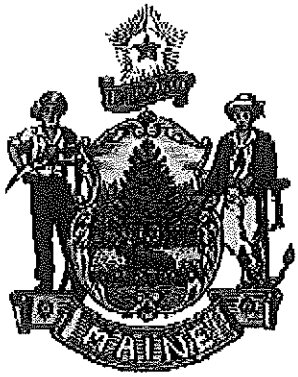
QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD



Third Quarter 2014 Report

July 1, 2014 – September 30, 2014

Office of Monitoring, Audit & Enforcement

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State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Third Quarter 2014

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Executive Summary

On January 13, 2015, the Maine Workers' Compensation Board of Directors approved the Third Quarter 2014 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

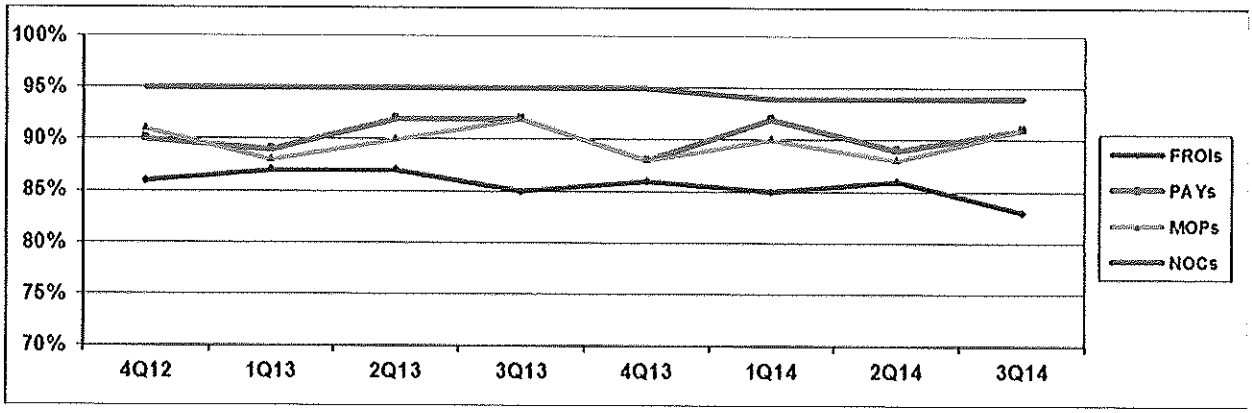
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 90 insurers on October 24, 2014; 72 responded, 15 were not required to respond and 3 did not respond.

The 3Q14 report represents results based upon data received by November 21, 2014. The results are:

	Number of Days	Benchmark	4Q12	1Q13	2Q13	3Q13	4Q13	1Q14	2Q14	3Q14
FROIs	7	85%	86%	87%	87%	85%	86%	85%	86%	83%
PAYs	14	87%	90%	89%	92%	92%	88%	92%	89%	91%
MOPs	17	85%	91%	88%	90%	92%	88%	90%	88%	91%
NOCs	14	90%	95%	95%	95%	95%	95%	94%	94%	94%

Compliance Benchmark Tracking



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 3Q14:

Auditee (alpha order)	Total Penalties
Claims Management	**$3,050.00**
Guarantee Insurance	**$20,000.00**
Maine Healthcare Association	**$1,500.00**
National Interstate Insurance	**$4,150.00**
Sentry Insurance	**$5,675.00**
Sparta Insurance	**$4,500.00**

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

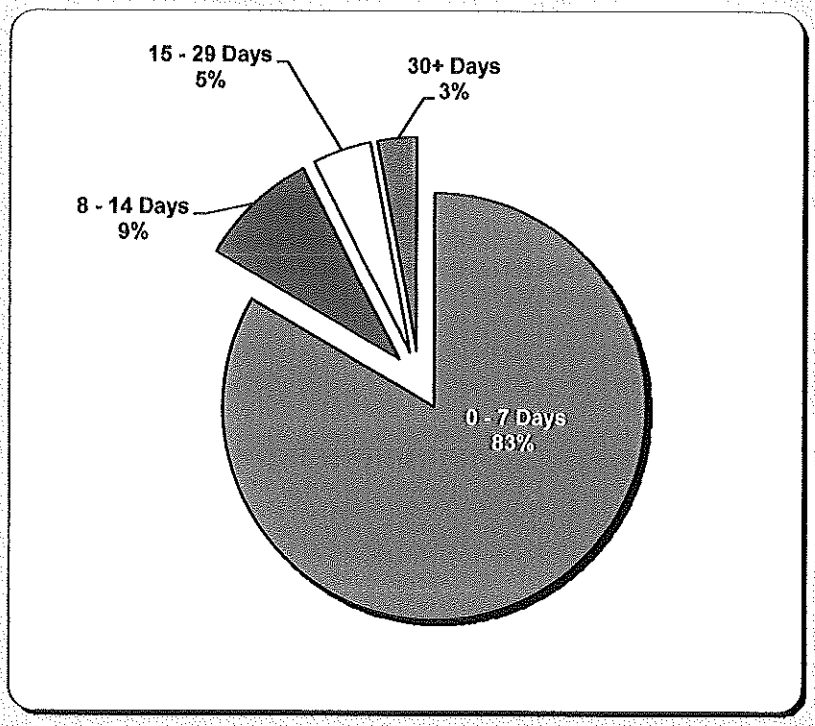


Table 1

Received Within:			
0 - 7	Days	3,022	83%
8 - 14	Days	330	9%
15 - 29	Days	163	5%
30+	Days	110	3%
Total		3,625	100%

Chart 2 Quarterly Compliance

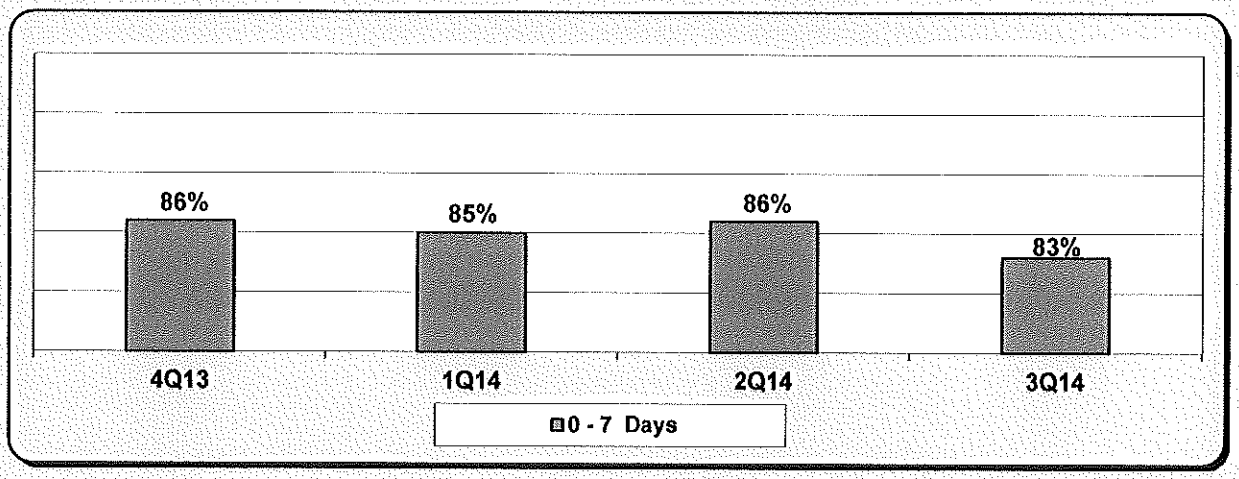
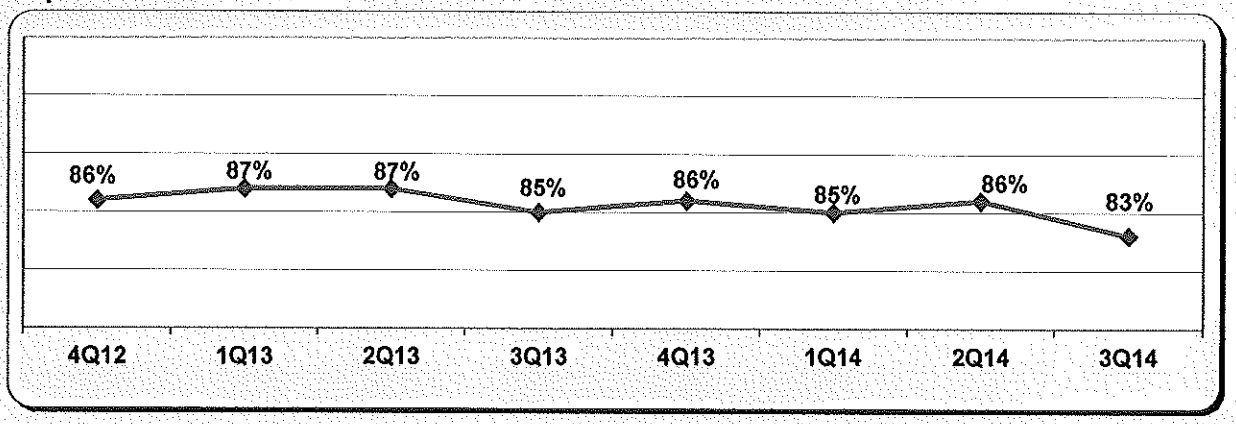


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

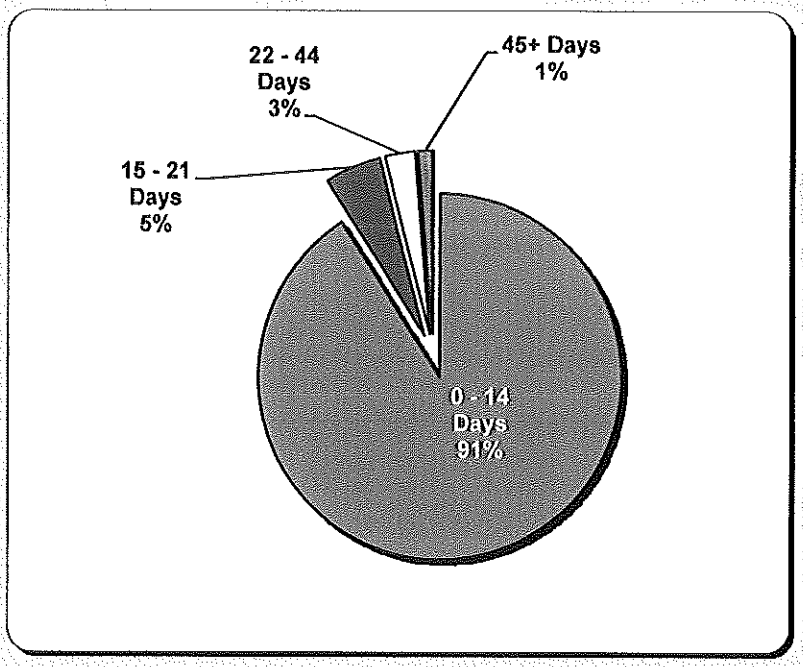


Table 2

Made Within:			
0 - 14	Days	832	91%
15 - 21	Days	46	5%
22 - 44	Days	25	3%
45+	Days	11	1%
?	Days	1	0%
Total		**915**	**100%**

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $11,250 was issued to claimants in penalties and there is another $5,300 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

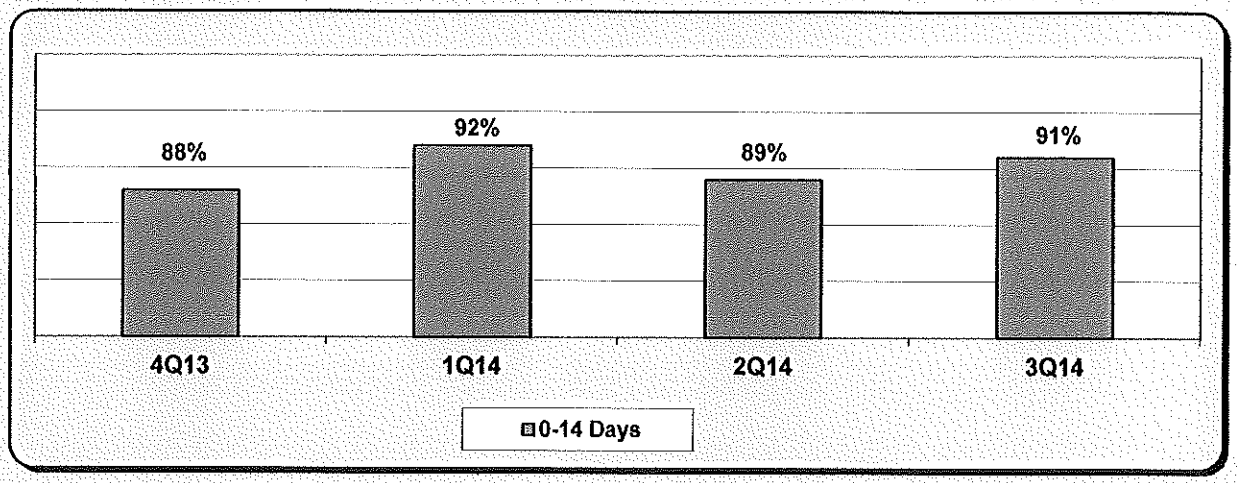
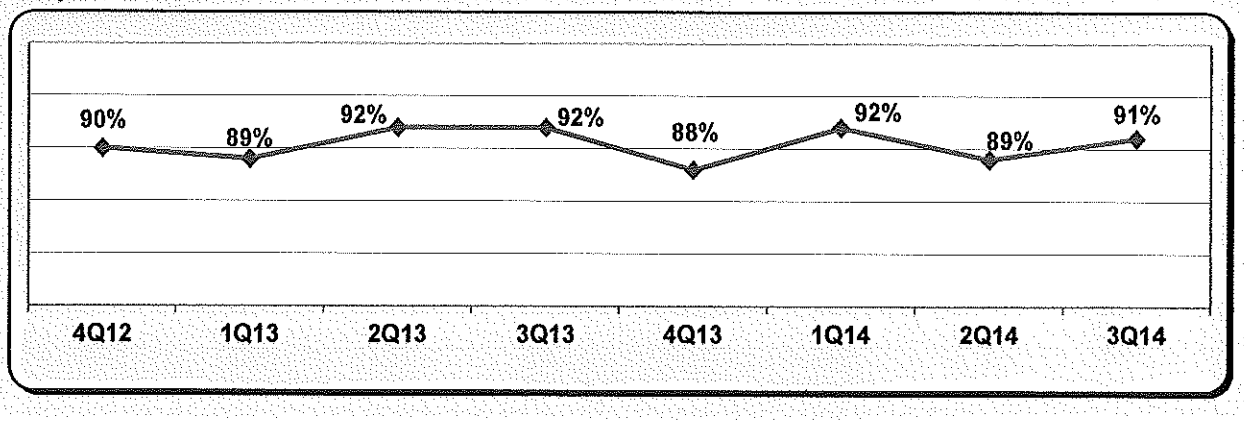


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

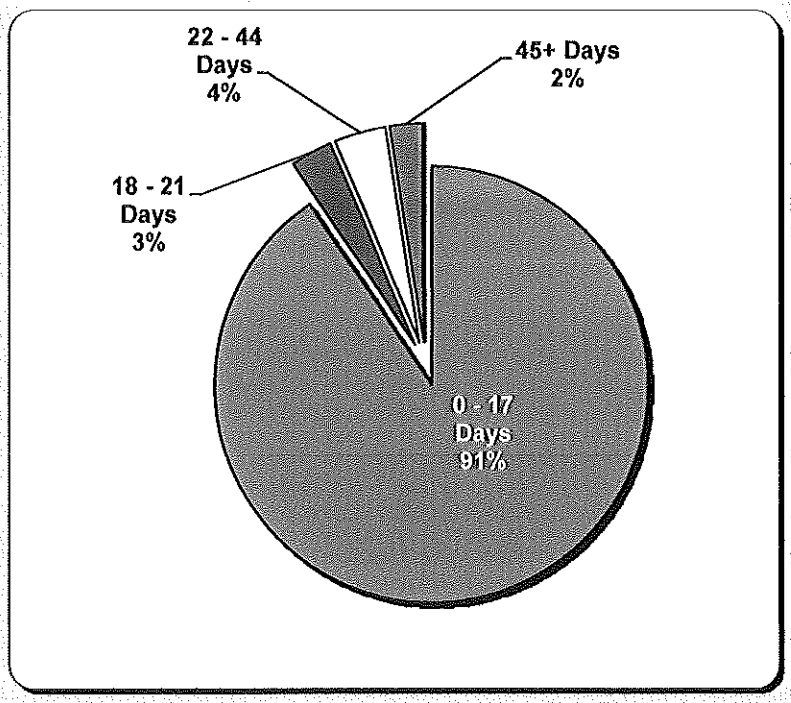


Table 3

Received Within:			
0 - 17	Days	828	91%
18 - 21	Days	29	3%
22 - 44	Days	35	4%
45+	Days	22	2%
?	Days	1	0%
Total		915	100%

Chart 8 Quarterly Compliance

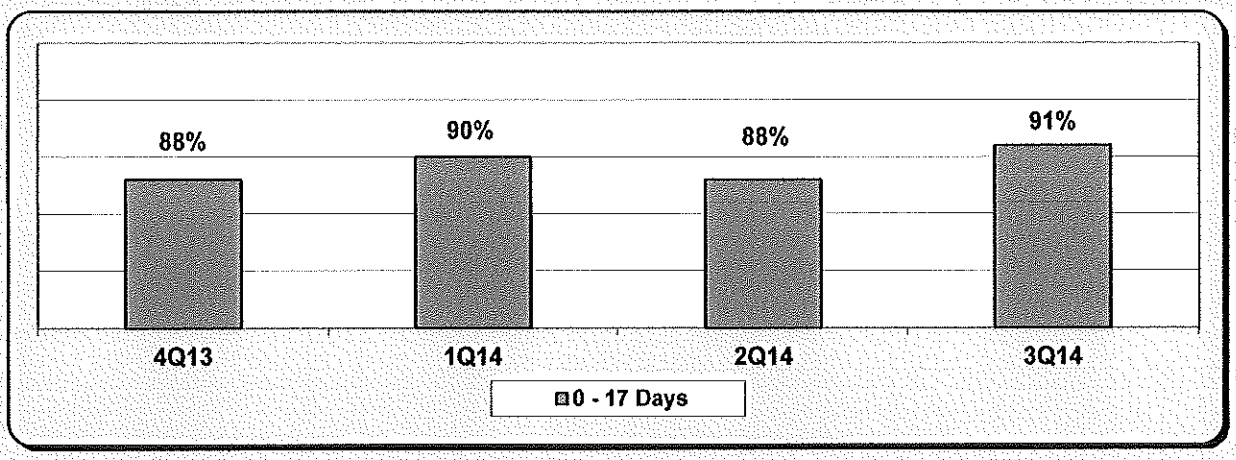
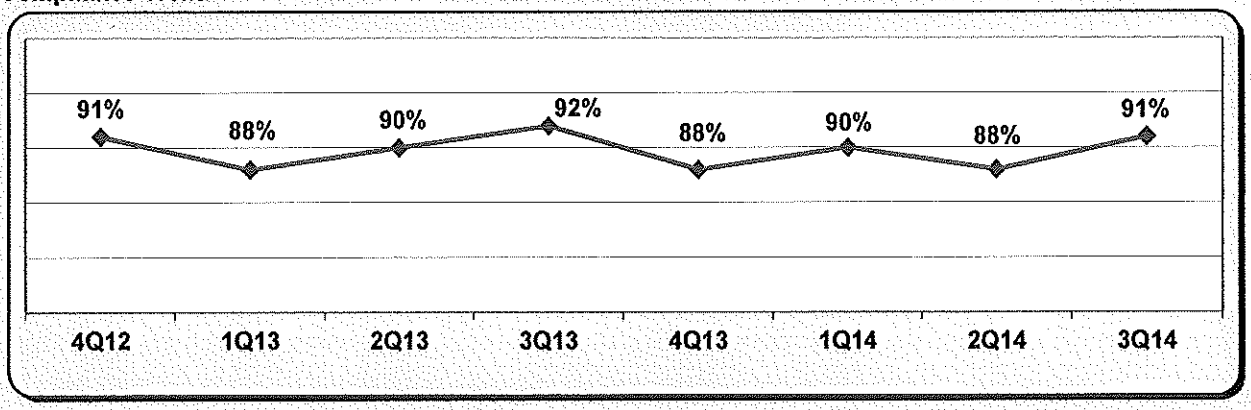


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

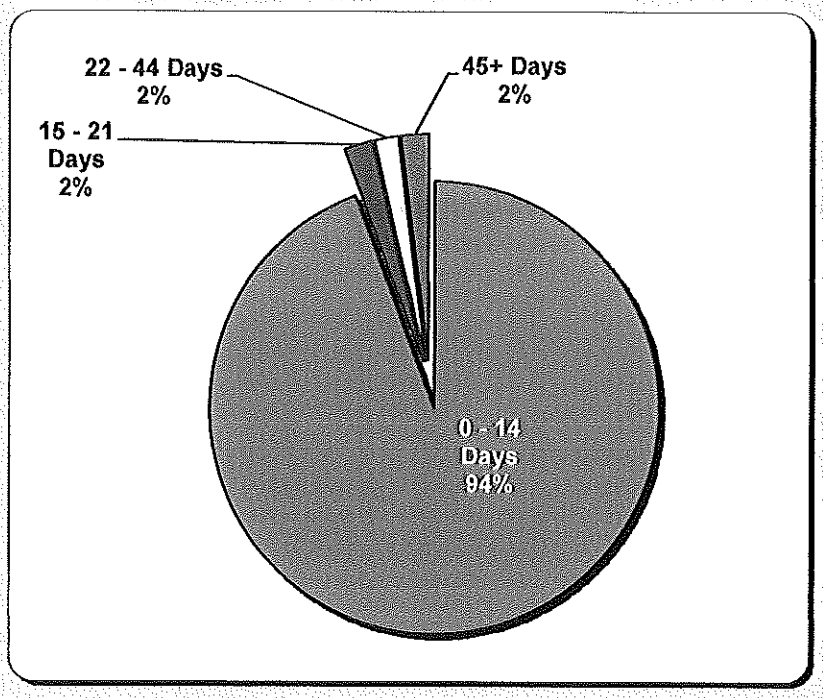


Table 4

Received Within:			
0 - 14	Days	707	94%
15 - 21	Days	15	2%
22 - 44	Days	13	2%
45+	Days	15	2%
Total		**750**	**100%**

Chart 11 Quarterly Compliance

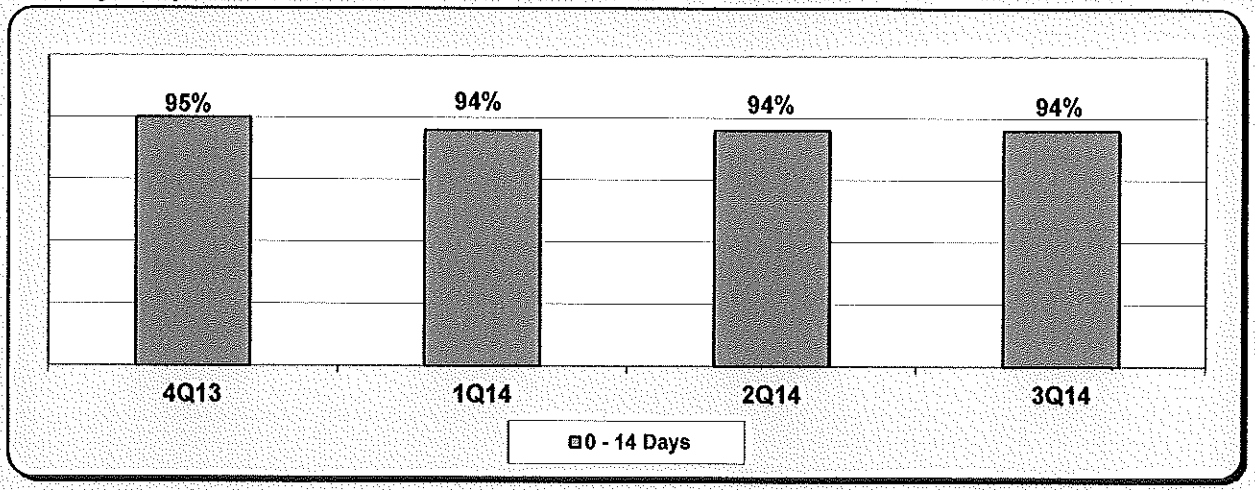
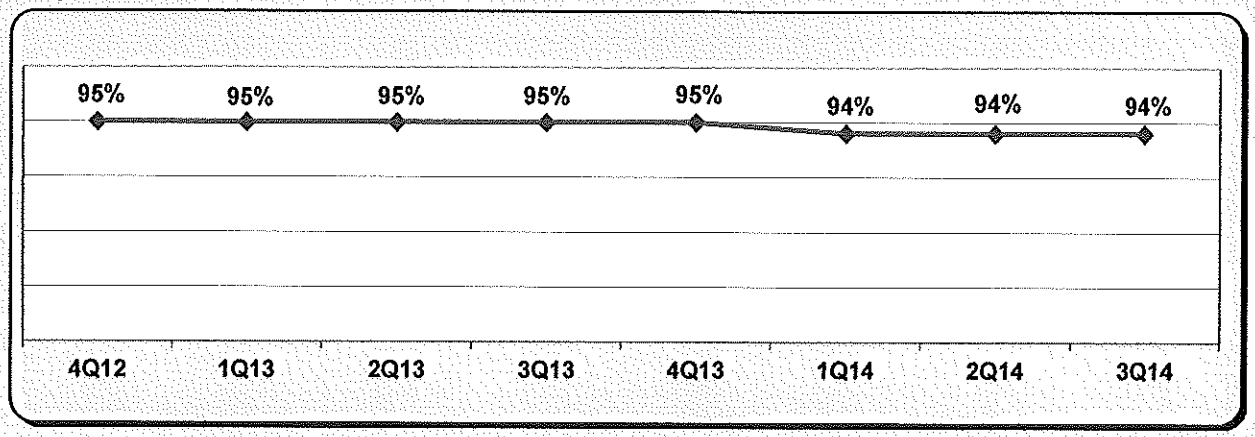


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

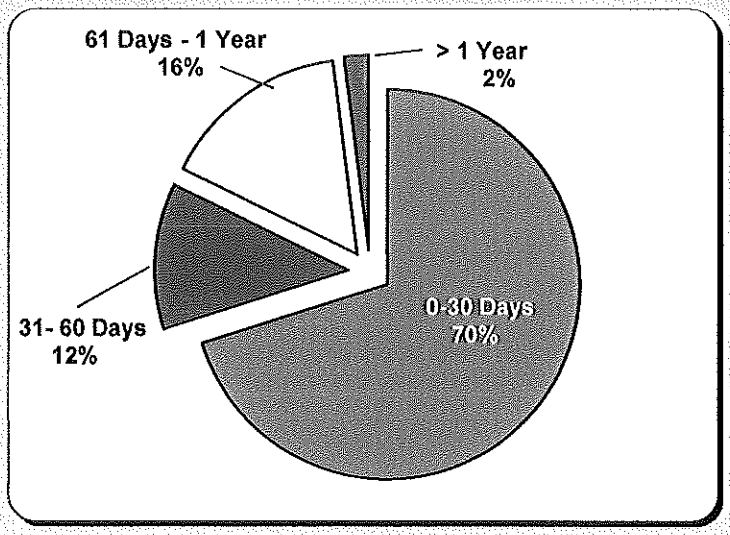


Table 5

Received Within:		
0-30 Days	1,478	70%
31-60 Days	260	12%
61 Days-1 Year	333	16%
> 1 Year	48	2%
Total	**2,119**	**100%**

Wage Statement(s): 1,586 (78%) of the 2,034 Wage Statement(s) that were due this quarter were filed timely, 295 (14%) were filed late, and 153 (8%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

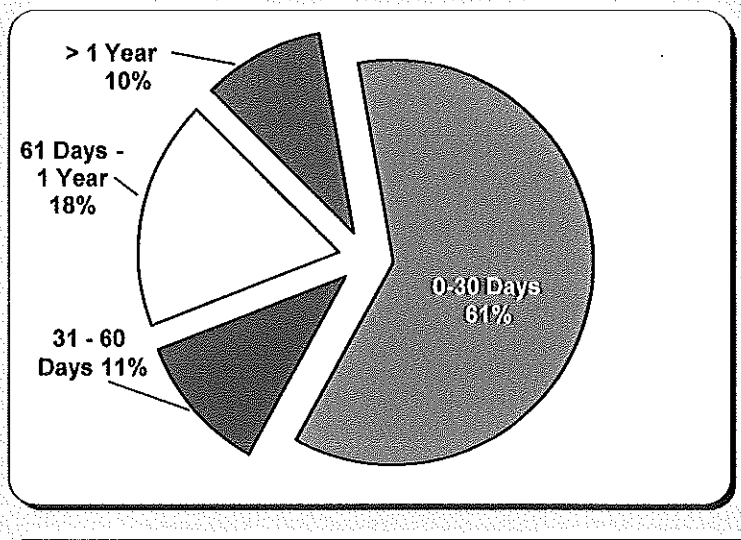


Table 6

Received Within:		
0-30 Days	1,461	61%
31-60 Days	268	11%
61 Days-1 Year	440	18%
> 1 Year	235	10%
Total	**2,404**	**100%**

Fringe Benefit Worksheet(s): 1,414 (69%) of the 2,034 Fringe Benefit Worksheet(s) due this quarter were filed timely, 440 (22%) were filed late, and 180 (9%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
Third Quarter 2014
7/1/2014-9/30/2014

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2014 - 9/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	57	42	74%	16	13	81%
	ACCIDENT FUND INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACCIDENT FUND INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ACCIDENT FUND INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	4	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	7	6	86%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	29	20	69%	8	4	50%
CA190	GALLAGHER BASSETT SERVICES	26	25	96%	5	5	100%
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	59	52	88%	16	15	94%
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	128	109	85%	32	27	84%
	ACE INSURANCE Group Total	128	109	85%	32	27	84%
	AIG INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	AIG DOMESTIC CLAIMS	101	88	87%	33	31	94%
	Total	101	88	87%	33	31	94%
	AIG INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	1	33%	1	1	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	50	46	92%	7	7	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	5	3	60%	4	4	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	3	2	67%
	TPA Total	67	59	88%	17	16	94%
	AIG INSURANCE Group Total	168	147	88%	50	47	94%
	AMTRUST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA342	TECHNOLOGY INSURANCE	7	2	29%	1	0	0%
CA381	WESCO INSURANCE	6	3	50%	1	1	100%
	Group Total	13	5	38%	2	1	50%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2014 - 9/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	6	5	83%	3	3	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	6	5	83%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	**18**	**15**	**83%**	**7**	**7**	**100%**
	ARCH INSURANCE Group Total	**18**	**15**	**83%**	**7**	**7**	**100%**
	ATLANTIC SPECIALTY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	2	0	0%	1	0	0%
	TPA Total	**2**	**0**	**0%**	**1**	**0**	**0%**
	ATLANTIC SPECIALTY INSURANCE Group Total	**2**	**0**	**0%**	**1**	**0**	**0%**
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	**144**	**143**	**99%**	**15**	**15**	**100%**
	BERKSHIRE HATHAWAY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA114	**Group Total**	*	*	*	*	*	*
	BROADSPIRE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA040	**Group Total**	**26**	**22**	**85%**	**9**	**7**	**78%**
	CANNON COCHRAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA070	**Group Total**	**171**	**139**	**81%**	**35**	**31**	**89%**
	CHEROKEE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA044	**Group Total**	*	*	*	*	*	*
	CHESTERFIELD SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	CHUBB INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	13	6	46%	4	3	75%
	TPA Total	**13**	**6**	**46%**	**4**	**3**	75%
	CHUBB INSURANCE Group Total	**13**	**6**	**46%**	**4**	**3**	**75%**

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2014 - 9/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA084	Group Total	2	2	100%	1	1	100%
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	1	0	0%	No filings	No filings	No filings
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	*	*	*	*	*	*
	CLAIMS MANAGEMENT (WALMART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	50	46	92%	7	7	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA083	CNA CLAIMS PLUS	1	1	100%	1	1	100%
CA050	CONTINENTAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA314	TRANSPORTATION INSURANCE	1	1	100%	2	2	100%
	Group Total	3	3	100%	3	3	100%
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	7	6	86%	No filings	No filings	No filings
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	3	2	67%	3	3	100%
	CORVEL ENTERPRISE COMP.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	7	3	43%	1	0	0%
	COTTINGHAM & BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	8	6	75%	4	4	100%
	CROSS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA093	Group Total	293	285	97%	28	26	93%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA089	CRUM & FORSTER	*	*	*	*	*	*
CA375	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
	TPA Total	2	1	50%	1	1	100%
	ELECTRIC INSURANCE Group Total	2	1	50%	1	1	100%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	42	28	67%	11	6	55%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	*	*	*	*	*	*

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2014 - 9/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	FEDERATED MUTUAL INSURANCE						
CA091	FEDERATED MUTUAL INSURANCE	1	0	0%	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	No filings	No filings	No filings	1	1	100%
	Group Total	1	0	0%	1	1	100%
	FIREMAN'S FUND INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA170	**Group Total**	1	1	100%	1	0	0%
	FUTURECOMP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA175	**Group Total**	54	45	83%	26	23	88%
	GALLAGHER BASSETT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA190	**Group Total**	98	72	73%	35	27	77%
	GREAT AMERICAN INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA193	**Group Total**	2	2	100%	2	1	50%
	GREAT FALLS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	53	39	74%	10	8	80%
	TPA Total	53	39	74%	10	8	80%
	GREAT FALLS INSURANCE Group Total	53	39	74%	10	8	80%
	GREAT WEST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA196	**Group Total**	*	*	*	*	*	*
	GUARANTEE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA195	**Group Total**	4	1	25%	No filings	No filings	No filings
	GUARD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA019	AMGUARD INSURANCE	27	18	67%	4	3	75%
CA140	EASTGUARD INSURANCE	7	5	71%	3	3	100%
CA272	NORGUARD INSURANCE	2	2	100%	No filings	No filings	No filings
	Group Total	36	25	69%	7	6	86%
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	79	54	68%	21	17	81%
	HANNOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	HANNOVER INSURANCE TPA Administered Claims						
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	HANNOVER INSURANCE Group Total	*	*	*	*	*	*

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HANOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	6	5	83%	1	1	100%
CA202	HANOVER INSURANCE	15	10	67%	2	1	50%
CA228	MASSACHUSETTS BAY INSURANCE	4	4	100%	1	1	100%
	Group Total	**25**	**19**	**76%**	**4**	**3**	**75%**
	HARLEYSVILLE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA197	**Group Total**	*	*	*	*	*	*
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	3	2	67%	1	1	100%
CA185	HARTFORD CASUALTY INSURANCE	6	6	100%	3	3	100%
CA203	HARTFORD FIRE INSURANCE	2	2	100%	1	1	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	4	3	75%	2	1	50%
CA187	HARTFORD UNDERWRITERS INSURANCE	9	7	78%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	2	1	50%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	2	1	50%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	9	9	100%	3	3	100%
CA321	TWIN CITY FIRE INSURANCE	6	5	83%	3	2	67%
	Total	**43**	**36**	**84%**	**14**	**12**	**86%**
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	3	2	67%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	10	7	70%	3	3	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
	TPA Total	**15**	**11**	**73%**	**5**	**5**	**100%**
	HARTFORD INSURANCE Group Total	**58**	**47**	**81%**	**19**	**17**	**89%**
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	**11**	**9**	**82%**	**7**	**6**	**86%**
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	*	*	*	*	*	*
CA210	LIBERTY MUTUAL INSURANCE	44	36	82%	19	17	89%
	Total	**44**	**36**	**82%**	**19**	**17**	**89%**
	LUMBERMEN'S UNDERWRITING	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA211	**Group Total**	*	*	*	*	*	*
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA220	**Group Total**	**21**	**20**	**95%**	**6**	**4**	**67%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA260	**Group Total**	**1294**	**1040**	**80%**	**373**	**360**	**97%**
	MAINE HEALTHCARE ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA234	**Group Total**	**42**	**34**	**81%**	**7**	**6**	**86%**

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	73	70	96%	11	10	91%
	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	218	203	93%	49	45	92%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	62	59	95%	11	11	100%
	MATRIX ABSENCE MANAGEMENT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA252	Group Total	1	0	0%	1	1	100%
	MEADOWBROOK INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	3	3	100%	3	2	67%
	MITSUI SUMITOMO INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA233	Group Total	*	*	*	*	*	*
	NATIONAL CASUALTY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA289	Group Total	1	0	0%	1	1	100%
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	1	1	100%	1	1	100%
	NATIONWIDE AGRIBUSINESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA291	Group Total	*	*	*	*	*	*
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	2	0	0%	No filings	No filings	No filings
	NORTH RIVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	3	100%	2	2	100%
	TPA Total	**3**	**3**	**100%**	**2**	**2**	100%
	NORTH RIVER INSURANCE Group Total	**3**	**3**	**100%**	**2**	**2**	**100%**
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	7	7	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	9	9	100%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	2	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	3	43%	4	2	50%
CA295	RYDER SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	6	6	100%
	TPA Total	**37**	**30**	**81%**	**13**	**11**	**85%**
	OLD REPUBLIC INSURANCE Group Total	**37**	**30**	**81%**	**13**	**11**	**85%**

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INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ONEBEACON INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	*	*	*	*	*	*
	ONEBEACON INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON INSURANCE Group Total	*	*	*	*	*	*
	PATRIOT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274		2	2	100%	No filings	No filings	No filings
	PATRIOT RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA292	**Group Total**	*	*	*	*	*	*
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELISOR INSURANCE	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	32	16	50%	8	6	75%
CA283	PEERLESS INDEMNITY INSURANCE	1	1	100%	1	1	100%
	Group Total	**33**	**17**	**52%**	**9**	**7**	**78%**
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	*	*	*	*	*	*
	PROTECTIVE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	*	*	*	*	*	*
	PUBLIC SERVICE MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA282	**Group Total**	*	*	*	*	*	*
	QBE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	QBE INSURANCE TPA Administered Claims						
CA160	ESIS	2	1	50%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	14	10	71%	9	8	89%
	TPA Total	**16**	**11**	**69%**	**9**	**8**	**89%**
	QBE INSURANCE Group Total	**16**	**11**	**69%**	**9**	**8**	**89%**
	RYDER SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA295	**Group Total**	**1**	**1**	**100%**	**1**	**1**	**100%**

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INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SAFETY NATIONAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1	0	0%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	1	0	0%
CA160	ESIS	1	0	0%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	11	10	91%	2	1	50%
CA252	MATRIX ABSENCE MANAGEMENT	1	0	0%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	1	0	0%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	0	0%
	TPA Total	**21**	**16**	**76%**	**10**	**5**	**50%**
	SAFETY NATIONAL INSURANCE Group Total	**21**	**16**	**76%**	**10**	**5**	**50%**

	SEDGWICK CLAIMS MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	Group Total	143	121	85%	48	44	92%

	SENTRY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	SENTRY INSURANCE	1	1	100%	1	1	100%
CA402	SENTRY CASUALTY	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE	1	1	100%	No filings	No filings	No filings
	Group Total	2	2	100%	1	1	100%

	SPARTA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	SPARTA INSURANCE Group Total	No filings	No filings	No filings	No filings	No filings	No filings

	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	156	139	89%	35	34	97%

	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	149	119	80%	19	13	68%

	THE AMERICAN EQUITY UNDERWRITERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA323	Group Total	*	*	*	*	*	*

	TOWER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total	No filings	No filings	No filings	No filings	No filings	No filings

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INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2014 - 9/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INSURANCE	42	31	74%	14	13	93%
CA164	FARMINGTON CASUALTY	1	1	100%	No filings	No filings	No filings
CA284	PHOENIX INSURANCE	4	2	50%	2	2	100%
CA306	STANDARD FIRE INSURANCE	4	3	75%	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY	5	5	100%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	7	5	71%	3	2	67%
CA349	TRAVELERS COMMERCIAL CASUALTY	4	4	100%	2	2	100%
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	2	2	100%	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	4	2	50%	3	2	67%
	Total	**73**	**55**	**75%**	**27**	**24**	**89%**
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	8	6	75%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	1	33%	No filings	No filings	No filings
	TPA Total	**11**	**7**	**64%**	**2**	**2**	**100%**
	TRAVELERS INSURANCE Group Total	**84**	**62**	**74%**	**29**	**26**	**90%**
	TRISTAR RISK ENTERPRISE MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	UNDERWRITERS SAFETY & CLAIMS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	VANLINER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	VANLINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	VANLINER INSURANCE Group Total	*	*	*	*	*	*
	XL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	7	5	71%	2	1	50%
CA190	GALLAGHER BASSETT SERVICES	7	4	57%	4	4	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	8	7	88%	4	4	100%
	TPA Total	**22**	**16**	**73%**	**10**	**9**	**90%**
	XL INSURANCE Group Total	**22**	**16**	**73%**	**10**	**9**	**90%**
	YORK RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	**2**	**0**	**0%**	**1**	**0**	**0%**

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Third Quarter

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA022	AMERICAN ZURICH	10	9	90%	4	4	100%
CA259	NORTHERN INSURANCE OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE	5	4	80%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	2	2	100%	No filings	No filings	No filings
	Total	**17**	**15**	**88%**	**5**	**5**	**100%**
	ZURICH INSURANCE TPA Administered Claims						
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	2	1	50%	1	1	100%
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	17	12	71%	7	4	57%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	**23**	**17**	**74%**	**8**	**5**	**63%**
	ZURICH INSURANCE Group Total	**40**	**32**	**80%**	**13**	**10**	**77%**

*Indicates no claims activity this quarter.

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Third Quarter 2014
7/1/2014-9/30/2014

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Third Quarter

7/1/2014 - 9/30/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	**16**	**14**	**88%**	**11**	**11**	**100%**
	ACCIDENT FUND INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACCIDENT FUND INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
	TPA Total	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	ACCIDENT FUND INSURANCE Group Total	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	No filings	No filings	No filings	4	4	100%
CA116	CORVEL ENTERPRIS COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	8	5	63%	10	10	100%
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	4	4	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	16	12	75%	11	11	100%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	0	0%
	TPA Total	**32**	**24**	**75%**	**30**	**29**	**97%**
	ACE INSURANCE Group Total	**32**	**24**	**75%**	**30**	**29**	**97%**
	AIG INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	AIG DOMESTIC CLAIMS	33	31	94%	15	14	93%
	Total	**33**	**31**	**94%**	**15**	**14**	**93%**
	AIG INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	2	2	100%
CA100	CLAIMS MANAGEMENT (WALMART)	7	6	86%	14	13	93%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	1	33%	1	1	100%
	TPA Total	**17**	**13**	**76%**	**17**	**16**	**94%**
	AIG INSURANCE Group Total	**50**	**44**	**88%**	**32**	**30**	**94%**
	AMTRUST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA342	TECHNOLOGY INSURANCE	1	0	0%	1	0	0%
CA381	WESCO INSURANCE	1	0	0%	1	0	0%
	Group Total	**2**	**0**	**0%**	**2**	**0**	**0%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	3	3	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	0	0%
	TPA Total	7	7	**100%**	2	1	**50%**
	ARCH INSURANCE Group Total	7	7	**100%**	2	1	**50%**
	ATLANTIC SPECIALTY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	**100%**	1	1	**100%**
	ATLANTIC SPECIALTY INSURANCE Group Total	1	1	**100%**	1	1	**100%**
	BATH IRON WORKS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA036	**Group Total**	15	14	**93%**	16	14	**88%**
	BERKSHIRE HATHAWAY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA114	**Group Total**	*	*	*	*	*	*
	BROADSPIRE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA040	**Group Total**	9	6	**67%**	8	8	**100%**
	CANNON COCHRAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA070	**Group Total**	35	29	**83%**	41	38	**93%**
	CHEROKEE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA044	**Group Total**	*	*	*	*	*	*
	CHESTERFIELD SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	CHUBB INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	4	3	75%
	TPA Total	4	3	75%	4	3	75%
	CHUBB INSURANCE Group Total	4	3	**75%**	4	3	**75%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CHURCH MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	1	1	100%	No filings	No filings	No filings
	CIANBRO CORPORATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA085	**Group Total**	No filings	No filings	No filings	1	1	100%
	CITY OF BANGOR	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA033	**Group Total**	*	*	*	*	*	*
	CLAIMS MANAGEMENT (WALMART)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA100	**Group Total**	7	6	86%	14	13	93%
	CNA INSURANCE	**MOPS Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA083	CNA CLAIMS PLUS	1	1	100%	No filings	No filings	No filings
CA050	CONTINENTAL CASUALTY	No filings	No filings	No filings	No filings	No filings	No filings
CA314	TRANSPORTATION INSURANCE	2	1	50%	No filings	No filings	No filings
	Group Total	3	2	67%	No filings	No filings	No filings
	CONSTITUTION STATE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA110	**Group Total**	No filings	No filings	No filings	4	4	100%
	CONTINENTAL INDEMNITY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA115	**Group Total**	3	2	67%	No filings	No filings	No filings
	CORVEL ENTERPRISE COMP.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA116	**Group Total**	1	0	0%	1	1	100%
	COTTINGHAM & BUTLER CLAIMS SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA117	**Group Total**	4	4	100%	No filings	No filings	No filings
	CROSS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	28	27	96%	72	72	100%
	CRUM & FORSTER	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA089	CRUM & FORSTER	*	*	*	*	*	*
CA375	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*
	ELECTRIC INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	ELECTRIC INSURANCE Group Total	1	0	0%	No filings	No filings	No filings
	ESIS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA160	**Group Total**	11	7	64%	12	12	100%
	F.A. RICHARD	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA165	**Group Total**	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	FEDERATED MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA091	FEDERATED MUTUAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	FIREMAN'S FUND INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA170	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	FUTURECOMP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA175	**Group Total**	**26**	**23**	**88%**	**9**	**9**	**100%**
	GALLAGHER BASSETT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA190	**Group Total**	**35**	**29**	**83%**	**16**	**15**	**94%**
	GREAT AMERICAN INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA193	**Group Total**	**2**	**1**	**50%**	**No filings**	**No filings**	**No filings**
	GREAT FALLS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	10	7	70%	15	12	80%
	TPA Total	**10**	**7**	**70%**	**15**	**12**	**80%**
	GREAT FALLS INSURANCE Group Total	**10**	**7**	**70%**	**15**	**12**	**80%**
	GREAT WEST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA196	**Group Total**	*	*	*	*	*	*
	GUARANTEE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA195	**Group Total**	**No filings**	**No filings**	**No filings**	**2**	**1**	**50%**
	GUARD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA019	AMGUARD INSRUANCE	4	3	75%	No filings	No filings	No filings
CA140	EASTGUARD INSURANCE	3	2	67%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	**7**	**5**	**71%**	**No filings**	**No filings**	**No filings**
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	**21**	**18**	**86%**	**10**	**5**	**50%**
	HANNOVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	HANNOVER INSURANCE TPA Administered Claims						
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	HANNOVER INSURANCE Group Total	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HANOVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	1	1	100%	2	1	50%
CA202	HANOVER INSURANCE	2	1	50%	2	2	100%
CA228	MASSACHUSETTS BAY INSURANCE	1	1	100%	No filings	No filings	No filings
	Group Total	**4**	**3**	**75%**	**4**	**3**	**75%**
	HARLEYSVILLE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA197	**Group Total**	*	*	*	*	*	*
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	3	3	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE	1	1	100%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	2	1	50%	2	2	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	1	1	100%	2	2	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	No filings	No filings	No filings	1	1	100%
CA296	SENTINEL INSURANCE	No filings	No filings	No filings	1	1	100%
CA319	TRUMBULL INSURANCE	3	3	100%	2	2	100%
CA321	TWIN CITY FIRE INSURANCE	3	2	67%	No filings	No filings	No filings
	Total	**14**	**12**	**86%**	**9**	**9**	**100%**
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	No filings	1	1	100%
	TPA Total	**5**	**4**	**80%**	**2**	**2**	**100%**
	HARTFORD INSURANCE Group Total	**19**	**16**	**84%**	**11**	**11**	**100%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	7	5	71%	1	1	100%
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	*	*	*	*	*	*
CA210	LIBERTY MUTUAL INSURANCE	19	17	89%	10	8	80%
	Total	**19**	**17**	**89%**	**10**	**8**	**80%**
	LUMBERMEN'S UNDERWRITING	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA211	**Group Total**	*	*	*	*	*	*
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA220	**Group Total**	6	5	83%	3	3	100%
	MAINE EMPLOYERS' MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA260	**Group Total**	373	358	96%	254	242	95%
	MAINE HEALTHCARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA234	**Group Total**	7	6	86%	14	14	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	11	11	100%	17	17	100%
	MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA225	Group Total	49	46	94%	49	48	98%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA250	Group Total	11	11	100%	15	15	100%
	MATRIX ABSENCE MANAGEMENT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA252	Group Total	1	1	100%	No filings	No filings	No filings
	MEADOWBROOK INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA252	Group Total	3	1	33%	No filings	No filings	No filings
	MITSUI SUMITOMO INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA233	Group Total	*	*	*	*	*	*
	NATIONAL CASUALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA289	Group Total	1	1	100%	No filings	No filings	No filings
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	1	1	100%	No filings	No filings	No filings
	NATIONWIDE AGRIBUSINESS INSURANCE	MOPs	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA291	Group Total	*	*	*	*	*	*
	NGM INSURANCE	MOPs	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NORTH RIVER INSURANCE	MOPs	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	1	50%	No filings	No filings	No filings
	TPA Total	2	1	50%	No filings	No filings	No filings
	NORTH RIVER INSURANCE Group Total	2	1	50%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	3	3	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	4	2	50%	No filings	No filings	No filings
CA295	RYDER SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	3	3	100%
	TPA Total	13	10	77%	8	8	100%
	OLD REPUBLIC INSURANCE Group Total	13	10	77%	8	8	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ONEBEACON INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	*	*	*	*	*	*
	ONEBEACON INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON INSURANCE Group Total	*	*	*	*	*	*
	PATRIOT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA274	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	PATRIOT RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA292	**Group Total**	*	*	*	*	*	*
	PEERLESS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA162	EXCELISOR INSURANCE	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	8	5	63%	12	7	58%
CA283	PEERLESS INDEMNITY INSURANCE	1	1	100%	No filings	No filings	No filings
	Group Total	**9**	**6**	**67%**	**12**	**7**	**58%**
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	*	*	*	*	*	*
	PROTECTIVE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA277	**Group Total**	*	*	*	*	*	*
	PUBLIC SERVICE MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA282	**Group Total**	*	*	*	*	*	*
	QBE INSURANCE	**MOPs**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	QBE INSURANCE GROUP TPA Administered Claims						
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	9	8	89%	2	2	100%
	TPA Total	**9**	**8**	**89%**	**2**	**2**	**100%**
	QBE INSURANCE Group Total	**9**	**8**	**89%**	**2**	**2**	**100%**
	RYDER SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA295	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**

*Indicates no claims activity this quarter.

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SAFETY NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	No filings	No filings	No filings
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	0	0%	No filings	No filings	No filings
CA252	MATRIX ABSENCE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	10	3	30%	2	2	100%
	SAFETY NATIONAL INSURANCE Group Total	10	3	30%	2	2	100%
	SEDGWICK CLAIMS MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	48	44	92%	25	25	100%
	SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA305	SENTRY INSURANCE	1	1	100%	No filings	No filings	No filings
CA402	SENTRY CASUALTY	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE	No filings	No filings	No filings	1	1	100%
	Group Total	1	1	100%	1	1	100%
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	SPARTA INSURANCE Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	35	34	97%	27	27	100%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	19	17	89%	47	45	96%
	THE AMERICAN EQUITY UNDERWRITERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA323	Group Total	*	*	*	*	*	*
	TOWER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	No filings	No filings	No filings	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	TRAVELERS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA072	CHARTER OAK FIRE INSURANCE	14	12	86%	15	14	93%
CA164	FARMINGTON CASUALTY	No filings	No filings	No filings	No filings	No filings	No filings
CA284	PHOENIX INSURANCE	2	2	100%	2	2	100%
CA306	STANDARD FIRE INSURANCE	1	1	100%	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY	1	1	100%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	3	2	67%	3	2	67%
CA349	TRAVELERS COMMERCIAL CASUALTY	2	2	100%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	3	2	67%	No filings	No filings	No filings
	Total	**27**	**23**	**85%**	**22**	**20**	**91%**
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**2**	**2**	**100%**	**1**	**1**	**100%**
	TRAVELERS INSURANCE Group Total	**29**	**25**	**86%**	**23**	**21**	**91%**
	TRISTAR RISK ENTERPRISE MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	UNDERWRITERS SAFETY & CLAIMS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	VALINER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	VALINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	VALINER INSURANCE Group Total	*	*	*	*	*	*
	XL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	2	1	50%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	3	75%	1	1	100%
	TPA Total	**10**	**8**	**80%**	**3**	**3**	**100%**
	XL INSURANCE Group Total	**10**	**8**	**80%**	**3**	**3**	**100%**
	YORK RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA340	**Group Total**	1	0	0%	2	0	0%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA022	AMERICAN ZURICH	4	4	100%	2	2	100%
CA259	NORTHERN INSURANCE COMPANY OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE	1	1	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No filings	No filings	No filings	No filings	No filings	No filings
	Total	**5**	**5**	**100%**	**3**	**3**	**100%**
	ZURICH INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	7	3	43%	4	4	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	2	2	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	**8**	**4**	**50%**	**7**	**7**	**100%**
	ZURICH INSURANCE Group Total	**13**	**9**	**69%**	**10**	**10**	**100%**

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