

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

**2021**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year **2021** or tax year beginning , **2021**, and ending , **20**

Name of foundation  
**ELLIS-BEAUREGARD FOUNDATION**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite  
**PO BOX 663**

City or town, state or province, country, and ZIP or foreign postal code  
**ROCKLAND ME 04841**

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **18,708,782.**

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d), must be on cash basis.)

**A** Employer identification number  
**47-3237606**

**B** Telephone number (see instructions)  
**2077019357**

**C** If exemption application is pending, check here ▶

**D** 1. Foreign organizations, check here . . . ▶   
2. Foreign organizations meeting the 85% test, check here and attach computation . . . ▶

**E** If private foundation status was terminated under section 507(b)(1)(A), check here . . . ▶

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . ▶

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	1.			
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	246.	246.		
	<b>4</b> Dividends and interest from securities	185,346.	185,346.		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	1,182,253.			
	<b>b</b> Gross sales price for all assets on line 6a <b>4,530,230.</b>				
	<b>7</b> Capital gain net income (from Part IV, line 2)		1,182,253.		
	<b>8</b> Net short-term capital gain			0.	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	1,367,846.	1,367,845.	0.		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	78,588.			78,588.
	<b>14</b> Other employee salaries and wages	855.			855.
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule) L-16a Stmt	4,053.			4,053.
	<b>b</b> Accounting fees (attach schedule) L-16b Stmt	13,509.			13,509.
	<b>c</b> Other professional fees (attach schedule) L-16c Stmt	58,315.	38,590.		19,725.
	<b>17</b> Interest	26.			26.
	<b>18</b> Taxes (attach schedule) (see instructions) See Stmt	61,103.	943.		16,155.
	<b>19</b> Depreciation (attach schedule) and depletion	16,574.			
	<b>20</b> Occupancy	74,790.			74,790.
	<b>21</b> Travel, conferences, and meetings	1,302.			1,302.
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule) See Stmt	30,043.			30,043.
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	339,158.	39,533.		239,046.
	<b>25</b> Contributions, gifts, grants paid	148,921.			148,921.
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	488,079.	39,533.		387,967.	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	879,767.				
<b>b Net investment income</b> (if negative, enter -0-)		1,328,312.			
<b>c Adjusted net income</b> (if negative, enter -0-)			0.		

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

	Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>				
1 Cash—non-interest-bearing	142,428.	372,525.	372,525.	
2 Savings and temporary cash investments				
3 Accounts receivable ▶				
Less: allowance for doubtful accounts ▶				
4 Pledges receivable ▶				
Less: allowance for doubtful accounts ▶				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
7 Other notes and loans receivable (attach schedule) ▶				
Less: allowance for doubtful accounts ▶				
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges	5,000.	12,536.	12,536.	
10a Investments—U.S. and state government obligations (attach schedule)				
b Investments—corporate stock (attach schedule) L-10b Stmt	9,131,137.	9,638,378.	16,843,994.	
c Investments—corporate bonds (attach schedule) L-10c Stmt	559,061.	516,361.	515,676.	
11 Investments—land, buildings, and equipment: basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment: basis ▶ 1,064,420.				
Less: accumulated depreciation (attach schedule) ▶ 100,369.	750,327.	964,051.	964,051.	
15 Other assets (describe ▶ )				
16 <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,587,953.	11,503,851.	18,708,782.	
<b>Liabilities</b>				
17 Accounts payable and accrued expenses	7,480.	11,171.		
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe ▶ )				
23 <b>Total liabilities</b> (add lines 17 through 22)	7,480.	11,171.		
<b>Net Assets or Fund Balances</b>				
<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/>				
24 Net assets without donor restrictions				
25 Net assets with donor restrictions				
<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/>				
26 Capital stock, trust principal, or current funds	10,580,473.	11,492,680.		
27 Paid-in or capital surplus, or land, bldg., and equipment fund				
28 Retained earnings, accumulated income, endowment, or other funds				
29 <b>Total net assets or fund balances</b> (see instructions)	10,580,473.	11,492,680.		
30 <b>Total liabilities and net assets/fund balances</b> (see instructions)	10,587,953.	11,503,851.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	10,580,473.
2 Enter amount from Part I, line 27a	2	879,767.
3 Other increases not included in line 2 (itemize) ▶ BASIS ADJUSTMENTS SECTION 1014	3	32,440.
4 Add lines 1, 2, and 3	4	11,492,680.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	11,492,680.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	141 COUPA SOFTWARE	P	05/22/2020	02/25/2021
<b>b</b>	187 OCADO GROUP	P	05/04/2021	07/09/2021
<b>c</b>	115 Q2 HLDGS	P	05/14/2020	02/01/2021
<b>d</b>	1118 RHYTHM PHARMA	P	02/05/2021	11/22/2021
<b>e</b>	See Statement			

  

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b>	47,137.		32,353.	14,784.
<b>b</b>	4,878.		5,531.	-653.
<b>c</b>	14,671.		9,241.	5,430.
<b>d</b>	11,762.		35,640.	-23,878.
<b>e</b>	4,451,778.		3,265,208.	1,186,570.

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
<b>a</b>			14,784.
<b>b</b>			-653.
<b>c</b>			5,430.
<b>d</b>			-23,878.
<b>e</b>			1,186,570.

  

<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	1,182,253.
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	<b>3</b>	-9,248.

**Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)	<b>1</b>	18,464.
<b>b</b>	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>2</b>	0.
<b>3</b>	Add lines 1 and 2	<b>3</b>	18,464.
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>4</b>	0.
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	18,464.
<b>6 Credits/Payments:</b>			
<b>a</b>	2021 estimated tax payments and 2020 overpayment credited to 2021	<b>6a</b>	26,000.
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	0.
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	26,000.
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	7,536.
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2022 estimated tax</b> 7,536. <b>Refunded</b>	<b>11</b>	

**Part VI-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. ▶ \$ _____ <b>(2)</b> On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ ME		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII	X	
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X
<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
<b>14</b> The books are in care of ▶ DONNA MCNEIL Telephone no. ▶ (207) 701-9357 Located at ▶ 11 KNOWLTON STREET ROCKLAD ME ZIP+4 ▶ 04841		
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15		
<b>16</b> At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
<b>1a</b> During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)	X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)	X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	X
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)	X
(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)	X
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	
<b>c</b> Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		
<b>d</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021? If "Yes," list the years ► 20____, 20____, 20____, 20____	2a	X
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.)	2b	
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here: ► 20____, 20____, 20____, 20____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a	X
<b>b</b> If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2021.)	3b	
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b	X

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

	Yes	No
<b>5a</b> During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		X
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?		X
(3) Provide a grant to an individual for travel, study, or other similar purposes?	X	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions		X
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		X
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		X
<b>c</b> Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		
<b>d</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		X
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		X
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
<b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?		

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLEN GOLDEN 193 RIVER ROAD WOOLWICH ME 04579	PRESIDENT 2.00	0.	0.	0.
LISA CUMMINGS 63 CHURCH STREET HOPE ME 04847	TREASURER 15.00	0.	0.	0.
NED STEINBERGER 42 HILLTOP ROAD NOBLEBORO ME 04555	VP 2.00	0.	0.	0.
See Statement	44.00	78,588.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000** ▶ 0

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
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-----		
-----		
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-----		

**Total** number of others receiving over \$50,000 for professional services . . . . . **0**

**Part VIII-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> EMERGENCY RELIEF AWARD FOR ARTISTS - DUE TO THE COVID-19 PANDEMIC MANY PROGRAMS WERE CURTAILED. EBF AWARDED \$2,500 TO 40 MAINE ARTISTS SELECTED THROUGH AN OPEN JURIED PROCESS	90,171.
<b>2</b> RESIDENCY PROGRAM - PROVIDED A RESIDENCY PROGRAM FOR ARTISTS. THE AWARDEES CHOSEN THROUGH AN OPEN COMPETITIVE PROCESS. RECIEVE FREE STUDIO SPACE AND A STIPEND FOR LIVING EXPENSES	201,545.
<b>3</b> FELLOWSHIP PROGRAM - PROVIDED A FELLOWSHIP GRANT OF \$25,000 TO AN AWARDEE CHOSEN THROUGH AN OPEN JURIED PROCESS. THE AWARD INCLUDES SOLO EXHIBITION AND A PUBLICATION.	42,757.
<b>4</b> COMPOSER AWARD - PROVIDED A COMPOSER AWARD OF \$20,000 THROUGH AN OPEN COMPETITIVE JURIED PROCESS. THE AWARD INCLUDES A NEW FULL LENGTH WORK PLAYED BY AN ORCHESTRA AND RECORDED.	53,494.

**Part VIII-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b> ----- ----- -----	
<b>2</b> ----- ----- -----	
All other program-related investments. See instructions. <b>3</b> ----- ----- -----	
<b>Total.</b> Add lines 1 through 3 . . . . .	

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	17,239,541.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	85,596.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	0.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	17,325,137.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	17,325,137.
<b>4</b>	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)	<b>4</b>	259,877.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3	<b>5</b>	17,065,260.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% (0.05) of line 5	<b>6</b>	853,263.

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part IX, line 6		<b>1</b>	
<b>2a</b>	Tax on investment income for 2021 from Part V, line 5	<b>2a</b>		
<b>b</b>	Income tax for 2021. (This does not include the tax from Part V.)	<b>2b</b>		
<b>c</b>	Add lines 2a and 2b	<b>2c</b>		
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>		
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>		
<b>5</b>	Add lines 3 and 4	<b>5</b>		
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>		
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1	<b>7</b>		

**Part XI Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	387,967.
<b>b</b>	Program-related investments—total from Part VIII-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	230,297.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4	<b>4</b>	618,264.



**Part XII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
<b>1</b> Distributable amount for 2021 from Part X, line 7				
<b>2</b> Undistributed income, if any, as of the end of 2021:				
<b>a</b> Enter amount for 2020 only				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2021:				
<b>a</b> From 2016				0.
<b>b</b> From 2017				0.
<b>c</b> From 2018				284,358.
<b>d</b> From 2019				376,460.
<b>e</b> From 2020				752,092.
<b>f</b> <b>Total</b> of lines 3a through e	1,412,910.			
<b>4</b> Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ 618,264.				
<b>a</b> Applied to 2020, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2021 distributable amount				
<b>e</b> Remaining amount distributed out of corpus	618,264.			
<b>5</b> Excess distributions carryover applied to 2021 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,031,174.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions		0.		
<b>e</b> Undistributed income for 2020. Subtract line 4a from line 2a. Taxable amount—see instructions			0.	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)		0.		
<b>9</b> <b>Excess distributions carryover to 2022.</b> Subtract lines 7 and 8 from line 6a	2,031,174.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2017				0.
<b>b</b> Excess from 2018				284,358.
<b>c</b> Excess from 2019				376,460.
<b>d</b> Excess from 2020				752,092.
<b>e</b> Excess from 2021				618,264.

**Part XIII Private Operating Foundations** (see instructions and Part VI-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling . . . . . ▶ 04/13/2015
- b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2021	(b) 2020	(c) 2019	(d) 2018	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed . . . . .	0.	142,867.	163,600.	180,274.	486,741.
<b>b</b> 85% (0.85) of line 2a . . . . .	0.	121,437.	139,060.	153,233.	413,730.
<b>c</b> Qualifying distributions from Part XI, line 4, for each year listed . . . . .	618,264.	752,092.	376,460.	284,358.	2,031,174.
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .	618,264.	752,092.	376,460.	284,358.	2,031,174.
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test—enter <sup>2</sup> / <sub>3</sub> of minimum investment return shown in Part IX, line 6, for each year listed . . . . .	568,842.	501,395.	376,379.	349,591.	1,796,207.
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XIV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)  
See Managers Contributed More than 2% Statement
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- 
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.
- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:  
See Supplementary Information Statement
- b** The form in which applications should be submitted and information and materials they should include:
- 
- c** Any submission deadlines:
- 
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XIV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
REINALDO MANUAL MOYA 709 EKLUND COURT NORTHFIELD MN 55057		I	COMPOSER AWARD	621.
JESSICA MEYER 255 FIELDSTONE TERRACE 6B BRONX NY 10471		I	COMPOSER AWARD	5,000.
KENNETH D FUSHS 5 MONHEGAN SQUARE MANSFIELD CTR CT 06250		I	COMPOSER AWARD	15,000.
BURR JOHNSON 525 EAST 21ST ST, 5A BROOKLYN NY 11226		I	ARTIST STIPEND	1,200.
OLIVER SOLMITZ 97 KINGS HIGHWAY MASON TOWNSHIP ME 04217		I	ARTIST STIPEND	1,200.
ERIN OLIVER 403 CHESTNUT HILL RD FREDERICKSBURG PA 17026		I	ARTIST STIPEND	1,200.
CHERRIE YU C/O ELLIS BEAUREGARD FOUNDATOIN ROCKLAND ME 04841		I	ARTIST STIPEND	1,200.
RACHEL ALEXANDROU 137 DOCK ROAD ALNA ME 04535		I	ARTIST STIPEND	1,200.
SHEA SLEMMER 473 S 2ND STREET CHAMBERSBURG PA 17201		I	ARTIST STIPEND	1,200.
See Statement				
<b>Total</b>				<b>3a</b> 148,921.
<b>b Approved for future payment</b>				
<b>Total</b>				<b>3b</b>





**Form 990-PF: Return of Private Foundation**

**Part XV, Line 2: Supplementary Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Continuation Statement**

Name and Address Information	Form Information	Submission Information	Restrictions
DONNA MCNEIL, EXECUTIVE DIRECTOR PO BOX 663 ROCKLAND, ME 04841 donna@ellis-beauregardfoundation.org 207-594-5825	MUST BE SUBMITTED ELECTRONICALLY THROUGH SUMMITTABLE.	RESIDENCY MARCH & APRIL, FELLOWSHIP NOVEMBER, COMPOSER SEPTEMBER, OTHERS DECEMBER	18 YEARS OR OLDER AND NOT ATTENDING A SCHOOL OR UNIVERSITY

## Form 990-PF: Return of Private Foundation

## Part XV, Line 3a: Grants and Contributions Paid During the Year

## Continuation Statement

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARL ELSAESSER PO BOX 791 BLUE HILL, ME 04614		I	ARTIST STIPEND	2,400.
JENNIFER QUARARARO 591 HAGUE ST DETROIT, MI 48202		I	ARTIST STIPEND	2,400.
SARAH SZWAIKOS 27 CENTRAL ST CAMDEN, ME 04843		I	ARTIST STIPEND	1,200.
EVELYN WONG 650 CONGRESS ST APT 1 PORTLAND, ME 04101		I	ARTIST STIPEND	1,200.
DANIEL SABZGHAB 305 HIGHLAND RD, 1-2C ITHICA, NY 14850		I	ARTIST STIPEND	2,400.
SARA KHAN 250 WEST 90TH ST, 8D NEW YORK, NY 10024		I	ARTIST STIPEND	1,200.
OLIVER SOLMITZ 97 KINGS HIGHWAY MASON TOWNSHIP, ME 04217		I	ARTIST STIPEND	7,200.
ELIJAH OBER 69 ANGELL AVE SOUTH PORTLAND, ME 04106		I	ARTIST STIPEND	2,400.
MICHAEL DROGE 190 DANFORTH ST PORTLAND, ME 04102		I	ARTIST STIPEND	1,200.
CAROLINA GONSALE 25 HIGH STREET 302 PORTLAND, MA 04101		I	ARTIST STIPEND	1,200.
BABARA SULLIVAN 2866 BELFAST ROAD LINCOLNVILLE, ME 04849		I	ARTIST STIPEND	1,200.
CORINNA D'SCHOTO 30 KNOLL ST ROSLINDALE, MA 02131		I	ARTIST STIPEND	1,200.
JOSEPH BOHIGIAN 414 W MUNCIE AVE CLOVIS, CA 93619		I	ARTIST STIPEND	1,200.
DEBORAH AIOTSKY 47 GREENOCK ROAD DELMAR, NY 12054		I	ARTIST STIPEND	1,200.
JENNIFER CALIVS 272 MONROE ST, APT 2 BROOKLYN, NY 11216		I	ARTIST STIPEND	1,200.

## Form 990-PF: Return of Private Foundation

## Part XV, Line 3a: Grants and Contributions Paid During the Year

## Continuation Statement

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WILLIAM SEARS 19 MAPLE STREET SOUTH PORTLAND, ME 04106		I	ARTIST STIPEND	2,400.
MICHAELA STONE 24 WHITETAIL DR ROCKPORT, ME 04856		I	ARTIST STIPEND	2,400.
ELIAS PEIRCE 69 AMGELL AVE 1 SOUTH PORTLAND, ME 04106		I	ARTIST STIPEND	1,200.
JESSICA MEYER 255 FIELDSTONE TERRACE 6B BRONX, NY 10471		I	ARTIST STIPEND	1,200.
TOISHA TUCKER 1 FORDHAM HILL OVAL 2C BRONX, NY 10468		I	ARTIST STIPEND	1,200.
JENNY PAGE 20 HIGH STREET BLUE HILL, ME 04614		I	ARTIST STIPEND	2,400.
NANFEI WANG 6 COTTAGE STREET ROCKLAND, ME 04841		I	ARTIST STIPEND	3,600.
MEG MITCHELL 263 MAIN ST #304 BIDDEFORD, ME 04005		I	ARTIST STIPEND	2,400.
TEO NGUYEN 549 TURNPIKE RD GOLDEN VALLEY, MN 55419		I	ARTIST STIPEND	1,200.
NATALIE MONN 37 FURNACE BANK RD 300 WASSAIC, NY 12592		I	ARTIST STIPEND	1,200.
CHRISTINA EBERT 17310 BRADGATE AVE CLEVELAND, OH 44111		I	ARTIST STIPEND	1,200.
CARMEN RIBAUDO 97 CLYDE ST PAWTUCKET, RI 02860		I	ARTIST STIPEND	1,200.
NANCY ANDREWS PO BOX 142 SEAL HARBOR, ME 04675		I	ARTIST FELLOWSHIP AWARD	20,000.
IAN ALTEVEER 129 LAFAYETTE ST 8B NEW YORK, NY 10013		I	ARTIST FELLOWSHIP HONORARIUM	1,000.
ELLEN TANI 621 9T ST SE WASHINGTON, DC 20003		I	ARTIST FELLOWSHIP HONORARIUM	1,000.



**Form 990-PF: Return of Private Foundation****Part XV, Line 3a: Grants and Contributions Paid During the Year**

Continuation Statement

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOPHIE DAVIS 9 BROAD STREET ROCKLAND, ME 04841		I	EMERGENCY RELIEF GRANT	2,500.
TRAVIS CYR 249 MAIN STREET VAN BUREN, ME 04786		I	EMERGENCY RELIEF GRANT	2,500.
DONTAVIS HINES 219 DARTMOUTH STREET PORTLAND, ME 04103		I	EMERGENCY RELIEF GRANT	2,500.
SAMUEL JAMES 82 BECKETT STREET #3 PORTLAND, ME 04101		I	EMERGENCY RELIEF GRANT	2,500.
JAMIE OSHIMA 760 WASHINGTON AVE PORTLAND, ME 04103		I	EMERGENCY RELIEF GRANT	2,500.
ARIANA SMITH 667 CONGRESS ST APT 212 PORTLAND, ME 04101		I	EMERGENCY RELIEF GRANT	2,500.
ORSON HORCHLER 39 ROBERTS ST APT 3 PORTLAND, ME 04102		I	EMERGENCY RELIEF GRANT	2,500.
SEAN OSHIMA 769 WASHINGTON AVE PORTLAND, ME 04103		I	EMERGENCY RELIEF GRANT	2,500.
HANZABURO ARAKI 90 MORRISON ROAD SPRINGVALE, ME 04083		I	EMERGENCY RELIEF GRANT	2,500.
RYAN ZOLIDIS 103 SHERIDAN ST #3 PORTLAND, ME 04101		I	EMERGENCY RELIEF GRANT	1,200.
RILEY WATTS 246 COTTAGE RD SOUTH PORTLAND, ME 04106		I	EMERGENCY RELIEF GRANT	2,500.
MICHAEL TRAUTMAN 68 BAMCROFT ST PORTLAND, ME 04102		I	EMERGENCY RELIEF GRANT	2,500.
SABLE STROUT 172 COYLE ST, APT 3 PORTLAND, ME 04103		I	EMERGENCY RELIEF GRANT	2,500.
KAREN MONTANARO 20 LITTLE TOAD LANE CASCO, ME 04015		I	EMERGENCY RELIEF GRANT	2,500.
ANNEGRET BAIER 14 BEDELL ST PORTLAND, ME 04103		I	EMERGENCY RELIEF GRANT	2,500.

**Form 990-PF: Return of Private Foundation**

**Part XV, Line 3a: Grants and Contributions Paid During the Year**

**Continuation Statement**

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MICHAEL ODOKARA 10A GLECKLER ROAD PORTLAND, ME 04103		I	EMERGENCY RELIEF GRANT	2,500.
STUART MAHAN JR 156 ELM STREET DAMARISCOTTA, ME 04543		I	EMERGENCY RELIEF GRANT	2,500.
ZAKARY KIMBALL 52 MAIN STREET NEW SHARON, ME 04955		I	EMERGENCY RELIEF GRANT	2,500.
ANGELIKAH FAHRAY 190 WINN ROAD FALMOUTH, ME 04105		I	EMERGENCY RELIEF GRANT	2,500.
ANNE HOFFMAN 1063 WASHINGTON AVE PORTLAND, ME 04103		I	EMERGENCY RELIEF GRANT	2,500.
				121,100.

## Form 990-PF: Return of Private Foundation

## Part IV: Capital Gains and Losses for Tax on Investment Income

## Continuation Statement

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired	(d) Date sold
762 SCHRODINGER	P	11/24/20	08/20/21
321 SERES THERAPEUTICS	P	08/13/20	07/23/21
552 SIGILON THERAPEUTICS	P	12/04/20	07/26/21
258 UIPATH	P	04/21/21	11/22/21
326 ZILLOW	P	02/12/21	10/04/21
202 ALIBABA GROUP	P	11/20/17	02/12/21
296 GLAUKOS	P	06/06/16	11/03/21
100 IRHYTHM TECH	P	09/06/19	04/23/21
432 MATCH GROUP	P	10/16/18	02/03/21
10 NETFLIX	P	05/15/15	05/10/21
296 PULMONX	P	10/01/20	11/19/21
299 Q2 HLDGS	P	10/04/19	02/01/21
25 RINGCENTRAL	P	05/15/15	04/20/21
65 ROKU	P	07/25/18	05/10/21
296 SAREPTA THERAPEUTICS	P	11/10/17	02/11/21
196 SCOTTS MIRACLE GRO	P	05/26/20	12/06/21
648 TENCENT HLDGS	P	09/21/16	09/17/21
85 TESLA	P	05/15/15	11/18/21
8 ACCOLADE	P	07/02/20	05/07/21
845 AMICUS THERAPEUTICS	P	06/16/20	05/21/21
311 ASANA	P	11/24/20	10/12/21
327 EARGO	P	11/02/20	05/05/21
123 INARI MED	P	03/17/21	10/01/21
303 LORAL SPACE & COM	P	02/23/21	11/23/21
424 OAK STR HEALTH	P	08/06/20	05/14/21
120 PHATHOM PHARMA	P	03/02/21	05/18/21
319 REDFIN	P	08/18/20	08/16/21
615 RELAY THERAPEUTICS	P	07/16/20	05/07/21
513 RHYTHM PHARMA	P	12/29/20	10/27/21
516 ROBINHOOD MKTS	P	07/29/21	08/20/21
391 SANA BIOTECH	P	02/04/21	10/04/21
459 SERES THERAPEUTICS	P	08/10/20	07/23/21
476 SIGILON THERAPEUTICS	P	12/14/20	06/09/21
192 WATSCO	P	07/17/20	07/16/21
150 XYLEM	P	04/08/20	02/12/21
119 ZILLOW	P	02/18/21	07/27/21
489 ADAPTIVE BIOTECH	P	01/24/20	11/23/21
194 ARENA PHARMA	P	07/14/20	12/13/21
50 ARGENX	P	05/28/20	10/26/21
342 ASANA	P	10/07/20	10/12/21
210 AUTODESK	P	11/06/17	05/14/21
.9 BRIDGE BIOPHARMA	P	12/06/19	01/27/21
240 CARVANA	P	05/20/20	12/20/21
252 DISH	P	02/11/20	02/12/21
55 EPAM SYS	P	11/06/17	12/08/21
140 GALAPAGOS	P	04/09/19	10/06/21
140 GOLDMAN SACHS	P	08/13/18	01/19/21
28 HUBSPOT	P	05/15/15	10/19/21
19 INARI MED	P	05/22/20	10/10/21

**Form 990-PF: Return of Private Foundation****Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

1655 INFINERA	P	07/23/20	08/27/21
39 INSPIRE MED SYS	P	02/05/20	02/09/21
856 INVITAE	P	09/14/16	11/30/21
53 PELOTON	P	09/26/19	04/16/21
465 PINTEREST	P	10/30/20	12/13/21
14 PULMONX	P	10/01/20	11/23/21
2 REPAIR THERAPEUTICS	P	06/19/20	12/14/21
64 ROKU	P	09/28/17	02/26/21
137 SAREPTA THERAPEUTICS	P	09/14/17	02/19/21
3025 SEMSEONICS	P	06/26/18	11/12/21
8 SILK RD MED	P	04/04/19	02/24/21
95 SQUARE	P	11/21/16	04/23/21
73 STROKE THERAPEUTICS	P	06/19/19	03/29/21
325 TAL EDUCATION	P	05/16/17	07/23/21
622 VERACYTE	P	01/18/19	07/23/21
10 AMAZON	P	03/19/20	03/04/21
1200 APPLE	P	03/19/20	03/04/21
870 CUMMINS	P	07/28/21	04/05/21
2040 DR HORTON	P	09/16/20	01/07/21
100K FEDERAL FARM CREDIT BOND	P	02/05/20	02/04/21
1610 FORTUNE BRANDS	P	09/16/20	03/04/21
1485 HASBRO	P	11/18/20	06/03/21
400 MSCI USA ESG ETF	P	01/23/20	01/07/21
144 LAM RESEARCH	P	03/26/20	01/28/21
7325 WESTERN UNION	P	09/16/20	08/25/21
21 AMAZON	P	01/31/14	03/04/21
1030 AMGEN	P	01/31/14	09/30/21
1990 APPLE	P	12/06/16	03/04/21
140 BROADCOM	P	05/08/19	01/07/21
6000 CSX	P	10/26/20	11/16/21
75K CVS NOTE	P	03/28/17	05/15/21
345 META PLATFORMS	P	05/08/19	03/04/21
2570 MBS ETF	P	01/24/20	02/08/21
12709 RBC GLOBAL ASSET EMERGING MKTS	P	09/26/19	02/03/21
500 TEXAS INSTRUMENTS	P	09/26/19	01/28/21
75K TEXTRON NOTE	P	06/05/17	03/01/21
800 VISA	P	12/05/16	11/16/21
48 ALIBABA	P	12/05/16	11/16/21
40 AMAZON	P	05/15/15	03/04/21
518 META PLATFORMS	P	05/15/15	03/04/21

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40,975.		51,046.	-10,071.
2,326.		9,560.	-7,234.
2,737.		13,694.	-10,957.
12,536.		17,022.	-4,486.
27,116.		65,561.	-38,445.
53,323.		33,340.	19,983.
16,375.		7,509.	8,866.
8,083.		7,300.	783.
59,779.		23,436.	36,343.
4,896.		871.	4,025.

## Form 990-PF: Return of Private Foundation

## Part IV: Capital Gains and Losses for Tax on Investment Income

## Continuation Statement

10,103.		11,998.	-1,895.
38,145.		22,462.	15,683.
8,037.		423.	7,614.
21,304.		3,192.	18,112.
26,551.		16,163.	10,388.
26,263.		28,868.	-2,605.
38,020.		21,165.	16,855.
76,216.		4,177.	72,039.
343.		176.	167.
7,250.		11,849.	-4,599.
30,322.		8,047.	22,275.
15,254.		10,890.	4,364.
9,467.		13,107.	-3,640.
11,925.		12,848.	-923.
23,301.		16,838.	6,463.
4,131.		5,631.	-1,500.
14,483.		14,071.	412.
14,966.		16,460.	-1,494.
5,725.		15,552.	-9,827.
22,325.		19,608.	2,717.
7,845.		13,504.	-5,659.
3,316.		11,274.	-7,958.
4,964.		12,813.	-7,849.
53,720.		37,792.	15,928.
14,547.		10,264.	4,283.
12,371.		22,161.	-9,790.
14,067.		14,016.	51.
17,216.		11,939.	5,277.
13,873.		10,877.	2,996.
36,783.		9,114.	27,669.
57,448.		22,870.	34,578.
52.		29.	23.
53,146.		22,027.	31,119.
7,828.		10,077.	-2,249.
35,093.		5,097.	29,996.
6,800.		16,063.	-9,263.
40,972.		32,980.	7,992.
22,038.		1,356.	20,682.
1,462.		361.	1,101.
13,760.		12,993.	767.
8,550.		2,980.	5,570.
14,443.		12,389.	2,054.
6,138.		1,381.	4,757.
16,533.		28,330.	-11,797.
465.		266.	199.
40.		40.	0.
24,877.		1,030.	23,847.
11,575.		6,391.	5,184.
10,900.		12,251.	-1,351.
418.		160.	258.
22,702.		6,142.	16,560.
2,637.		2,022.	615.

**Form 990-PF: Return of Private Foundation**

**Part IV: Capital Gains and Losses for Tax on Investment Income**

**Continuation Statement**

2,144.		7,157.	-5,013.
27,108.		10,758.	16,350.
29,770.		18,903.	10,867.
143,879.		73,514.	70,365.
200,803.		226,331.	-25,528.
138,211.		150,470.	-12,259.
100,000.		99,975.	25.
133,934.		142,383.	-8,449.
140,075.		136,992.	3,083.
33,475.		27,600.	5,875.
74,237.		37,088.	37,149.
159,511.		177,747.	-18,236.
62,517.		7,963.	54,554.
221,169.		171,944.	49,225.
238,600.		54,285.	184,315.
61,491.		42,792.	18,699.
211,863.		158,690.	53,173.
75,000.		75,000.	0.
88,517.		65,653.	22,864.
283,169.		278,763.	4,406.
265,000.		204,449.	60,551.
84,923.		59,330.	25,593.
75,000.		75,000.	0.
172,443.		61,625.	110,818.
8,098.		7,968.	130.
119,081.		17,094.	101,987.
132,904.		41,881.	91,023.
4,451,778.	0.	3,265,208.	1,186,570.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-10,071.
			-7,234.
			-10,957.
			-4,486.
			-38,445.
			19,983.
			8,866.
			783.
			36,343.
			4,025.
			-1,895.
			15,683.
			7,614.
			18,112.
			10,388.
			-2,605.
			16,855.
			72,039.
			167.
			-4,599.

Form 990-PF: Return of Private Foundation

Part IV: Capital Gains and Losses for Tax on Investment Income

Continuation Statement

			22,275.
			4,364.
			-3,640.
			-923.
			6,463.
			-1,500.
			412.
			-1,494.
			-9,827.
			2,717.
			-5,659.
			-7,958.
			-7,849.
			15,928.
			4,283.
			-9,790.
			51.
			5,277.
			2,996.
			27,669.
			34,578.
			23.
			31,119.
			-2,249.
			29,996.
			-9,263.
			7,992.
			20,682.
			1,101.
			767.
			5,570.
			2,054.
			4,757.
			-11,797.
			199.
			0.
			23,847.
			5,184.
			-1,351.
			258.
			16,560.
			615.
			-5,013.
			16,350.
			10,867.
			70,365.
			-25,528.
			-12,259.
			25.
			-8,449.
			3,083.
			5,875.

**Form 990-PF: Return of Private Foundation**

**Part IV: Capital Gains and Losses for Tax on Investment Income**

**Continuation Statement**

			37,149.
			-18,236.
			54,554.
			49,225.
			184,315.
			18,699.
			53,173.
			0.
			22,864.
			4,406.
			60,551.
			25,593.
			0.
			110,818.
			130.
			101,987.
			91,023.
0.	0.	0.	<b>1,186,570.</b>



Form 990-PF: Return of Private Foundation

Part VIII: Information about Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors Continuation Statement

Name and address	Title, and average hours per week devoted to position	Compensation	Contributions to employee benefit plans and deferred compensation	Expense account, other allowances
FRITZ BUEHNER 16 LOWER WATER STREET LUBEC, ME 04652	SECRETARY 2.00	0.	0.	0.
FREDERICK BINACHI 12 MILL STREET ROCKLAND, ME 04841	DIRECTOR 2.00	0.	0.	0.
DONNA M MCNEIL 16 BREWSTER STREET ROCKLAND, ME 04841	EXECUTIVE DIR 40.00	78,588.	0.	0.
		78,588.	0.	0.

**Additional information from your Form 990-PF: Return of Private Foundation**

**Form 990-PF: Return of Private Foundation**

**Taxes**

**Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
PAYROLL	6,077.			6,077.
PROPERTY	9,227.			9,227.
PERMITS	851.			851.
FOREIGN TAXES	943.	943.		
990-PF	44,005.			
<b>Total</b>	61,103.	943.		16,155.

**Form 990-PF: Return of Private Foundation**

**Other Expenses**

**Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
ART SUPPLIES	4,253.			4,253.
BANK CHARGES	60.			60.
CATERING	12,222.			12,222.
DUES & SUBSCRIPTIONS	3,010.			3,010.
INSURANCE	2,205.			2,205.
MEALS & ENTERTAINMENT	1,102.			1,102.
POSTAGE	124.			124.
COMPUTER & INTERNET	4,947.			4,947.
OFFICE SUPPLIES	878.			878.
VEHICLE	1,242.			1,242.
<b>Total</b>	30,043.			30,043.

**Form 990-PF: Return of Private Foundation**

**Managers Contributed More than 2%**

**Continuation Statement**

List
JOHN DAVID ELLIS
ESTATE OF JOHN DAVID ELLIS

Name ELLIS-BEAUREGARD FOUNDATION	Employer Identification No. 47-3237606
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**Line 16a - Legal Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DRUMMOND WOODSUM	LEGAL, CONSULTING	4,053.			
<b>Total to Form 990-PF, Part I, Line 16a</b>		4,053.			

**Line 16b - Accounting Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BRUCE BACHELDER	TAX, CONSULTING	3,000.			
PHILBROOK & ASSOC	BOOKKEEPING	10,509.			
<b>Total to Form 990-PF, Part I, Line 16b</b>		13,509.			

**Line 16c - Other Professional Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HM PAYSON	INVESTMENT	38,590.	38,590.		
AGUSTA THOMAS	COMPOSER JUROR	1,500.			
ADAM SCHOENBURG	COMPOSER JUROR	1,500.			
TOM BROCKISH	ART JUROR	250.			
SUSAN LAREN	ART JUROR	250.			
CAMILO AVLEREZ	ART JUROR	250.			
SARA SALMONE	ART JUROR	250.			
MEGAN BRADY	VISITING ARTIST	150.			
TIM PETERSON	VISITING ARTIST	450.			
HILLARY SHAFFNER	VISITING ARTIST	150.			
	See Line 16c - Other Professional Fees	14,975.			
<b>Total to Form 990-PF, Part I, Line 16c</b>		58,315.	38,590.		

Name ELLIS-BEAUREGARD FOUNDATION	Employer Identification No. 47-3237606
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Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
FEDERATED GOVT OBLI				
FEDERATED GOVT OBLI				
Tot to Fm 990-PF, Pt II, Ln 10a				

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
148 ABIOMED	49,573.	53,157.
376 ALNYLAM PHARMACEUTICALS	51,452.	63,762.
26 AMAZON	11,111.	86,693.
See L-10b Stmt	9,526,242.	16,640,382.
Totals to Form 990-PF, Part II, Line 10b	9,638,378.	16,843,994.

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
ANTHEM 3.35% 12/01/24	106,776.	105,664.
DR HORTON 2.6% 10/15/2025	104,817.	103,270.
JP MORGAN CHASE 3.2% 1/25/23	50,817.	51,359.
See L- 10c Stmt	253,951.	255,383.
Totals to Form 990-PF, Part II, Line 10c	516,361.	515,676.

Line 12 - Investments - Mortgage loans:	End of Year	
	Book Value	Fair Market Value
Totals to Form 990-PF, Part II, Line 12		

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
Totals to Form 990-PF, Part II, Line 13		



# IRS e-file Signature Authorization for a Tax Exempt Entity

For calendar year 2021, or fiscal year beginning \_\_\_\_\_, 2021, and ending \_\_\_\_\_, 20

# 2021

Department of the Treasury  
Internal Revenue Service

▶ **Do not send to the IRS. Keep for your records.**  
▶ Go to [www.irs.gov/Form8879TE](http://www.irs.gov/Form8879TE) for the latest information.

Name of filer **ELLIS-BEAUREGARD FOUNDATION** EIN or SSN **47-3237606**

Name and title of officer or person subject to tax  
**ELLEN GOLDEN, PRESIDENT**

### Part I Type of Return and Return Information

Check the box for the return for which you are using this Form 8879-TE and enter the applicable amount, if any, from the return. Form 8038-CP and Form 5330 filers may enter dollars and cents. For all other forms, enter whole dollars only. If you check the box on line 1a, 2a, 3a, 4a, 5a, 6a, 7a, 8a, 9a, or 10a below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, 5b, 6b, 7b, 8b, 9b, or 10b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than one line in Part I.

1a Form 990 check here . . . ▶ <input type="checkbox"/>	b Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . .	1b _____
2a Form 990-EZ check here . . . ▶ <input type="checkbox"/>	b Total revenue, if any (Form 990-EZ, line 9) . . . . .	2b _____
3a Form 1120-POL check here ▶ <input type="checkbox"/>	b Total tax (Form 1120-POL, line 22) . . . . .	3b _____
4a Form 990-PF check here . . . ▶ <input checked="" type="checkbox"/>	b Tax based on investment income (Form 990-PF, Part V, line 5) . . . . .	4b <u>18,464.</u>
5a Form 8868 check here . . . ▶ <input type="checkbox"/>	b Balance due (Form 8868, line 3c) . . . . .	5b _____
6a Form 990-T check here . . . ▶ <input type="checkbox"/>	b Total tax (Form 990-T, Part III, line 4) . . . . .	6b _____
7a Form 4720 check here . . . ▶ <input type="checkbox"/>	b Total tax (Form 4720, Part III, line 1) . . . . .	7b _____
8a Form 5227 check here . . . ▶ <input type="checkbox"/>	b FMV of assets at end of tax year (Form 5227, Item D) . . . . .	8b _____
9a Form 5330 check here . . . ▶ <input type="checkbox"/>	b Tax due (Form 5330, Part II, line 19) . . . . .	9b _____
10a Form 8038-CP check here ▶ <input type="checkbox"/>	b Amount of credit payment requested (Form 8038-CP, Part III, line 22)	10b _____

### Part II Declaration and Signature Authorization of Officer or Person Subject to Tax

Under penalties of perjury, I declare that  I am an officer of the above entity or  I am a person subject to tax with respect to (name of entity) \_\_\_\_\_, (EIN) \_\_\_\_\_ and that I have examined a copy of the 2021 electronic return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the electronic return and, if applicable, the consent to electronic funds withdrawal.

#### PIN: check one box only

I authorize \_\_\_\_\_ to enter my PIN      as my signature  
ERO firm name Enter five numbers, but do not enter all zeros

on the tax year 2021 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

As an officer or person subject to tax with respect to the entity, I will enter my PIN as my signature on the tax year 2021 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Signature of officer or person subject to tax ▶ \_\_\_\_\_ Date ▶ 06/14/2022

### Part III Certification and Authentication

**ERO's EFIN/PIN.** Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

0 1 0 2 5 1 8 8 9 4 7

Do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2021 electronically filed return indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature ▶ \_\_\_\_\_ Date ▶ \_\_\_\_\_

**ERO Must Retain This Form — See Instructions**  
**Do Not Submit This Form to the IRS Unless Requested To Do So**

## Additional information from your 2021 Federal Exempt Tax Return

### Form 990-PF Part I Line 16a-c Legal and Professional Fees

#### Line 16c - Other Professional Fees

Continuation Statement

Line 16c Name	Line 16c Type Svc	Line 16c Book amount	Line 16c Net invest inc	Line 16c Adjusted net inc	Line 16c Chrtbl dsbrsmnts
ELIZABETH JABAR	VISITING ARTIST	150.			
PETR BEHRENS	VISITING ARTIST	150.			
HILARY IRONS	VISITING ARTIST	150.			
SEAN HARRIS	VISITING ARTIST	150.			
LUCAS RICHMAN	VISITING ARTIST	300.			
ANNA QUEEN	VISITING ARTIST	300.			
RACHEL ROMANSKI	VISITING ARTIST	300.			
COREY DANIELS	VISITING ARTIST	150.			
SUZETTE MCAVOY	VISITING ARTIST	150.			
JAMIE DESIMONE	VISITING ARTIST	300.			
DIANA TUIITE	VISITING ARTIST	150.			
SUZANNE WEAVER	VISITING ARTIST	150.			
ALISON FERRIS	VISITING ARTIST	150.			
JULIE SANTOS	VISITING ARTIST	150.			
GEORGE KINGHORN	VISITING ARTIST	150.			
DANIELLE JACKSON	FELLOWSHIP JUROR	1,000.			
AIMÉE PETRIN	EMERGENCY JUROR	250.			
DAVID CLOUGH	PHOTOGRAPHY	2,875.			
RILEY WATTS	PERFORMER	500.			
MICHAEL GORMAN	PERFORMER	500.			
DAPONTE QUARTET	PERFORMER	2,000.			
BANGOR SYMPHONY	PERFORMER	5,000.			
<b>Total</b>		14,975.			

### Form 990-PF Part II Line 10, 12 and 13 Investments

#### L-10b Stmt

Continuation Statement

Line 10b Description	Line 10b Book	Line 10b FMV
121 BIONTECH	29,524.	31,194.
430 CARVANA	25,579.	99,670.
656 CHEGG	9,301.	20,139.
851 CODEXIX	17,750.	26,611.
164 COINBASE	53,768.	41,389.
222 CONFLUENT	9,986.	16,925.
1013 DENALI THERAPEUTICS	23,299.	45,180.
639 ENPHASE ENERGY	17,712.	116,899.
288 EXACT SCIENCES	5,522.	22,415.

## Form 990-PF Part II Line 10, 12 and 13 Investments

## L-10b Stmt

## Continuation Statement

Line 10b Description	Line 10b Book	Line 10b FMV
251 GLOBANT	13,230.	78,837.
152 HASHICORP	12,160.	13,838.
419 HONEST	9,379.	3,390.
214 INSPIRE MED SYS	26,791.	49,233.
887 INVITAE	21,759.	13,544.
1421 MAGENTA THERAPEUTICS	18,930.	6,295.
54 MERCADOLIBRE	14,604.	72,814.
193 NETFLIX	16,801.	116,271.
1679 OCADO GROUP	17,891.	38,160.
258 OKTA	16,930.	57,836.
1058 PELOTON	26,824.	37,834.
1054 QUANTERIX	24,746.	44,690.
464 RELAY THERAPEUTICS	9,280.	14,249.
228 RINGCENTRAL	3,858.	42,716.
385 ROKU	18,905.	87,857.
47133 SAFARICOM PLC	8,475.	15,808.
604 SHAKE SHACK	39,406.	43,585.
412 SHOCKWAVE MED	8,332.	73,472.
92 SHOPIFY	16,090.	126,720.
951 SHOTSPOTTER	14,637.	28,074.
1005 SNAP	60,358.	47,265.
131 SNOWFLAKE	36,634.	44,376.
401 TELADOC	16,073.	36,820.
282 TESLA	13,236.	298,012.
1223 TOAST	63,020.	42,450.
39 TWILIO	16,575.	10,270.
672 VITAL FARMS	20,328.	12,136.
7200 WUXI BIOLOGIS	25,634.	85,471.
567 ZAI LAB LTD	18,914.	35,636.
305 ZOOM VIDEO	20,764.	56,091.
238 AFFIRM HLDGS	23,648.	23,933.
51 AIRBNB	3,468.	8,491.
396 ALECTOR	7,965.	8,177.
89 ALNVLAM PHARMA	10,498.	15,093.
21 ALPHABET A	22,190.	60,838.
6 ALPHABET C	3,209.	17,362.
23 AMAZON	9,829.	76,690.
191 APPFOLIO	18,684.	23,122.
192 ARVINAS	10,452.	15,771.
584 ASANA	13,095.	43,537.



## Form 990-PF Part II Line 10, 12 and 13 Investments

## L-10b Stmt

## Continuation Statement

Line 10b Description	Line 10b Book	Line 10b FMV
44377 BAMBUSER	33,167.	79,660.
65 BIONTECH	16,792.	16,757.
329 BLOCK	17,011.	53,137.
284 BRIDGEBIO PHARMA	9,306.	4,737.
935 CLOUDFLARE	16,327.	122,953.
153 COINBASE	42,537.	38,613.
949 CONFLUENT	40,917.	72,352.
39 DEERE	14,010.	13,373.
251 DISH NETWORK	10,037.	8,142.
251 DOCUSIGN	19,238.	38,230.
301 DOULINGO	39,973.	31,939.
113 EPAM SYS	7,482.	75,535.
283 EXACT SCIENCES	4,636.	22,026.
386 FASTLY	16,001.	13,684.
395 FLOOR & DECOR	26,821.	51,354.
600 FRESHPET	10,134.	57,162.
538 FRESHWORKS	22,562.	14,128.
360 HELLOFRESH	9,302.	27,014.
1093 HONEST	17,966.	8,842.
167 HUBSPOT	8,088.	110,078.
478 IMPINJ	16,198.	42,398.
101 INSPIRE MED	7,719.	23,236.
174 INTUITIVE SURGICAL	15,146.	62,518.
43 LEMONADE	1,247.	1,811.
16 MERCADOLIBRE	19,272.	21,574.
20 MONDAY	3,100.	6,174.
218 MONGODB	6,611.	115,398.
108 NETFLIX	9,401.	65,064.
1019 NEW YORK TIMES	24,154.	49,218.
2741 OCADO	9,565.	62,297.
478 PELOTON	12,458.	17,093.
245 PHATHOM PARMA	11,464.	4,819.
592 PROCORE TECHNOLOGIES	48,601.	47,342.
688 REMITTLY GLOBAL	33,462.	14,189.
1336 RENT THE RUNWAY	28,056.	10,888.
210 REPLIGEN	19,118.	55,616.
356 ROBLOX	46,437.	36,725.
273 ROKU	4,392.	62,299.
639 SHAKE SHACK	26,041.	46,110.
286 SHOCKWAVE MED	7,557.	51,002.

## Form 990-PF Part II Line 10, 12 and 13 Investments

## L-10b Stmt

## Continuation Statement

Line 10b Description	Line 10b Book	Line 10b FMV
87 SHOP APOTHEKE EUROPE	21,382.	10,805.
71 SNOWFLAKE	16,009.	24,051.
136 SPOTIFY	21,351.	31,828.
218 STOKE THERAPEUTICS	5,757.	5,230.
6 SWEETGREEN	168.	192.
303 TELESAT	11,925.	8,687.
220 TESLA	10,512.	232,492.
702 TOAST	30,397.	24,366.
71 TWILIO	22,598.	18,697.
35 XOMETRY	1,540.	1,794.
141 ZOOM VIDEO	9,480.	25,931.
44 ZUR ROSE GROUP	22,025.	10,989.
732 1LIFE HEALTHCARE	18,832.	12,861.
234 10X GEMOMICS	23,316.	34,857.
3855 INVESCO ETF BULSHS 2024	85,771.	84,155.
3635 INVESCO ETF BULSHS 2022	79,443.	77,753.
6000 MSCI USA ESG SLC ETF	414,000.	637,500.
16000 JP MORGAN ETF ULTRA SHORT	812,000.	807,680.
3260 PIMCO ENHANCED SHORT ETF	328,084.	326,587.
67.34 WCM FOCUSED INTL GROWTH	2,020.	1,864.
17957.927 CALVERT INV INTL EQUITY	350,020.	473,012.
7766.143 GMO TRUST CLIMATE CHANGE	267,020.	237,799.
45922.222 RBC EMRG MKTS EQTY	545,571.	617,654.
900 ACCENTURE	105,899.	373,095.
460 ADPBE	135,114.	260,848.
2165 AFLAC	110,079.	126,414.
252 ALPHABET C	181,225.	729,185.
6 ALPHABET A	4,300.	17,382.
49 AMAZON	15,740.	163,383.
2000 AMERICAN EXPRESS	155,636.	327,200.
900 AON	218,866.	270,504.
2760 APPLE	267,220.	490,093.
815 BERKSHIRE HATHAWAY	176,046.	243,685.
600 BROADCOM	179,658.	399,246.
3100 CVS HEALTH	276,105.	319,796.
1160 DOVER	140,982.	210,656.
1160 FORTUNE BRANDS	126,538.	124,004.
950 HOME DEPOT	173,056.	394,260.
7690 HP	147,325.	289,682.
2005 JOHNSON & JOHNSON	294,335.	342,995.

## Form 990-PF Part II Line 10, 12 and 13 Investments

## L-10b Stmt

## Continuation Statement

Line 10b Description	Line 10b Book	Line 10b FMV
1530 JP MORGAN CHASE	173,652.	242,276.
840 LABORATORY CORP AMERICA HLDGS	227,995.	263,936.
227 LAM RESEARCH	58,465.	163,247.
400 MASTERCARD	41,325.	143,728.
800 MCDONALDS	163,165.	214,456.
1400 MICROSOFT	183,374.	470,848.
2395 NIKE	201,304.	399,175.
1380 NVIDIA	139,201.	405,872.
740 S&P GLOBAL	198,109.	349,228.
825 TEXAS INSTRUMENTS	90,364.	155,488.
600 THERMO FISHER	180,868.	400,344.
645 UNITED HEALTH	218,060.	323,880.
1295 ZOETIS	164,666.	316,019.
<b>Total</b>	<b>9,526,242.</b>	<b>16,640,382.</b>

## Form 990-PF Part II Line 10, 12 and 13 Investments

## L-10c Stmt

## Continuation Statement

Ln 10c Description	Line 10c Book	Line 10c FMV
NUCOR SR NOTE 4.125% 9/15/22	101,109.	101,633.
PPG INDUSTRIES 2.4% 8/15/24	76,687.	77,333.
TJX COS 2.5% 5/15/23	76,155.	76,417.
<b>Total</b>	<b>253,951.</b>	<b>255,383.</b>