Maine Gambling Control Board Resolution 13 - REVISED Limited Delegation of Authority to Director To Review and Approve Applications to Renew Slot Machine Distributor, Table Game Distributor, and Gambling Services Vendor Licenses

Effective Date: June 25, 2024

Expiration Date: June 25, 2026 (unless rescinded earlier)

Background

In September 2021, the Maine Gambling Control Board ("the Board") delegated to the executive director of the Board ("the director") the authority to review and approve applications for the renewal of slot machine distributor, table game distributor, and gambling services vendor licenses. The practical effect of that delegation of authority was to avoid having to bring every slot machine distributor, table game distributor, and gambling services vendor renewal application to the Board for consideration.

The original delegation of authority, adopted by the Board on September 21, 2021, required that the director bring to the Board any renewal applications reflecting a change in the licensee's address. This revised delegation of authority eliminates that requirement.

This policy expires on June 25, 2026 but may be revisited by the Board at any time.

Applicable Law

A person may not distribute a slot machine or a table game in the State unless the person has been issued a license to distribute slot machines or table games, respectively, by the Board. 8 M.R.S. §§ 1013(1), 1013-A(1). Nor may a person provide gambling services in the State unless the person is licensed as a gambling services vendor by the Board. *Id.* § 1014(1). The Board may issue a slot machine distributor, table game distributor, or gambling services vendor license to an applicant that meets the qualifications set out in Sections 1016 and 1019. *Id.* §§ 1013(2), 1013-A(2), 1014(2).

Pursuant to 8 M.R.S. § 1003, the Board may delegate certain powers and duties to the director:

2. Duties. ... In addition, the board *or the director or staff, as delegated by the board*, shall: ...

B. Hear and decide all license and registration applications under this chapter and issues affecting the granting, suspension, revocation or renewal of licenses and registrations;

C. Review the department's reports of its investigation of the qualifications of an applicant before a license or registration is issued ...;

Limited Delegation of Authority to Director

The Board delegates to the director the authority to review and approve renewal applications for a slot machine distributor, table game distributor, or gambling services vendor license under 8 M.R.S. §§ 1013, 1013-A, and 1014 as long as:

- The applicant has certified that since its last application for a Board license:
 - There have been no changes to the key executives of the company or any parent or intermediate affiliates of the company;
 - There have been no changes to the ownership structure of the company or any parent or intermediate affiliates of the company;
 - There have been no adverse actions taken against the company or any parent or intermediary affiliates of the company by any other regulatory agencies; and
 - There are no charges pending against the company or any parent or intermediary affiliates of the company in any state or federal court;
- The applicant meets the qualifications and suitability requirements for a license set out in 8 M.R.S. §§ 1016 and 1019; and
- There have been no material changes in the applicant's financial condition.

This Limited Delegation of Authority does not address the director's ability to deny a renewal application for one of these licenses.

This Limited Delegation of Authority will expire on the Expiration Date indicated above, at which time the Board shall review the actions taken pursuant to this Limited Delegation of Authority and determine whether to renew or modify this delegation.

Adoption of Resolution 13

Adopted by the Board on June 25, 2024, by a vote of __4__ in favor, ___0_ opposed, __1__ abstained.

Dated: _____06/26/24

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Steven J. Silver, Board Chair