

MAINE REVENUE SERVICES
Authorization to Review and Disclose
Status of Tax and Filing Obligations to the
Maine Office of Cannabis Policy -
PRINCIPALS



This form is for use by a “principal” in an adult use cannabis establishment. “Principal” means any natural person with authority to manage, direct or oversee the applicant’s or licensee’s operations, including a natural person operating as a sole proprietor; officers, directors, or shareholders of a corporation; general or limited partners of a partnership; and managers or managing members of an LLC. See 28-B M.R.S. §102-A(50) for complete definition of “principal.”

PART I:

Principal Name:	Phone #:	FEIN/SSN:
Alternate Name You May Have Filed Under:	Home Address:	
Legal Name of Maine Adult Use Cannabis Establishment for Which You Are a Principal		FEIN

If you are a principal in more than one adult use cannabis establishment, check here and attach a sheet listing the name and FEIN of all establishments for which you are a principal.....

PART II:

List Names and FEINs of all businesses, other than the adult use cannabis establishment(s) listed above, for which you are a principal. Maine Revenue Services may require you to provide additional documentation to verify your relationship with the businesses listed.

Name	FEIN	Name	FEIN
Name	FEIN	Name	FEIN

If you need additional space, check here and attach a sheet listing the name and FEIN of any other businesses for which you are a principal.....

PART III:

I understand that taxpayer information is confidential under 36 M.R.S. § 191. By signing this form, I authorize Maine Revenue Services to review my confidential information and disclose the status of my Maine tax and filing obligations directly to the Maine Office of Cannabis Policy, pursuant to 36 M.R.S. § 191(2)(A). I further certify that I am an owner, officer, director, manager, or general partner acting on behalf of the business entities listed in Part II and that I have authority to sign this form, and I authorize Maine Revenue Services to review the entities’ confidential information and disclose the status of their Maine tax and filing obligations directly to the Maine Office of Cannabis Policy, pursuant to 36 M.R.S. § 191(2)(A). The disclosure will be limited to the information included in Part IV below.

Principal’s signature: _____ Date: _____

Forms must be sent to Maine Revenue Services at mrs.compliance.ocp@maine.gov, faxed to 207-287-6627, or mailed to:

Maine Revenue Services
Attn: Compliance Division
P.O. Box 1060
Augusta, ME 04332-1060

PART IV:

This section to be completed by Maine Revenue Services

1) The principal listed above has a Maine tax liability presently due and owing for taxes and fees administered by Maine Revenue Services:

Yes No

1a) The principal is currently participating in a payment plan in connection with their Maine tax liability and they are in compliance with the terms of that payment plan.

Yes No

2) Maine Revenue Services has filed liens in connection with a Maine tax liability owed by the principal during the calendar years checked below:

2023 2022 2020 2019 2018 2017

2a) The principal is currently participating in a payment plan in connection with the Maine tax liability associated with the liens indicated and is in compliance with the terms of that payment plan:

Yes No

3) One or more of the businesses which the principal has identified above has a Maine tax liability presently due and owing for taxes and fees administered by Maine Revenue Services:

Yes No

3a) The entity or entities are currently participating in a payment plan in connection with their Maine tax liability and are in compliance with the terms of that payment plan.

Yes No

MRS Reviewer: _____ Date: _____

F107 Note: