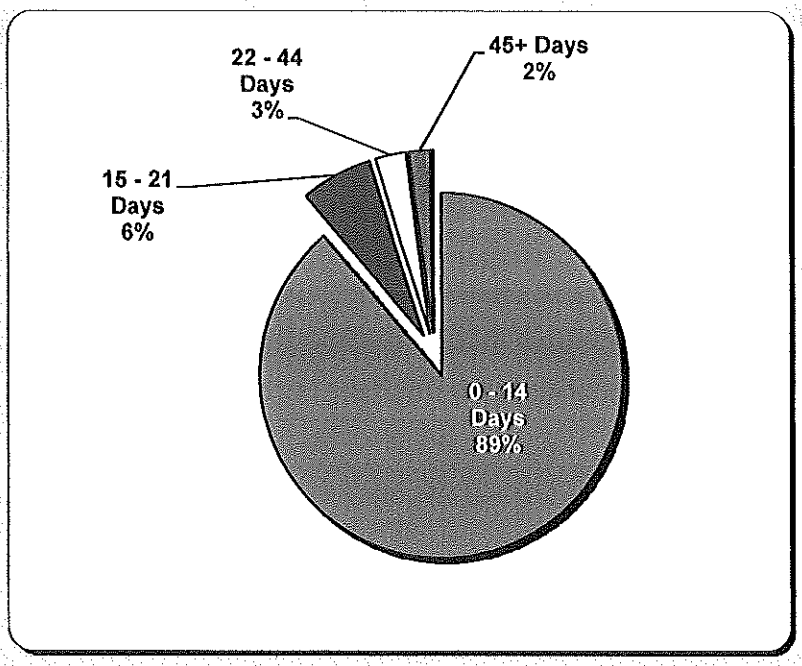


Table 2

Made Within:			
0 - 14	Days	832	89%
15 - 21	Days	60	6%
22 - 44	Days	26	3%
45+	Days	17	2%
?	Days	2	0%
Total		**937**	**100%**

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $14,200 was issued to claimants in penalties and there is another $4,300 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

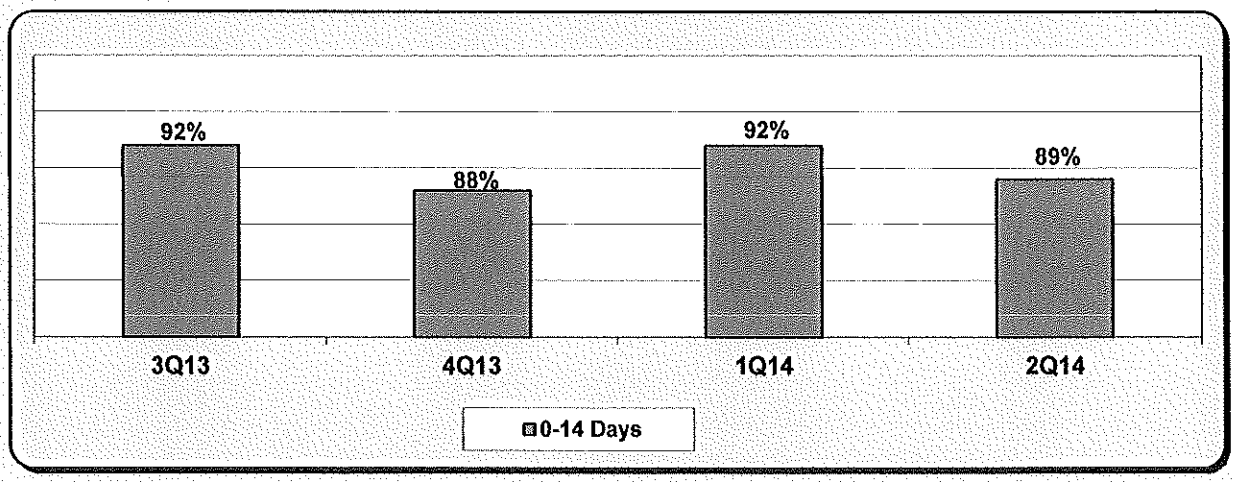
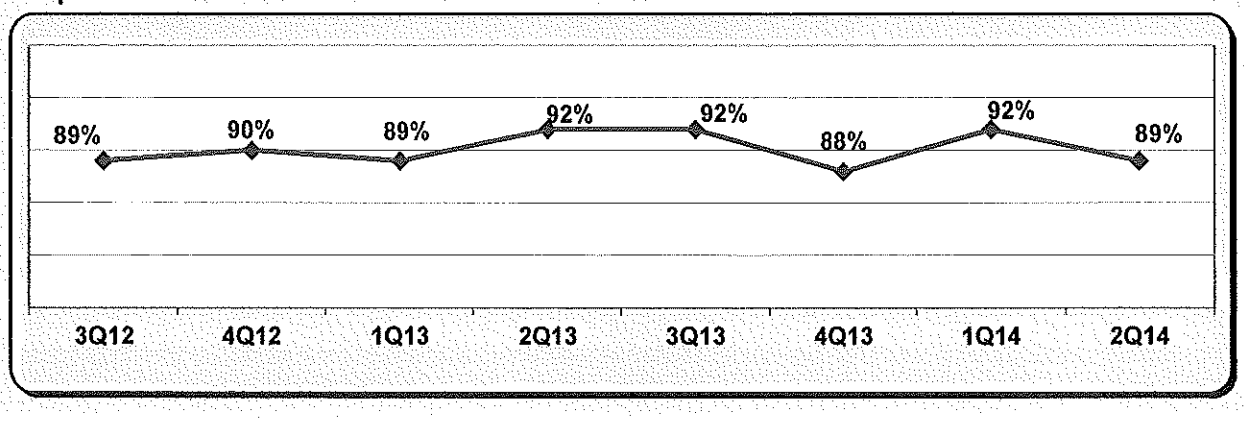


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

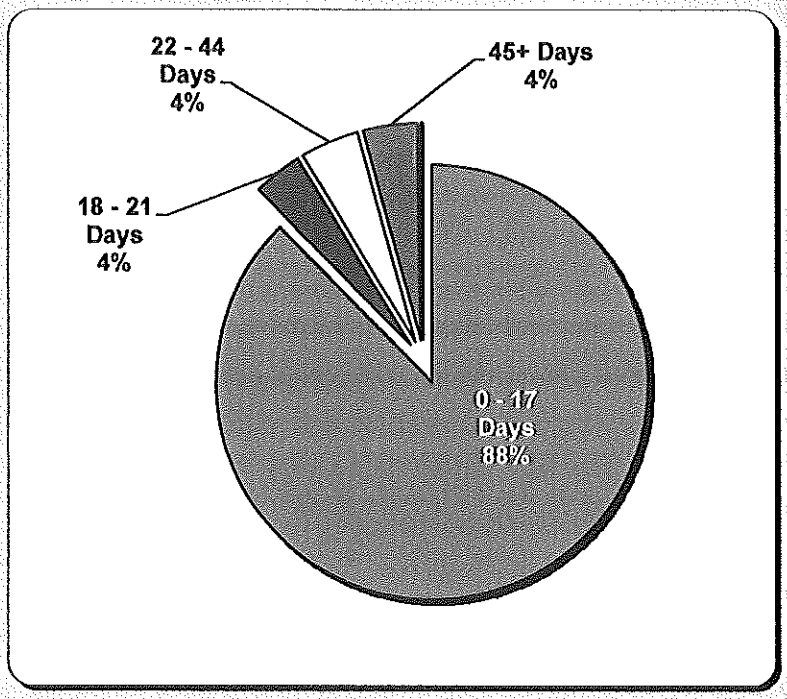


Table 3

Received Within:			
0 - 17	Days	821	88%
18 - 21	Days	35	4%
22 - 44	Days	41	4%
45+	Days	38	4%
?	Days	2	0%
Total		937	100%

Chart 8 Quarterly Compliance

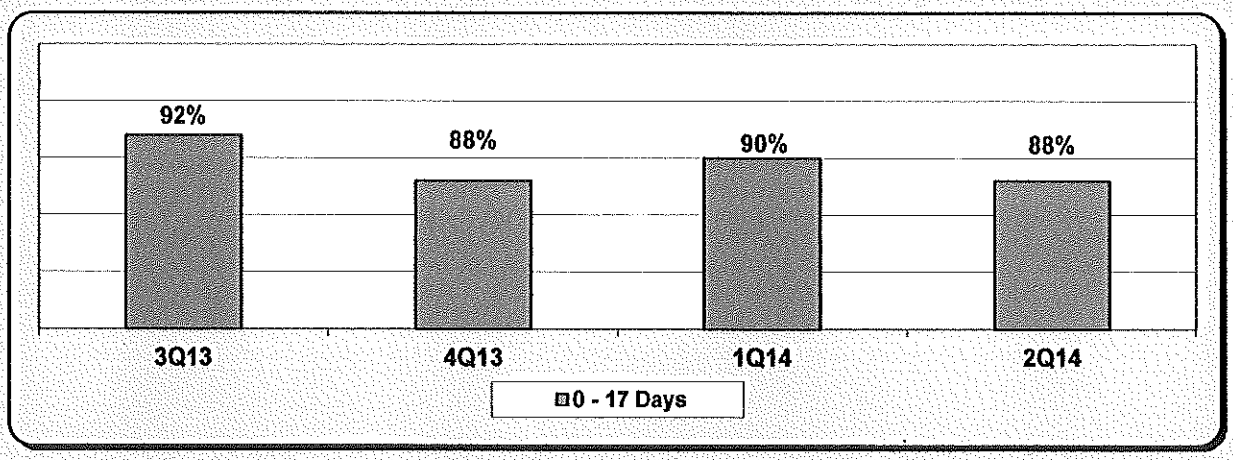
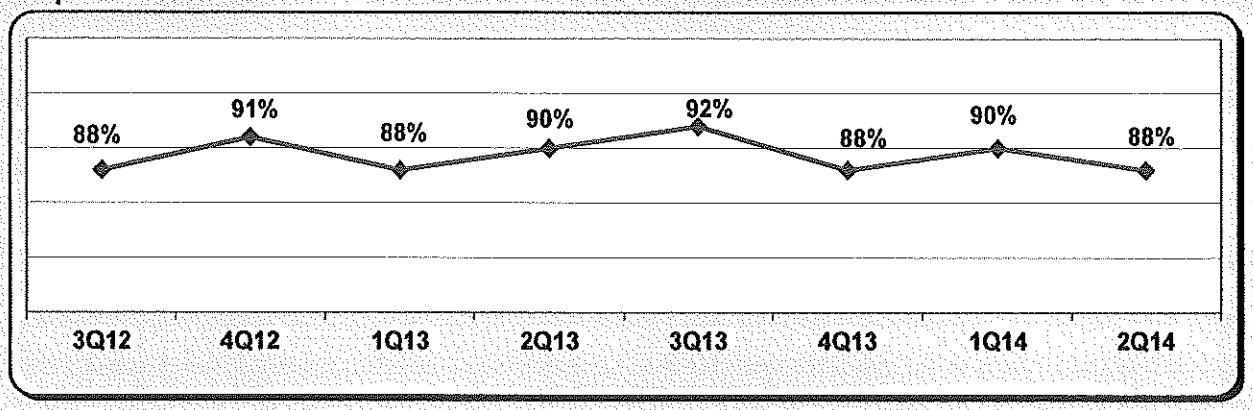


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

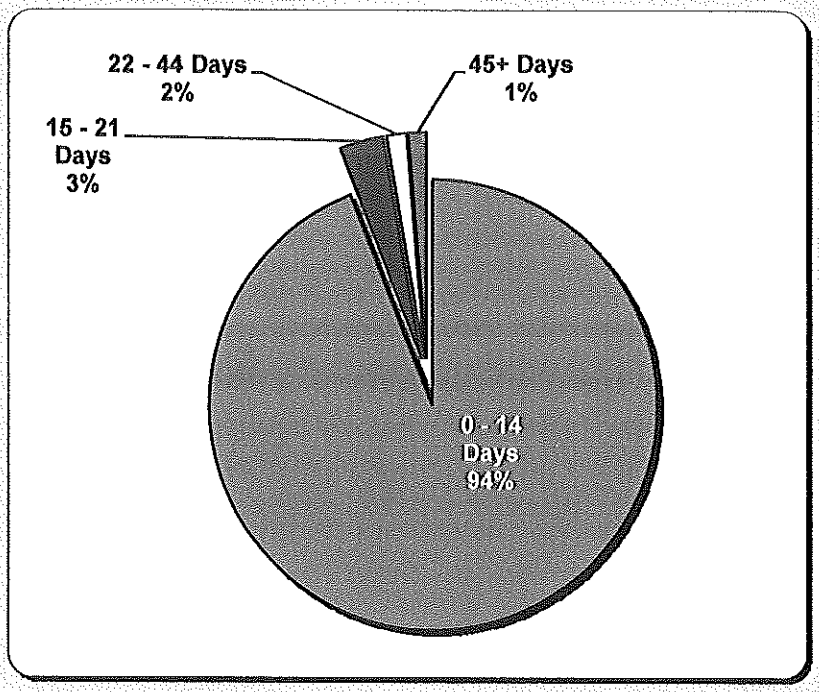


Table 4

Received Within:			
0 - 14	Days	644	94%
15 - 21	Days	22	3%
22 - 44	Days	10	2%
45+	Days	9	1%
Total		685	100%

Chart 11 Quarterly Compliance

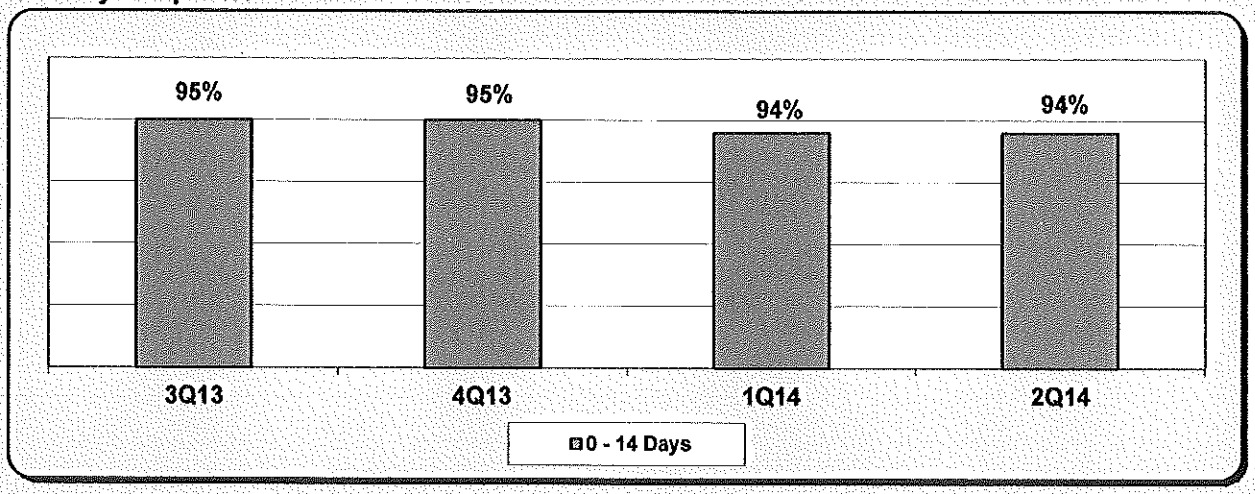
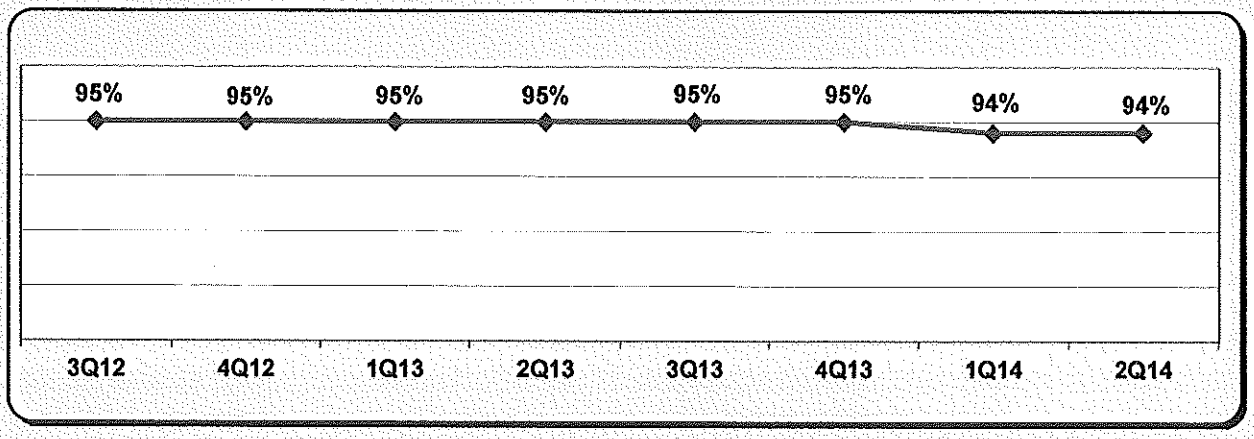


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

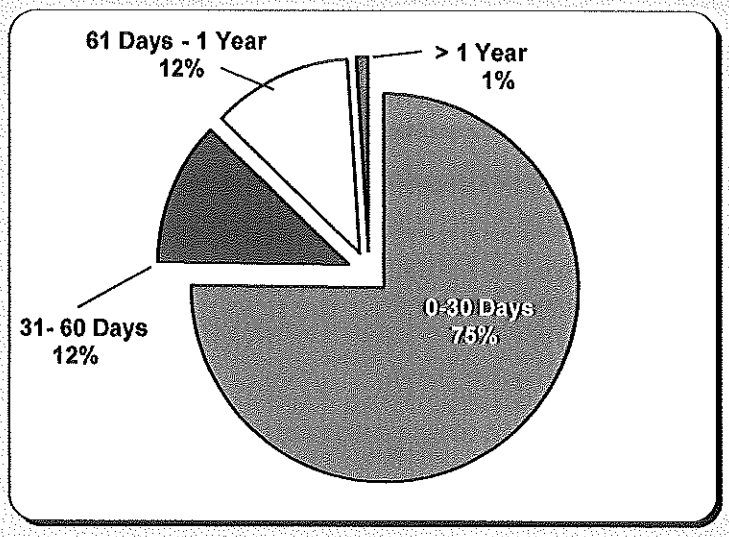


Table 5

Received Within:		
0-30 Days	1444	75%
31-60 Days	220	12%
61 Days-1 Year	228	12%
> 1 Year	23	1%
Total	**1,915**	**100%**

Wage Statement(s): 1,525 (77%) of the 1,989 Wage Statement(s) that were due this quarter were filed timely, 374 (19%) were filed late, and 90 (4%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

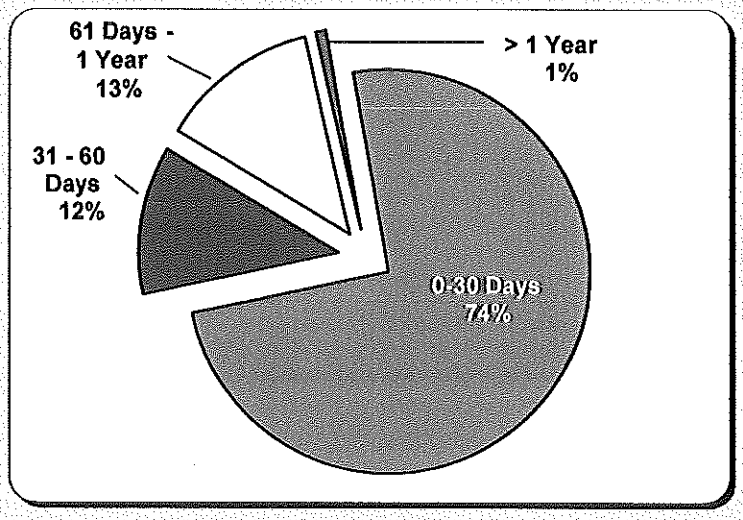


Table 6

Received Within:		
0-30 Days	1418	74%
31-60 Days	226	12%
61 Days-1 Year	245	13%
> 1 Year	16	1%
Total	**1,905**	**100%**

Fringe Benefit Worksheet(s): 1,473 (74%) of the 1,989 Fringe Benefit Worksheet(s) due this quarter were filed timely, 400 (20%) were filed late, and 116 (6%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
Second Quarter 2014
4/1/2014-6/30/2014

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	**46**	**41**	**89%**	**14**	**11**	**79%**
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	6	6	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	5	4	80%	1	1	100%
CA160	ESIS	26	17	65%	8	5	63%
CA190	GALLAGHER BASSETT SERVICES	20	19	95%	10	10	100%
CA204	HELMSMAN MANAGEMENT SERVICES	7	6	86%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	53	50	94%	11	8	73%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	**118**	**103**	**87%**	**35**	**28**	**80%**
	ACE INSURANCE Group Total	**118**	**103**	**87%**	**35**	**28**	**80%**
	AIG INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	AIG CLAIMS, INC.	71	64	90%	19	16	84%
	Total	**71**	**64**	**90%**	**19**	**16**	**84%**
	AIG INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	2	67%	1	1	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	47	46	98%	11	11	100%
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	8	8	100%	5	4	80%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	8	8	100%	1	1	100%
	TPA Total	**70**	**67**	**96%**	**21**	**20**	**95%**
	AIG INSURANCE Group Total	**141**	**131**	**93%**	**40**	**36**	**90%**
	AMTRUST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA381	WESCO INSURANCE	11	3	27%	3	0	0%
CA342	TECHNOLOGY INSURANCE	20	13	65%	9	5	56%
	Group Total	**31**	**16**	**52%**	**12**	**5**	**42%**
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	4	3	75%	2	1	50%
	TPA Total	**11**	**9**	**82%**	**3**	**2**	**67%**
	ARCH INSURANCE Group Total	**11**	**9**	**82%**	**3**	**2**	**67%**

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ATLANTIC SPECIALTY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	2	1	50%
	TPA Total	3	1	33%	2	1	50%
	ATLANTIC SPECIALTY INSURANCE Group Total	3	1	33%	2	1	50%
	BATH IRON WORKS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036	Group Total	116	116	100%	9	9	100%
	BERKSHIRE HATHAWAY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114	Group Total	*	*	*	*	*	*
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	26	22	85%	10	10	100%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	154	134	87%	43	38	88%
	CHEROKEE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA044	Group Total	2	0	0%	1	0	0%
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CHUBB INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	9	6	67%	2	2	100%
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	CHUBB INSURANCE Group Total	10	7	70%	2	2	100%
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	*	*	*	*	*	*
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	1	1	100%	1	0	0%
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	3	3	100%	1	1	100%
	CLAIMS MANAGEMENT (WALMART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	47	46	98%	11	11	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	6	6	100%	3	3	100%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	5	4	80%	1	1	100%
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	1	1	100%	1	1	100%
	CORVEL ENTERPRISE COMP.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	6	4	67%	1	0	0%
	COTTINGHAM & BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	5	5	100%	4	4	100%
	CROSS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA093	Group Total	285	265	93%	44	41	93%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA089	CRUM & FORSTER	1	0	0%	1	0	0%
CA257	NORTH RIVER INSURANCE	1	0	0%	No filings	No filings	No filings
CA375	UNITED STATES FIRE INSURANCE	No filings	No filings	No filings	1	0	0%
	Group Total	2	0	0%	2	0	0%
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	ELECTRIC INSURANCE Group Total	1	1	100%	1	1	100%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	30	19	63%	10	7	70%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	*	*	*	*	*	*
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	FEDERATED MUTUAL INSURANCE	*	*	*	*	*	*
CA092	FEDERATED SERVICE INSURANCE	2	2	100%	1	1	100%
	Group Total	2	2	100%	1	1	100%
	FIREMAN'S FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	1	1	100%	No filings	No filings	No filings
	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	57	54	95%	16	16	100%
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	82	69	84%	36	33	92%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	GREAT AMERICAN INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA193	**Group Total**	2	1	50%	1	1	100%
	GREAT FALLS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	52	40	77%	20	18	90%
	TPA Total	52	40	77%	20	18	90%
	GREAT FALLS INSURANCE Group Total	52	40	77%	20	18	90%
	GREAT WEST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA196	**Group Total**	1	0	0%	1	0	0%
	GUARANTEE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA195	**Group Total**	13	0	0%	11	1	9%
	GUARD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	19	13	68%	3	2	67%
CA140	EASTGUARD INSURANCE COMPANY	6	4	67%	2	1	50%
CA272	NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	25	17	68%	5	3	60%
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	71	52	73%	17	16	94%
	HANNOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	HANNOVER INSURANCE TPA Administered Claims						
CA340	YORK RISK SERVICES	1	0	0%	1	1	100%
	TPA Total	1	0	0%	1	1	100%
	HANNOVER INSURANCE Group Total	1	0	0%	1	1	100%
	HANOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	1	1	100%	1	1	100%
CA202	HANOVER INSURANCE CO.	7	6	86%	3	3	100%
CA228	MASSACHUSETTS BAY INS. CO.	4	3	75%	No filings	No filings	No filings
	Group Total	12	10	83%	4	4	100%
	HARLEYSVILLE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA197	**Group Total**	*	*	*	*	*	*

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	6	3	50%	1	1	100%
CA185	HARTFORD CASUALTY INS. CO.	1	1	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	3	3	100%	No filings	No filings	No filings
CA187	HARTFORD UNDERWRITERS INSURANCE	8	6	75%	4	4	100%
CA288	PROPERTY & CASUALTY INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	3	3	100%	2	2	100%
CA319	TRUMBULL INSURANCE	10	9	90%	5	3	60%
CA321	TWIN CITY FIRE INSURANCE CO.	9	9	100%	1	1	100%
	Total	**42**	**36**	**86%**	**14**	**12**	**86%**
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	3	75%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	**9**	**7**	**78%**	**3**	**3**	**100%**
	HARTFORD INSURANCE Group Total	**51**	**43**	**84%**	**17**	**15**	**88%**
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	**18**	**16**	**89%**	**7**	**6**	**86%**
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	3	2	67%	1	1	100%
CA210	LIBERTY MUTUAL INSURANCE CO.	35	26	74%	20	19	95%
	Total	**38**	**28**	**74%**	**21**	**20**	**95%**
	LUMBERMEN'S UNDERWRITING	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA211	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**0**	**0%**
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA220	**Group Total**	**28**	**27**	**96%**	**15**	**14**	**93%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA260	**Group Total**	**1089**	**896**	**82%**	**344**	**319**	**93%**
	MAINE HEALTHCARE ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA234	**Group Total**	**29**	**27**	**93%**	**7**	**6**	**86%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA230	**Group Total**	**61**	**59**	**97%**	**14**	**14**	**100%**
	MAINE MUNICIPAL ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA225	**Group Total**	**245**	**242**	**99%**	**62**	**58**	**94%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA250	**Group Total**	**67**	**67**	**100%**	**26**	**26**	**100%**

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MEADOWBROOK	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA255	**Group Total**	3	2	67%	2	1	50%
	MITSUI SUMITOMO INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA233	**Group Total**	*	*	*	*	*	*
	NATIONAL CASUALTY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA289	**Group Total**	*	*	*	*	*	*
	NATIONAL INTERSTATE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	2	1	50%	1	1	100%
	NATIONWIDE AGRIBUSINESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA291	**Group Total**	1	0	0%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	7	6	86%	2	2	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	4	57%	4	4	100%
CA295	RYDER SERVICES CORPORATION	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	5	83%	3	3	100%
	TPA Total	22	16	73%	9	9	100%
	OLD REPUBLIC INSURANCE Group Total	22	16	73%	9	9	100%
	ONEBEACON INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	1	1	100%	No filings	No filings	No filings
	ONEBEACON INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	PATRIOT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274		1	1	100%	No filings	No filings	No filings
	PATRIOT RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA292	**Group Total**	*	*	*	*	*	*
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELISOR INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA309	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA275	PEERLESS INSURANCE CO.	20	10	50%	7	6	86%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	21	11	52%	7	6	86%

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INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	1	1	100%
	TPA Total	**3**	**2**	**67%**	**1**	**1**	**100%**
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	**3**	**2**	**67%**	**1**	**1**	**100%**
	PROTECTIVE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	PUBLIC SERVICE MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA282	**Group Total**	*	*	*	*	*	*
	QBE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	QBE INSURANCE TPA Administered Claims						
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	11	100%	4	4	100%
	TPA Total	**13**	**12**	**92%**	**5**	**5**	**100%**
	QBE INSURANCE Group Total	**13**	**12**	**92%**	**5**	**5**	**100%**
	RYDER SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA295	**Group Total**	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	4	3	75%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA340	YORK RISK SERVICES	2	0	0%	2	0	0%
	TPA Total	**13**	**10**	**77%**	**3**	**1**	**33%**
	SAFETY NATIONAL INSURANCE Group Total	**13**	**10**	**77%**	**1**	**1**	**100%**
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA300	**Group Total**	**97**	**91**	**94%**	**26**	**23**	**88%**
	SENTRY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA305	SENTRY A MUTUAL CO.	3	2	67%	3	2	67%
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	**3**	**2**	**67%**	**3**	**2**	**67%**

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SPARTA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	5	5	100%	4	4	100%
	TPA Total	5	5	100%	4	4	100%
	SPARTA INSURANCE Group Total	5	5	100%	4	4	100%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA307	Group Total	156	134	86%	43	42	98%
	SYNERNET	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA320	Group Total	123	102	83%	30	26	87%
	THE AMERICAN EQUITY UNDERWRITERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA323	Group Total	*	*	*	*	*	*
	TOWER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA356	Group Total	1	0	0%	No filings	No filings	No filings
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	35	29	83%	7	5	71%
CA164	FARMINGTON CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	11	5	45%	3	2	67%
CA284	THE PHOENIX INS. CO.	2	1	50%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	13	10	77%	5	5	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	4	2	50%	No filings	No filings	No filings
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	1	0	0%
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	6	3	50%	2	0	0%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	6	4	67%	2	2	100%
	Total	78	55	71%	20	14	70%
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	8	7	88%	4	4	100%
CA164	FARMINGTON CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
	TPA Total	11	8	73%	6	6	100%
	TRAVELERS INSURANCE Group Total	89	63	71%	26	20	77%
	TRISTAR RISK ENTERPRISE MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	Group Total	1	1	100%	2	1	50%
	UNDERWRITERS SAFETY & CLAIMS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA360	Group Total	*	*	*	*	*	*

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INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	VANLINER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	VANLINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	VANLINER INSURANCE Group Total	*	*	*	*	*	*
	XL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	1	0	0%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	7	5	71%	1	0	0%
	XL INSURANCE Group Total	7	5	71%	1	0	0%
	YORK RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	7	3	43%	5	2	40%
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA022	AMERICAN ZURICH	11	10	91%	3	2	67%
CA259	NORTHERN INSURANCE OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE CO.	3	2	67%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	1	1	100%	1	0	0%
	Total	15	13	87%	5	3	60%
	ZURICH INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	21	20	95%	9	8	89%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	1	1	100%
	TPA Total	22	21	95%	10	9	90%
	ZURICH INSURANCE Group Total	37	34	92%	15	12	80%

*Indicates no claims activity this quarter.

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Second Quarter 2014
4/1/2014-6/30/2014

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Second Quarter

4/1/2014 - 6/30/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	**14**	**12**	**86%**	**7**	**7**	**100%**
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	2	2	100%
CA160	ESIS	8	7	88%	4	3	75%
CA190	GALLAGHER BASSETT SERVICES	10	8	80%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	8	73%	12	12	100%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	0	0%
	TPA Total	**35**	**28**	**80%**	**26**	**24**	**92%**
	ACE INSURANCE Group Total	**35**	**28**	**80%**	**26**	**24**	**92%**
	AIG INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	AIG CLAIMS, INC.	19	17	89%	17	17	100%
	Total	**19**	**17**	**89%**	**17**	**17**	**100%**
	AIG INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA100	CLAIMS MANAGEMENT (WALMART)	11	11	100%	13	11	85%
CA160	ESIS	1	0	0%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	5	5	100%
	TPA Total	**21**	**19**	**90%**	**24**	**22**	**92%**
	AIG INSURANCE Group Total	**40**	**36**	**90%**	**41**	**39**	**95%**
	AMTRUST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA381	WESCO INSURANCE	3	0	0%	1	1	100%
CA342	TECHNOLOGY INSURANCE	9	5	56%	3	1	33%
	Group Total	**12**	**5**	**42%**	**4**	**2**	**50%**
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	2	1	50%	1	1	100%
	TPA Total	**3**	**2**	**67%**	**1**	**1**	**100%**
	ARCH INSURANCE Group Total	**3**	**2**	**67%**	**1**	**1**	**100%**

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Second Quarter

4/1/2014 - 6/30/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ATLANTIC SPECIALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	1	1	100%
	TPA Total	2	1	50%	1	1	100%
	ATLANTIC SPECIALTY INSURANCE Group Total	2	1	50%	1	1	100%
	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	9	9	100%	12	12	100%
	BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	*	*	*	*	*	*
	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	10	10	100%	5	5	100%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	43	38	88%	41	39	95%
	CHEROKEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA044	Group Total	1	0	0%	No filings	No filings	No filings
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	2	2	100%	2	2	100%
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	CHUBB INSURANCE Group Total	2	2	100%	2	2	100%
	CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	*	*	*	*	*	*
	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	1	0	0%	No filings	No filings	No filings
	CITY OF BANGOR	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA033	Group Total	1	1	100%	No filings	No filings	No filings
	CLAIMS MANAGEMENT (WALMART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	11	11	100%	13	11	85%
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	3	3	100%	No filings	No filings	No filings

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CONSTITUTION STATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	1	1	100%	2	2	100%
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA115	Group Total	1	1	100%	No filings	No filings	No filings
	CORVEL ENTERPRISE COMP.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	1	0	0%	2	1	50%
	COTTINGHAM & BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	4	4	100%	No filings	No filings	No filings
	CROSS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA093	Group Total	44	38	86%	82	80	98%
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA089	CRUM & FORSTER	1	0	0%	No filings	No filings	No filings
CA257	NORTH RIVER INSURANCE	No filings	No filings	No filings	1	0	0%
CA375	UNITED STATES FIRE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	2	0	0%	No filings	No filings	No filings
	ELECTRIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ELECTRIC INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	10	8	80%	5	4	80%
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	*	*	*	*	*	*
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	FEDERATED MUTUAL INSURANCE	*	*	*	*	*	*
CA092	FEDERATED SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	1	0	0%	No filings	No filings	No filings
	FIREMAN'S FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA170	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	16	15	94%	12	11	92%
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	36	34	94%	11	11	100%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Second Quarter

4/1/2014 - 6/30/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	GREAT AMERICAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA193	Group Total	1	1	100%	No filings	No filings	No filings
	GREAT FALLS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	20	15	75%	14	12	86%
	TPA Total	20	15	75%	14	12	86%
	GREAT FALLS INSURANCE Group Total	20	15	75%	14	12	86%
	GREAT WEST INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA196	Group Total	1	1	100%	No filings	No filings	No filings
	GUARANTEE INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA195	Group Total	11	1	9%	1	0	0%
	GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019	AMGUARD INSRUANCE COMPANY	3	2	67%	No filings	No filings	No filings
CA140	EASTGUARD INSURANCE COMPANY	2	0	0%	1	0	0%
CA272	NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	5	2	40%	1	0	0%
	HANNAFORD BROTHERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total	17	13	76%	12	10	83%
	HANNOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	HANNOVER INSURANCE TPA Administered Claims						
CA340	YORK RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	HANNOVER INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	HANOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	1	1	100%	No filings	No filings	No filings
CA202	HANOVER INSURANCE CO.	3	2	67%	1	1	100%
CA228	MASSACHUSETTS BAY INS. CO.	No filings	No filings	No filings	1	1	100%
	Group Total	4	3	75%	2	2	100%
	HARLEYSVILLE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA197	Group Total	*	*	*	*	*	*

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Second Quarter

4/1/2014 - 6/30/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	3	3	100%
CA185	HARTFORD CASUALTY INS. CO.	1	1	100%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE CO.	No filings	No filings	No filings	1	1	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	No filings	No filings	No filings	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	4	4	100%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	2	2	100%	1	1	100%
CA319	TRUMBULL INSURANCE	5	3	60%	2	2	100%
CA321	TWIN CITY FIRE INSURANCE CO.	1	1	100%	2	2	100%
	Total	**14**	**12**	**86%**	**11**	**11**	**100%**
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	**3**	**3**	**100%**	**1**	**1**	**100%**
	HARTFORD INSURANCE Group Total	**17**	**15**	**88%**	**12**	**12**	**100%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**7**	**6**	**86%**	**7**	**6**	**86%**
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	1	1	100%	3	2	67%
CA210	LIBERTY MUTUAL INSURANCE CO.	20	19	95%	7	7	100%
	Total	**21**	**20**	**95%**	**10**	**9**	**90%**
	LUMBERMEN'S UNDERWRITING	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA211	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA220	**Group Total**	**15**	**14**	**93%**	**2**	**2**	**100%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA260	**Group Total**	**344**	**317**	**92%**	**203**	**191**	**94%**
	MAINE HEALTHCARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA234	**Group Total**	**7**	**7**	**100%**	**5**	**5**	**100%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA230	**Group Total**	**14**	**14**	**100%**	**10**	**10**	**100%**
	MAINE MUNICIPAL ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA225	**Group Total**	**62**	**57**	**92%**	**66**	**65**	**98%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA250	**Group Total**	**26**	**26**	**100%**	**8**	**8**	**100%**

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Second Quarter

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MEADOWBROOK	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA255	**Group Total**	2	1	50%	No filings	No filings	No filings
	MITSUI SUMITOMO INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA233	**Group Total**	*	*	*	*	*	*
	NATIONAL CASUALTY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA289	**Group Total**	*	*	*	*	*	*
	NATIONAL INTERSTATE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA267	**Group Total**	1	1	100%	No filings	No filings	No filings
	NATIONWIDE AGRIBUSINESS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA291	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	1	50%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	No filings	No filings	No filings
CA295	RYDER SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
	TPA Total	**9**	**7**	**78%**	**2**	**2**	**100%**
	OLD REPUBLIC INSURANCE Group Total	**9**	**7**	**78%**	**2**	**2**	**100%**
	ONEBEACON INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	No filings	No filings	No filings	1	1	100%
	ONEBEACON TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON Group Total	No filings	No filings	No filings	1	1	100%
	PATRIOT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA274	**Group Total**	No filings	No filings	No filings	1	1	100%
	PATRIOT RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA292	**Group Total**	*	*	*	*	*	*
	PEERLESS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA162	EXCELISOR INSURANCE CO.	No filings	No filings	No filings	1	1	100%
CA309	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA275	PEERLESS INSURANCE CO.	7	6	86%	6	6	100%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	7	6	86%	7	7	100%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	1	1	100%	1	1	100%
	PROTECTIVE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA277	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA282	**Group Total**	*	*	*	*	*	*
	QBE INSURANCE GROUP	**MOPs**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	QBE INSURANCE GROUP TPA Administered Claims						
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	2	50%	3	3	100%
	TPA Total	5	3	60%	3	3	100%
	QBE INSURANCE GROUP Group Total	5	3	60%	3	3	100%
	RYDER SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA295	**Group Total**	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	2	0	0%	No filings	No filings	No filings
	TPA Total	3	1	33%	1	1	100%
	SAFETY NATIONAL INSURANCE Group Total	3	1	33%	1	1	100%
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA300	**Group Total**	26	23	88%	24	24	100%
	SENTRY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA305	SENTRY A MUTUAL CO.	3	1	33%	No filings	No filings	No filings
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	3	1	33%	No filings	No filings	No filings

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INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SPARTA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	4	4	100%	No filings	No filings	No filings
	TPA Total	4	4	100%	No filings	No filings	No filings
	SPARTA INSURANCE Group Total	4	4	100%	No filings	No filings	No filings
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA307	**Group Total**	43	41	95%	34	32	94%
	SYNERNET	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA320	**Group Total**	30	24	80%	38	34	89%
	THE AMERICAN EQUITY UNDERWRITERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA323	**Group Total**	*	*	*	*	*	*
	TOWER INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA356	**Group Total**	No filings	No filings	No filings	1	0	0%
	TRAVELERS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	7	5	71%	11	11	100%
CA164	FARMINGTON CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	3	2	67%	1	1	100%
CA284	THE PHOENIX INS. CO.	No filings	No filings	No filings	1	0	0%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	5	4	80%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	No filings	No filings	No filings	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	2	0	0%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	2	2	100%	No filings	No filings	No filings
	Total	20	14	70%	15	14	93%
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	4	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	6	5	83%	2	2	100%
	TRAVELERS INSURANCE Group Total	26	19	73%	17	16	94%
	TRISTAR RISK ENTERPRISE MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	2	1	50%	No filings	No filings	No filings
	UNDERWRITERS SAFETY & CLAIMS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Second Quarter

4/1/2014 - 6/30/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	VALINER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	VALINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	VALINER INSURANCE Group Total	*	*	*	*	*	*
	XL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	1	0	0%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	**1**	**0**	**0%**	**3**	**2**	**67%**
	XL INSURANCE Group Total	**1**	**0**	**0%**	**3**	**2**	**67%**
	YORK RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA340	**Group Total**	**5**	**2**	**40%**	**2**	**1**	**50%**
	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA022	AMERICAN ZURICH	3	2	67%	7	6	86%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE CO.	1	1	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE CO. ILLINOIS	1	0	0%	No filings	No filings	No filings
	Total	**5**	**3**	**60%**	**8**	**7**	**88%**
	ZURICH INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	9	7	78%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No filings	No filings	No filings
	TPA Total	**10**	**7**	**70%**	**1**	**1**	**100%**
	ZURICH INSURANCE Group Total	**15**	**10**	**67%**	**9**	**8**	**89%**

*Indicates no claims activity this quarter.